

Desirability of neutrino Majorana mass

Bottom-up models: SM gauge group with new particles

Top-down models: SM extensions / GUTs

$0\nu\beta\beta$  without neutrino Majorana mass

Concluding remarks

# Models of neutrino Majorana masses

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Workshop on Neutrinoless Double Beta Decay ( $0\nu\beta\beta$ )  
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# Outline

- 1 Desirability of neutrino Majorana mass
  - Why have Majorana mass at all
  - Implications of Majorana mass
- 2 Bottom-up models: SM gauge group with new particles
  - Right handed neutrinos: Type I seesaw
  - Higgs triplet: Type II seesaw
  - Radiative mass models
- 3 Top-down models: SM extensions / GUTs
  - Spontaneous  $B - L$  violation
  - Grand unified theories: SU(5), SO(10)
  - Left-right symmetry, Supersymmetry
- 4  $0\nu\beta\beta$  without neutrino Majorana mass
  - Majorana mass may not be directly needed
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# Dirac vs. Majorana mass

- Dirac mass term:  $\mathcal{L}_{\text{Yukawa}} = m_D \bar{\psi}_L \psi_R$
- Antiparticles:  $\psi^c \equiv \gamma_0 \mathbf{C} \psi^*$   
(C: charge conjugation operator)
- If neutrinos are their own antiparticles,  $\psi^c = e^{i\theta} \psi$
- Majorana mass term:  $\mathcal{L}_M = m_M \bar{\nu}_L^c \nu_L$
- $\nu_R$  not needed, but lepton number violated !

# Why not have just Dirac mass ?

- Fine tuning in Yukawa couplings needed to get small neutrino masses
- Lepton number is an “accidental symmetry” of the SM, no fundamental principle (e.g. the gauge principle) prevents it
- A guiding principle of gauge theories: anything that is not forbidden by a symmetry should be allowed
- Once right handed neutrinos are involved, Majorana mass term for them is possible, and should be taken into account

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# Implications of Majorana mass

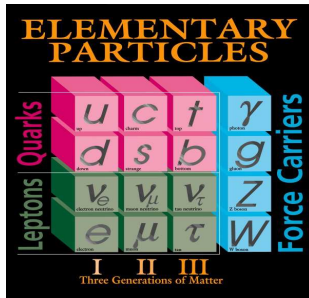
- **Lepton number violating processes:** as yet unobserved
- “Forbidden” processes like  $\nu_\mu N \rightarrow \mu^+ \ell^+ \ell^- X$ ,  
 $\mu^- e^+ \rightarrow \mu^+ e^-$  possible at colliders
- New particles like the **Majoron** predicted for a class of models
- Heavy Majorana neutrinos may play an important role in **Baryogenesis**



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# The standard model gauge group: $SU(2)_L \times U(1)_Y$



- $U_L : (2, 1/3), D_L : (2, 1/3)$
- $U_R : (1, 4/3), D_R : (1, -2/3)$
- $\nu_L : (2, -1), e_L^- : (2, -1)$
- $e_R^- : (1, -2)$
- $\Phi : (2, 1)$

- Only possible mass terms:  $\bar{U}_L \Phi^c U_R, \bar{D}_L \Phi D_R, \bar{e}_L \Phi e_R$
- No mass term for neutrinos

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# Mass terms with right handed neutrinos

- $\nu_R : (0, 0)$
- Possible neutrino mass term:  $\overline{\nu}_L \Phi^c \nu_R \Rightarrow m_D \overline{\nu}_L \nu_R$
- However, also possible to have  $M_R \overline{\nu}_R^c \nu_R$ :  
mass for right handed neutrinos
- $M_R$  can be very heavy: no symmetry to keep it light

- $$-\mathcal{L}_m = \frac{1}{2} \begin{pmatrix} \overline{\nu}_L & \overline{\nu}_R^c \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + h.c.$$

- Both eigenvectors Majorana particles

- $m_D \ll M \Rightarrow$  Eigenvalues:  $m_1 \approx -\frac{m_D^2}{M}$  and  $m_2 \approx M$

- Seesaw mechanism!  $M_R \uparrow m_1 \downarrow$

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- **Seesaw mechanism !**  $M_R \uparrow m_1 \downarrow$

