

# Electrodynamics II: Lecture 6

## EM waves in confined spaces

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Aug 19, 2011

- 1 Waveguides
  - Rectangular waveguide
  - Circular cylindrical waveguides
- 2 Coaxial cable
- 3 Cavities

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# Travelling waves with the same $(x, y)$ profile

- We are looking for waves travelling in  $z$  direction, while keeping the same  $(x, y)$  profile. I.e. the form

$$\vec{\mathbf{E}} = \vec{\mathbf{E}}^0(x, y)e^{i(k_z z - \omega t)}, \quad \vec{\mathbf{B}} = \vec{\mathbf{B}}^0(x, y)e^{i(k_z z - \omega t)} \quad (1)$$

- Maxwell's  $(\nabla \times \vec{\mathbf{E}})$  and  $(\nabla \times \vec{\mathbf{B}})$  equations then become

$$\frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} = i\omega B_z, \quad \frac{\partial B_y}{\partial x} - \frac{\partial B_x}{\partial y} = -\frac{i\omega}{c^2} E_z \quad (2)$$

$$\frac{\partial E_z}{\partial y} - ik_z E_y = i\omega B_x, \quad \frac{\partial B_z}{\partial y} - -ik_z B_y = -\frac{i\omega}{c^2} E_x \quad (3)$$

$$ik_z E_x - \frac{\partial E_z}{\partial x} = i\omega B_y, \quad ik_z B_x - \frac{\partial B_z}{\partial x} = -\frac{i\omega}{c^2} E_y \quad (4)$$

- Note that one can factor out the  $e^{i(k_z z - \omega t)}$  dependence of  $E_x, E_y, E_z$  and  $B_x, B_y, B_z$ , so now onwards they have no  $z$ - or  $t$ -dependence in this lecture.
- Using the last two lines (4 equations), one can write  $E_x, E_y, B_x, B_y$  in terms of the other two quantities,  $E_z$  and  $B_z$

# All components in terms of $E_z$ and $B_z$

$$E_x = \frac{1}{(\omega/c)^2 - k_z^2} \left( k_z \frac{\partial E_z}{\partial x} + \omega \frac{\partial B_z}{\partial y} \right) \quad (5)$$

$$E_y = \frac{1}{(\omega/c)^2 - k_z^2} \left( k_z \frac{\partial E_z}{\partial y} - \omega \frac{\partial B_z}{\partial x} \right) \quad (6)$$

$$B_x = \frac{i}{(\omega/c)^2 - k_z^2} \left( k_z \frac{\partial B_z}{\partial x} - \frac{\omega}{c^2} \frac{\partial E_z}{\partial y} \right) \quad (7)$$

$$B_y = \frac{i}{(\omega/c)^2 - k_z^2} \left( k_z \frac{\partial B_z}{\partial y} + \frac{\omega}{c^2} \frac{\partial E_z}{\partial x} \right) \quad (8)$$

- Note that if  $E_z$  and  $B_z$  both vanish (or are constants), no other components of  $\vec{\mathbf{E}}$  or  $\vec{\mathbf{B}}$  can survive (unless  $k_z = 0$ , which case needs to be treated separately.)
- However  $E_z$  and  $B_z$  are not free parameters; the above equations just give four constraints on  $\vec{\mathbf{E}}$  and  $\vec{\mathbf{B}}$ , two more constraints from the last page are still remaining.

# Constraining $E_z, B_z$ themselves

$E_z, B_z$  themselves must satisfy consistency conditions

$$\frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} = i\omega B_z \quad (9)$$

$$\frac{\partial B_y}{\partial x} - \frac{\partial B_x}{\partial y} = -\frac{i\omega}{c^2} E_z \quad (10)$$

These correspond to

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} - k_z^2 + \frac{\omega^2}{c^2} \right) E_z = 0 \quad (11)$$

$$\left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} - k_z^2 + \frac{\omega^2}{c^2} \right) B_z = 0 \quad (12)$$

If there were no boundary conditions in the  $x - y$  plane, this would have a plane wave solution – a flat  $x - y$  profile. But conducting boundaries imply that these fields must have a non-trivial  $x - y$  profile.

# EM wave propagation in waveguides

- Let us consider rectangular / circular hollow conducting cylinders, through which an EM wave will be “guided” by bending the boundaries of the cylinders.
- A simple solution would have been a plane wave travelling along  $z$  direction, such that  $\vec{\mathbf{E}}$  and  $\vec{\mathbf{B}}$  fields are transverse,  $E_z = B_z = 0$ . Such a solution is called as TEM (transverse electric and magnetic) mode.
- Such a mode is not possible in a hollow cylinder, proof given on the next page
- However  $E_z$  and  $B_z$  can individually vanish, such modes are termed TE (Transverse electric:  $E_z = 0$ ) and TM (Transverse magnetic:  $B_z = 0$ ).

