# Neutrino Physics: Lecture 14 

Neutrino masses: Dirac vs. Majorana

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## Outline

(1) Dirac and Majorana masses for neutrinos
(2) Neutrinoless double beta decay

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(2) Neutrinoless double beta decay

## Adding a right-handed neutrino

Properties of $\nu_{R}$

- Interaction $-\lambda_{\nu} \bar{L}_{L} \Phi^{C} \nu_{R}$
- After EWSB, $-\lambda_{\nu} v \overline{\nu_{L}} \nu_{R} / \sqrt{2}$
- $m_{\nu}=\lambda_{\nu} v / \sqrt{2}$
- Eigenvalues of $\nu_{R}:(1,0) \Rightarrow$ Singlet under $S U(2)_{L} \times U(1)_{Y}$


## Why not add $\nu_{R}$ and be done with it?

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Why not add $\nu_{R}$ and be done with it ?

- Yukawa couplings too small: $\lambda_{\nu} \lesssim 10^{-11}$
- $S U(2)_{L} \times U(1)_{Y}$ allows more mass terms with $\nu_{R}$


## Equations of motion for a fermion

## Fermion Lagrangian and equations of motion

- Lagrangian:

$$
\mathcal{L}=\bar{\psi} \dot{i} \gamma^{\mu}\left(\partial_{\mu} \psi\right)-g \bar{\psi} \gamma^{\mu} A_{\mu} \psi-m \bar{\psi} \psi
$$

- Equations of motion:

- Dirac equation: $\gamma^{\mu}\left(i \partial_{\mu}-g A_{\mu}\right) \psi-m \psi=0$
- Conjugate equation:


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\begin{aligned}
0 & =i \gamma^{\mu} \partial_{\mu} \psi-g \gamma^{\mu} A_{\mu} \psi-m \psi \\
\partial_{\mu}\left(\bar{\psi} i \gamma^{\mu}\right) & =-g \bar{\psi} \gamma^{\mu} A_{\mu}-m \bar{\psi}
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- Conjugate equation: $-\left(\partial_{\mu} \bar{\psi}\right)\left(i \gamma^{\mu}\right)-g \bar{\psi} \gamma^{\mu} A_{\mu}-m \bar{\psi}=0$


## Defining the antiparticle (CP-conjugate particle)

Conjugate equation and desired antiparticle equation

- Conjugate equation:

$$
\begin{aligned}
-\left(\partial_{\mu} \bar{\psi}\right)\left(i \gamma^{\mu}\right)-g \bar{\psi} \gamma^{\mu} A_{\mu}-m \bar{\psi} & =0 \\
-i \gamma^{\mu T}\left(\partial_{\mu} \bar{\psi}\right)^{T}-g \gamma^{\mu T} A_{\mu} \bar{\psi}^{T}-m \bar{\psi}^{T} & =0
\end{aligned}
$$

- Desired equation,


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\end{aligned}
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- Desired equation, $\psi^{C}=C \bar{\psi}^{\top}$, with unitary $C$ :

$$
\begin{aligned}
& i \gamma^{\mu} \partial_{\mu} \psi^{C}+g \gamma^{\mu} A_{\mu} \psi^{C}-m \psi^{C}=0 \\
& i \gamma^{\mu} \partial_{\mu} C \bar{\psi}^{\top}+g \gamma^{\mu} A_{\mu} C \bar{\psi}^{T}-m C \bar{\psi}^{T}=0 \leftarrow \text { cond }^{n} \psi^{c}=n
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- Matching condition:

$$
\mathbf{C} \gamma^{\mu T}=-\gamma^{\mu} \mathbf{C}
$$

## Antiparticle spinors

## $\psi^{C}$ and $\overline{\psi^{C}}$

$$
\begin{aligned}
\psi^{C} & =\boldsymbol{C} \bar{\psi}^{T}=\boldsymbol{C} \gamma^{0 T} \psi^{*}=-\gamma^{0} \boldsymbol{C} \psi^{*} \\
\psi^{C} & =-\psi^{T} \boldsymbol{C}^{\dagger}
\end{aligned}
$$

Useful properties of C

- Unitary: $C^{\dagger} C=1$
- Matching condition: $\boldsymbol{C} \gamma^{\mu T}=-\gamma^{\mu} C$
- $\psi=\left(\psi^{C}\right)^{C} \Rightarrow C^{*} C=-1$
- Antisymmetric: $C^{\dagger}=-C^{*} \Rightarrow C=-C^{\top}$


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- $\psi=\left(\psi^{C}\right)^{C} \Rightarrow C^{*} C=-1$
- Antisymmetric: $C^{\dagger}=-C^{*} \Rightarrow C=-C^{\top}$
- C exists: In Dirac basis and chiral basis, $\boldsymbol{C}=i \gamma^{2} \gamma^{0}$