# Type I seesaw for multiple generations

- $m_D$  and  $M_R$  are now matrices:

$$-\mathcal{L}_m = \frac{1}{2} \begin{pmatrix} \bar{\nu}_L & \bar{\nu}_R^c \end{pmatrix} \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

- $m_1$  and  $m_2$  to be treated as matrices:

$$m_1 \approx m_D M_R^{-1} m_D^T \text{ and } m_2 \approx M_R$$

- If  $m_D \sim m_{\text{Quarks}}$ , values of  $m_\nu \lesssim 1$  eV can be obtained if

$$M_R \gtrsim 10^9 - 10^{12} \text{ GeV}$$

- Two consequences:

- light neutrino masses for low energy neutrino data
- heavy Majorana neutrinos for leptogenesis

- Quark masses hierarchical  $\Rightarrow$  neutrino masses hierarchical

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# Majorana mass for left-handed neutrinos

- $m_L \overline{\nu}_L \nu_L^c$  forbidden by  $SU(2)_L \times U(1)$ :  
 $\overline{\nu}_L \nu_L^c \sim (2, 1) \times (2, 1) = (1, 2) + (3, 2)$

- If a Higgs triplet  $\Delta \equiv \begin{pmatrix} \Delta_0 \\ \Delta_- \\ \Delta_{--} \end{pmatrix} : (3, -2)$  exists, then

$$-\mathcal{L}_m = \sum_{\ell, \ell'} \frac{f_{\ell, \ell'}}{\sqrt{2}} \overline{\nu}_{\ell L} (\tau \cdot \Delta) \nu_{\ell' L}^c \quad \text{possible}$$

- $\langle \Delta_0 \rangle$  also affects  $M_W$  and  $M_Z$
- Measurements of  $\rho \equiv \frac{M_W^2}{M_Z^2 \cos^2 \theta_W} = \frac{1+2(\langle \Delta_0 \rangle / \langle \Phi_0 \rangle)^2}{1+4(\langle \Delta_0 \rangle / \langle \Phi_0 \rangle)^2}$   
 restricts  $\langle \Delta_0 \rangle / \langle \Phi_0 \rangle < 0.07$



## Type II seesaw

- With  $m_L \equiv f_{ee'} \langle \Delta_0 \rangle / \sqrt{2}$ ,

$$-\mathcal{L}_m = \frac{1}{2} \begin{pmatrix} \overline{\nu}_L & \overline{\nu}_R^c \end{pmatrix} \begin{pmatrix} m_L & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix}$$

- $m_1 \approx m_L - m_D M_R^{-1} m_D^T$  and  $m_2 \approx M_R$
- The same two consequences as Type I seesaw:
  - light neutrino masses for low energy neutrino data
  - heavy Majorana neutrinos for leptogenesis
- Quasi-degenerate neutrino masses now possible naturally

# Baryogenesis through leptogenesis

- Decays of heavy Majorana neutrinos, e.g.  $\nu_R \rightarrow \nu_L \Phi$ , may violate CP symmetry
- Decay rate  $\Gamma \ll H$  (the Hubble expansion rate)  $\Rightarrow$  Nonequilibrium in  $\nu_R \leftrightarrow \nu_L \Phi$  and its charge conjugate process during the early universe
- Sakharov conditions satisfied  $\Rightarrow$  lepton asymmetry may be generated (**Leptogenesis !**)
- Lepton asymmetry can be partly converted to baryon asymmetry via sphalerons (non-perturbative process) during the electroweak phase transition
- Matter-antimatter asymmetry generated (**Baryogenesis !**)

# Baryogenesis through leptogenesis

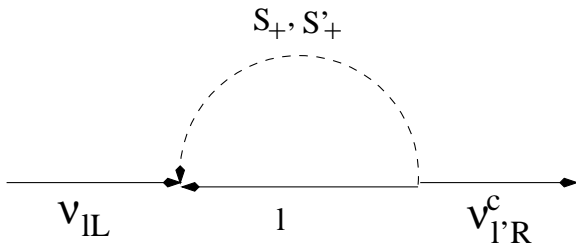
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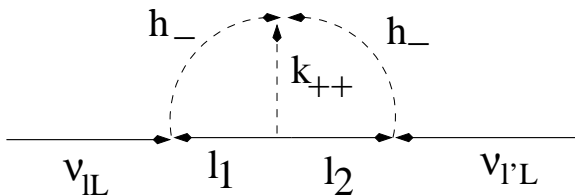
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# Zee model