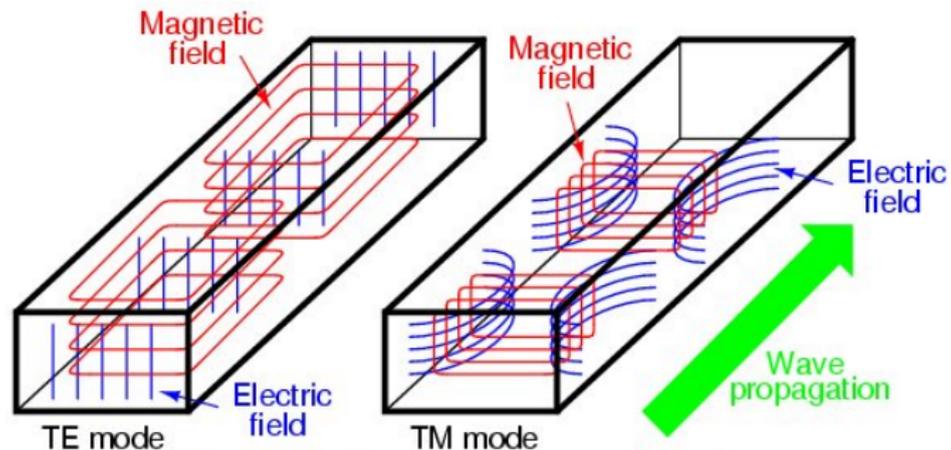
# Hollow cylinder cannot have both $E_z = 0$ and $B_z = 0$

- Since  $B_z = 0$ , we have  $(\nabla \times \vec{\mathbf{E}})_z = -\partial B_z / \partial t = 0$ . Then

$$\frac{\partial E_y}{\partial x} - \frac{\partial E_x}{\partial y} = 0 \quad (13)$$

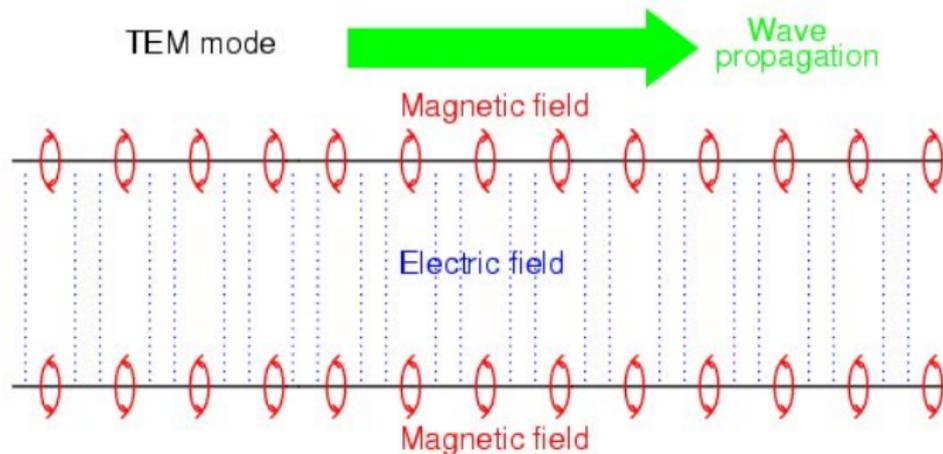
- Since  $E_x$  and  $E_y$  are independent of  $z$ , and  $E_z = 0$ , we get  $\nabla \times \vec{\mathbf{E}} = 0$ , i.e.  $\vec{\mathbf{E}}$  can be written as  $\vec{\mathbf{E}} = \nabla \Phi$ .
- In addition, no charges inside the cylinder, so  $\nabla \cdot \mathbf{E} = 0$ . That is,  $\nabla^2 \Phi = 0$ .
- Now we have a boundary value problem, with  $\nabla^2 \Phi = 0$  inside the boundary and  $\Phi = \text{constant}$  on the complete boundary (the hollow conductor).
- This boundary value problem has a solution,  $\Phi = \text{constant}$  everywhere, and the **uniqueness theorem** states that this is the only solution.
- Thus, there can be no electric / magnetic fields inside the waveguide.