## Can particle = antiparticle ?

## Particles charged under a gauge symmetry

- Particle satisfies $\left[\gamma^{\mu}\left(i \partial_{\mu}-g A_{\mu}\right)-m\right] \psi=0$
- Antipaticle satisfies $\left[\gamma^{\mu}\left(i \partial_{\mu}+g A_{\mu}\right)-m\right] \psi^{C}=0$
- Particle $\neq$ Antiparticle unless $g=0$ for all gauge groups


## Particles charged under a global symmetry

- Particle has charge $+q$, antiparticle has charge $-q$
- Particle $\neq$ Antiparticle as long as symmetry is conserved


## The special case of $\nu_{R}$

- The only relevant symmetry is L (lepton number)


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The special case of $\nu_{R}$

- Not charged under $S U(2)_{L}$ or $U(1)_{Y}$ (consequently $\left.U(1)_{Q}\right)$
- The only relevant symmetry is $L$ (lepton number)


## Lepton number conservation

- Accidental symmetry (no fundamental principle forbids it)
- A guiding principle of gauge theories: anything that is not forbidden by a symmetry should be allowed
- Lepton number can (has to) be violated



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## Not $L$, but $B-L$

- Perturbatively, no Feynman diagram that violates $L$
- Non-perturbatively, baryons $\leftrightarrow$ antileptons process possible (sphaleron solution to electroweak field equations:
$B=L=\frac{1}{2}$
Klinkenhamer and Manton, PRD30 (1984) 2212) -
- Only B-L conserved in the Standard Model
- Arguments mentioning $L$ violation should, strictly speaking, use $B-L$ violation.


## Majorana mass term possible for $\nu_{R}$

The term $-\frac{1}{2} m_{R}{\overline{\left(\nu_{R}\right)^{C}}}_{\nu_{R}}$

- Obeys $S U(2)_{L}$ and $U(1)_{Y}$
- Violates lepton $(B-L)$ number by 2, allowed
- Majorana mass for neutrinos
- $\mathcal{L}_{M}=-\frac{1}{2} m_{R}\left(\overline{\nu_{R}^{C}} \nu_{R}+\overline{\nu_{R}} \nu_{R}^{C}\right)=-\frac{1}{2} m_{R}\left(\nu_{R}^{C} \nu_{R}+\right.$ h.c. $)$
- $\nu \equiv \nu_{R}+\nu_{R}^{C}$ is its own antiparticle: Majorana particle
- Majorana mass term $\mathcal{L}_{M}=-\frac{1}{2} m_{R} \bar{\nu} \nu$


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## The Majorana Lagrangian

Factor of $1 / 2$

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\mathcal{L} & =\frac{1}{2}\left(\overline{\nu_{R}} i \gamma^{\mu} \partial_{\mu} \nu_{R}+\overline{\nu_{R}^{C}} i \gamma^{\mu} \partial_{\mu} \nu_{R}^{C}\right)-\frac{1}{2} m_{R}\left(\overline{\nu_{R}^{C}} \nu_{R}+\text { h.c. }\right) \\
& =\frac{1}{2} \bar{\nu}\left(i \gamma^{\mu} \partial_{\mu}-m_{R}\right) \nu
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## Another way of writing the mass term

## The Majorana Lagrangian

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## Another way of writing the mass term

$$
-m_{R} \overline{\nu_{R}^{C}} \nu_{R}=+m_{R} \nu_{R}^{\top} C^{\dagger} \nu_{R}
$$

$$
\overline{V_{R}^{C}} V_{R} \quad \overline{V_{R}} V_{R}^{c}
$$



## Majorana mass term possible for $\nu_{L}$ ?

The term $-m_{L}{\overline{\left(\nu_{L}\right)^{C}}}_{\nu_{L}}$

- Violates lepton $(B-L)$ number by 2, allowed
- Does not obey $S U(2)_{L}$ and $U(1)_{Y}$, but obeys $U(1)_{Q}$
- Allowed only after EWSB
- Effective Majorana mass for neutrinos after EWSB - $\mathcal{L}_{M}=-\frac{1}{2} m_{L}\left(\nu_{L}^{C} \nu_{L}+\overline{\nu_{L}} \nu_{L}^{C}\right)=-\frac{1}{2} m_{L}\left(\overline{\nu_{L}} \nu_{L}^{C}+\right.$ h.c. $)$
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## Magnitudes of Dirac and Majorana masses

- $m_{D}=\frac{\lambda_{\nu} v}{\sqrt{z}} \lesssim v \leqslant 200 \mathrm{GCN}$
- $m_{R}$ has no restriction: can be as heavy as $M_{\text {Planck }}$
- $m_{L}$ depends on the theory, normally $m_{L} \ll v$
$\qquad$


## Implications of Majorana mass

- Lepton number violating processs: as yet unobserved
- "Forbidden" processes like $\nu_{\mu} N \rightarrow \mu^{+} \ell^{+} \ell^{-} X$, $\mu^{-} e^{+} \rightarrow \mu^{+} e^{-}$possible at colliders
- New particles like the Majoron predicted for a class of models
- Heavy Majorana neutrinos may play an important role in Baryogenesis

Neutrinoless double beta decay

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Neutrinoless double beta decay !