- $\bar{\nu}_L \nu_L^c \sim (2, 1) \times (2, 1) = (1, 2) + (3, 2)$
- Can form an invariant with  $h_- : (1, -2)$
- A consistent model needs 2 Higgses,  $\Phi$  and  $\Phi'$
- Neutrino mass through loop diagram: ( $S_+, S'_+$  are some combination of  $\Phi_+, \Phi'_+$  and  $h_+$ )



# Babu's model: $h_-(1, -2)$ and $k_{++}(1, 4)$



- Both  $h_+$  and  $k_{++}$  carry two units of  $B - L$  charge
- $k_{++} : (1, 4)$  neutralises  $\bar{l}_L^c / l_L : (1, -4)$

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# Spontaneous $B - L$ violation

- Majorana mass implies broken  $B - L$  symmetry
- Breaking possible explicitly through, e.g.,  $M_R \overline{\nu}_R^c \nu_R$
- Alternatively, coupling  $\overline{\nu}_R^c S \nu_R$ ,  
“Higgs”  $S$  with lepton number (or  $B - L$  charge) of -2
- $S$  may get a vacuum expectation value by spontaneous symmetry breaking, which breaks  $B - L$
- $\Rightarrow$  A massless Goldstone boson, the “Majoron”  $J$
- Limits on  $J$  through the processes  
 $\mu \rightarrow e + J, \gamma + e \rightarrow e + J$
- Stringent limits from cooling rates of stars
- One or more Majorons may also be emitted in  $0\nu\beta\beta$

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# GUTs: symmetry breaking through Higgses

- Larger gauge groups at the high scale are broken by Higgses getting vacuum expectation values
- If Higgses break  $B - L$ , Majorana masses generated
- $SU(5) \rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y$  through a 15-dim Higgs  $S_{15}$ , with  $S_{55}$  getting a vev
- $\mathcal{L}_{\text{Yuk}} = f_{ij} \bar{\psi}_i \psi_j S^{\bar{ij}}$ , Higgs potential  $V = \mu_S H_i H_j S^{\bar{ij}}$
- $SO(10) \rightarrow SU(4)_C \times SU(2)_L \times SU(2)_R$   
 $\rightarrow SU(3)_C \times SU(2)_L \times U(1)$   
 through  $H$  (10 dim) or  $\Delta$  (126-dim) Higgs
- For all fermions,  $\mathcal{L}_{\text{Yuk}} = h_{ab} \psi_a \psi_b H + f_{ab} \psi_a \psi_b \bar{\Delta}$
- depending on whether  $h_{ab}$  or  $f_{ab}$  dominate, different relations between quark and lepton masses

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# Left-right symmetric model

- $G_{\text{weak}} = SU(2)_L \times SU(2)_R \times U(1)_{B-L}$
- $Q = I_{3L} + I_{3R} + (B - L)/2$
- $SU(2)_R$  broken,  $SU(2)_L$  unbroken  $\Rightarrow \Delta I_{3R} = \Delta(B - L)/2$
- Type II Seesaw mechanism possible, with  $H(2, 1)$  and  $\Delta(3, -2)$
- Can give rise to  $\mu^- \rightarrow e^- e^- e^+$ ,  $\mu^- \rightarrow e^- \nu_e \bar{\nu}_\mu$ ,  
 $\mu^+ e^- \rightarrow \mu^- e^+$
- Constraints on the coupling  $\sim 10^{-3} G_F$



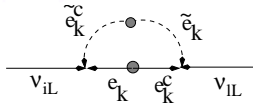
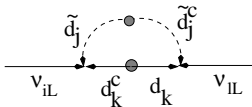
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# Supersymmetric models

- SUSY: a superpartner for each particle, boson  $\leftrightarrow$  fermion
- R-parity:  $R = (-1)^{3(B-L)+2S}$   
+1 for particles, -1 for superpartners
- R-parity conserving MSSM: no neutrino mass

- R parity violation: soft SUSY breaking superpotential  
 $W = \lambda_{ijk} L_i L_j E_k^c + \lambda'_{ijk} L_i Q_j D_k^c + \lambda'' U_i^c D_j^c D_k^c$   
 First two terms violate R parity