# TE and TM modes



*Magnetic flux lines appear as continuous loops*  
*Electric flux lines appear with beginning and end points*

# TEM mode



*Both field planes perpendicular (transverse) to direction of signal propagation.*

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# TE modes ( $E_z = 0, B_z \neq 0$ ) in a rectangular waveguide

- Let the walls of the waveguide be at  $y = 0, b$  and  $x = 0, a$ . The boundary conditions are then  $E_x = 0$  at  $y = 0, b$  and  $E_y = 0$  at  $x = 0, a$
- The equations that give  $E_{x,y}$  in terms of  $B_z$  then imply  $\frac{\partial B_z}{\partial y} = 0$  at  $y = 0, b$  and  $\frac{\partial B_z}{\partial x} = 0$  at  $x = 0, a$
- The solution to the differential equation for  $B_z$ , with these boundary conditions, is

$$B_z = A \cos(k_x x) \cos(k_y y) \quad (14)$$

where  $k_x = (m\pi/a)$  and  $k_y = (n\pi/b)$ .

- Such a mode is called  $TE_{mn}$  mode. Note that at least one of  $m$  or  $n$  has to be nonzero, else all fields will vanish.

# Cutoff frequencies for TE modes

- The  $TE_{mn}$  solution, when substituted in the differential equation for  $B_z$ , gives

$$-\left(\frac{m\pi}{a}\right)^2 - \left(\frac{n\pi}{b}\right)^2 - k_z^2 + (\omega/c)^2 = 0 \quad (15)$$

- For consistency with the physical situation,  $k_z$  must be real; i.e.  $k_z^2 > 0$ . This gives the condition

$$\omega > c\sqrt{\left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2} \equiv \omega_{mn} \quad (16)$$

- Thus, for a TE mode  $TE_{mn}$  to propagate, it must have a **minimum frequency**  $\omega_{mn}$ . A waveguide thus acts like a high-pass filter.

# TM modes

- A similar analysis is possible for TM modes, but this will not be done here.
- Note that the cutoff frequencies  $\omega_{mn}$  for the TM modes are the same as those for TE modes.

# Phase velocity and group velocity

- Phase velocity: simply the speed at which the crest of the wavefront travels in a given direction.
- For a plane wave  $Ae^{i(\vec{k}\cdot\vec{x}-\omega t)}$ , the phase velocity along the direction  $\hat{\mathbf{r}}$  is

$$v_{ph} = \left. \frac{dr}{dt} \right|_{\text{constant phase}} = \frac{\omega}{|\vec{k}\cdot\hat{\mathbf{r}}|} \quad (17)$$

- If  $\vec{k}$  is not along  $\hat{\mathbf{r}}$ , typically  $v_{ph} > c$ . This does not mean that any signal is travelling faster than light, though.
- Group velocity measures the speed at which a signal is transported. The signal is embedded in the distribution of frequencies, and group velocity measures how fast the peak of this distribution shifts. Details on the next page.

# Group velocity

- The Fourier transform of a wave gives the frequencies the wave consists of. Consider the situation where the spread in frequencies is small, which is the only one where we can define a group velocity easily. Let the frequencies be confined to the range  $\omega = \omega_0 \pm \Delta\omega$ . The corresponding wave vectors are confined to  $\vec{\mathbf{k}} = \vec{\mathbf{k}}_0 \pm \Delta\vec{\mathbf{k}}$ .

- The wave is

$$\psi(\vec{\mathbf{x}}, t) = \int a(\vec{\mathbf{k}}) e^{i(\vec{\mathbf{k}} \cdot \vec{\mathbf{x}} - \omega t)} d^3 k, \quad (18)$$

which may be written as

$$\psi(\vec{\mathbf{x}}, t) = A(\vec{\mathbf{x}}, t) e^{i(\vec{\mathbf{k}}_0 \cdot \vec{\mathbf{x}} - \omega_0 t)}, \quad (19)$$

where

$$A(\vec{\mathbf{x}}, t) = \int a(\vec{\mathbf{k}}) e^{i(\Delta\vec{\mathbf{k}} \cdot \vec{\mathbf{x}} - \Delta\omega t)} d^3 k \quad (20)$$

- The frequency distribution shifts as a wavepacket, the velocity of the peak of the distribution is the approximate velocity of the wavepacket.