## Outline

## (1) Dirac and Majorana masses for neutrinos

(2) Neutrinoless double beta decay

## The reaction


${ }_{Z}^{A} X \rightarrow{ }_{Z+2}^{A} Y+2 e^{-}+2 \bar{\nu}_{e}$,

$$
{ }_{Z}^{A} X \rightarrow{ }_{Z+2}^{A} Y+2 e^{-}
$$

$2 \nu \beta \beta$

## The spectrum



$$
{ }_{Z}^{A} X \rightarrow{ }_{Z+2}^{A} Y+2 e^{-}
$$

## The reaction rate

Amplitude:

$$
A \propto\langle Y| H|X\rangle \sum_{i=1}^{3} m_{i} U_{e i}^{2}
$$

## Decay rate:

## Sensitivity to Majorana phases

The only conceived experiment with sensitivity to $\phi_{i}$

## The reaction rate

Amplitude:

$$
A \propto\langle Y| H|X\rangle \sum_{i=1}^{3} m_{i} U_{e i}^{2}
$$

Decay rate:

$$
\Gamma \propto|\langle Y| H| X\rangle\left.\right|^{2} \stackrel{\left.m_{\beta \beta}\right|^{2}}{=} m_{\beta \beta}=\sum_{i=1}^{3} m_{i} U_{e i}^{2}
$$

Sensitivity to Majorana phases
$\longrightarrow\left|m_{\beta \beta}\right|^{2}=\left|m_{1} c_{12}^{2} c_{13}^{2} e^{2 i \phi_{1}}+m_{2} s_{12}^{2} c_{13}^{2} e^{2 i \phi_{2}}+s_{13}^{2} m_{3} e^{-2 i \delta}\right|^{2}$
The only conceived experiment with sensitivity to $\phi_{i}$

## Isotopes, bounds and Future experiments

| Isotope | $\begin{gathered} \mathrm{T}_{1 / 2}^{2 \nu} \\ \left(10^{19} \mathrm{y}\right) \end{gathered}$ | $\begin{gathered} \mathrm{T}_{1 / 2}^{0 \nu} \\ \left(10^{24} \mathrm{y}\right) \end{gathered}$ | Future Experiment | Mass <br> (kg) | Lab |
| :---: | :---: | :---: | :---: | :---: | :---: |
| ${ }^{48} \mathrm{Ca}$ | (4.4 ${ }_{-0.5}^{+0.6}$ ) | > 0.0014 | CANDLES |  | OTO |
| ${ }^{76} \mathrm{Ge}$ | (150 $\pm$ 10) | $>19$ | GERDA | 18-40 | LNGS |
|  |  | $22.3{ }^{+3.4}$ |  |  |  |
|  |  | $>15.1$ | MAJORANA | 60 | SUSEL |
| ${ }^{82} \mathrm{Se}$ | (9.2 $\pm 0.7)$ | $>0.36$ | SuperNEMO | 100 | LSM |
| ${ }^{96} \mathrm{Zr}$ | $(2.3 \pm 0.2)$ | $>0.0092$ |  |  |  |
| ${ }^{100} \mathrm{Mo}$ | (0.71 $\pm 0.04)$ | > 1.1 | MOON |  | OTO |
| ${ }^{116} \mathrm{Cd}$ | $(2.8 \pm 0.2)$ | $>0.17$ |  |  |  |
| ${ }^{130} \mathrm{Te}$ | $(68 \pm 12)$ | > 2.94 | CUORE | 204 | LNGS |
| ${ }^{136} \mathrm{Xe}$ | $>81$ | $>0.12$ | EXO | 160 | WIPP |
|  |  |  | KAMLAND | 200 | KAMIOKA |
| ${ }^{150} \mathrm{Nd}$ | $(0.82 \pm 0.09)$ | > 0.0036 | SNO+ | 56 | SNOLAB |

## A signal ?


H.V. Klapdor-Kleingrothaus et al. Mod.Phys.Lett. A16 (2001) 2409-2420


Mod.Phys.Lett.A 21 (2006) 1547

- Analysis not accepted by the collaboration
- Observation not confirmed by other experiments.


## Constraining neutrino mass spectrum



## Implications of $0 \nu \beta \beta$ observation

- Confirm that neutrinos have Majorana mass
- Measurement of absolute neutrino mass
- Confirmation that $B-L$ is not conserved in nature