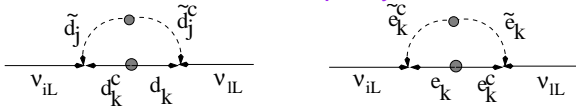
- SUSY with LR symmetry: no R-parity violation needed

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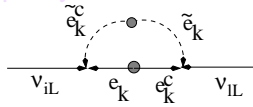
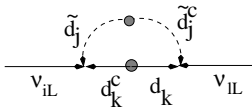


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- SUSY: a superpartner for each particle, boson  $\leftrightarrow$  fermion
- R-parity:  $R = (-1)^{3(B-L)+2S}$   
+1 for particles, -1 for superpartners
- R-parity conserving MSSM: no neutrino mass
- R parity violation: soft SUSY breaking superpotential  

$$W = \lambda_{ijk} L_i L_j E_k^c + \lambda'_{ijk} L_i Q_j D_k^c + \lambda'' U_i^c D_j^c D_k^c$$
 First two terms violate R parity



- SUSY with LR symmetry: no R-parity violation needed

# Outline

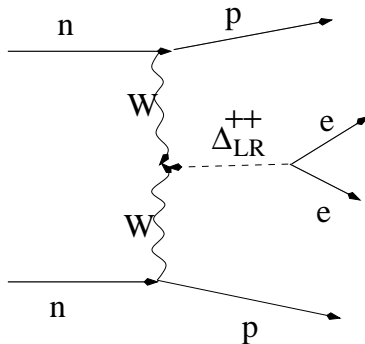
- 1 Desirability of neutrino Majorana mass
  - Why have Majorana mass at all
  - Implications of Majorana mass
- 2 Bottom-up models: SM gauge group with new particles
  - Right handed neutrinos: Type I seesaw
  - Higgs triplet: Type II seesaw
  - Radiative mass models
- 3 Top-down models: SM extensions / GUTs
  - Spontaneous  $B - L$  violation
  - Grand unified theories: SU(5), SO(10)
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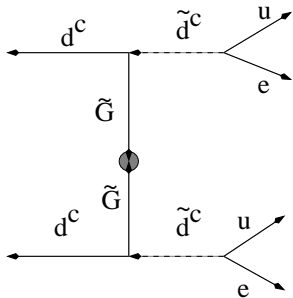
# Left-right symmetric model

## Mediation by doubly charged Higgs

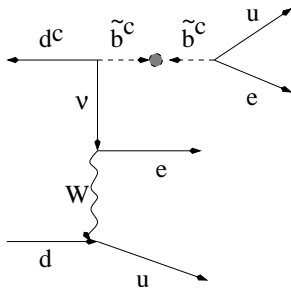


# Supersymmetry

## R-parity violation

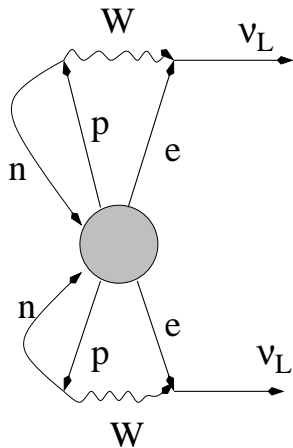


## Joint vector-scalar exchange





# $0\nu\beta\beta$ induces Majorana neutrino mass



- “Blob” corresponds to effective  $0\nu\beta\beta$  process

Desirability of neutrino Majorana mass

Bottom-up models: SM gauge group with new particles

Top-down models: SM extensions / GUTs

$0\nu\beta\beta$  without neutrino Majorana mass

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# Concluding remarks

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- Mass generation connected to physics at the high scale
  - Seesaw mechanisms
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  - GUTs / LR symmetric models / SUSY models
- Spontaneous  $B - L$  breaking models predict Majoron
- Leptogenesis possible through heavy Majorana neutrinos
- Though  $0\nu\beta\beta$  happens at  $\sim$  MeV,  
probes physics at very high scales:  $10^{12}$  GeV
- $0\nu\beta\beta$  is a strong evidence for neutrino Majorana mass:  
other sources may give rise to  $0\nu\beta\beta$ , but then they also  
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