# Group velocity: continued

- Let us consider a one-dimensional case of a wave travelling along z-axis. At the peak,

$$0 = \frac{dA}{dt} = \frac{\partial A}{\partial t} + \frac{\partial A}{\partial z} \frac{dz}{dt} \quad (21)$$

- The group velocity is then

$$v_g = \frac{dz}{dt} = -\frac{\partial A / \partial t}{\partial A / \partial z} = \frac{\Delta \omega}{\Delta k} = \left. \frac{d\omega}{dk} \right|_{\omega_0} \quad (22)$$

## Velocities along z axis for the waveguide

- $\omega = \sqrt{\omega_{mn}^2 + k_z^2}$
- Phase velocity  $v_{ph} = \frac{\omega}{k_z} = \frac{c}{\sqrt{1 - (\omega_{mn}/\omega)^2}}$
- Group velocity  $v_g = \frac{d\omega}{dk_z} = c\sqrt{1 - (\omega_{mn}/\omega)^2}$
- Waveguide transports different frequencies at different speeds: dispersion

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# Circular cylindrical waveguides

No TEM mode, as per the earlier arguments

For TM mode

$$E_z = AJ_m(k_\ell r) e^{im\phi} e^{i(k_z z - \omega t)} \quad (23)$$

If the cylinder has radius  $r_0$ ,  
then the boundary condition is  $J_m(k_\ell r_0) = 0$ , gives  $k_\ell(m)$

For TE mode

$$B_z = AJ_m(k_\ell r) e^{im\phi} e^{i(k_z z - \omega t)} \quad (24)$$

If the cylinder has radius  $r_0$ ,  
then the boundary condition is  $J'_m(k_\ell r_0) = 0$ , gives  $k_\ell(m)$

- $k_z^2 = (\omega/c)^2 - k_\ell^2 \Rightarrow$  cutoff frequency  $\omega_{m,\ell} = ck_\ell(m)$
- TM and TE modes have different cutoff frequencies, unlike rectangular waveguides !

# Power transmitted by a waveguide

- Consider TE mode. i.e.  $E_z = 0$ .
- The equations for  $\vec{\mathbf{E}}_{\perp} = (E_x, E_y)$  and  $\vec{\mathbf{B}}_{\perp} = (B_x, B_y)$  become

$$\left. \begin{aligned} B_x &= \frac{ik_z}{k_{\perp}^2} \frac{\partial B_z}{\partial x} \\ B_y &= \frac{ik_z}{k_{\perp}^2} \frac{\partial B_z}{\partial y} \end{aligned} \right\} \Rightarrow \vec{\mathbf{B}}_{\perp} = \frac{ik_z}{k_{\perp}^2} \nabla_{\perp} B_z \quad (25)$$

$$\left. \begin{aligned} E_x &= \frac{ck}{k_{\perp}^2} \frac{\partial B_z}{\partial y} \\ E_y &= -\frac{ck}{k_{\perp}^2} \frac{\partial B_z}{\partial x} \end{aligned} \right\} \Rightarrow \vec{\mathbf{E}}_{\perp} = \frac{ick}{k_z} \vec{\mathbf{B}}_{\perp} \times \vec{\mathbf{z}} \quad (26)$$

- The magnitude of Poynting vector (power transmitted per unit area) is then

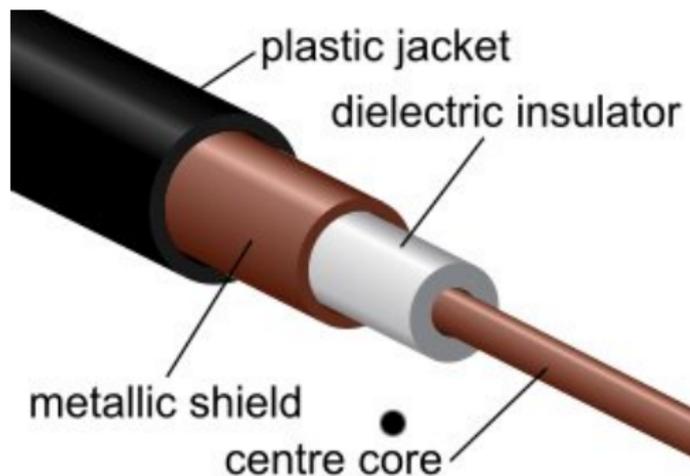
$$|\vec{\mathbf{N}}| = \frac{|\vec{\mathbf{E}}_{\perp 0}^* \times \vec{\mathbf{H}}_{\perp 0}|^2}{2} = \frac{|\vec{\mathbf{E}}_{\perp 0}|^2}{2} \vec{\mathbf{k}}_z ck\mu_0 = \frac{1}{2} \sqrt{\frac{\epsilon_0}{\mu_0}} \frac{k_z}{k} |\vec{\mathbf{E}}_0|^2 \quad (27)$$

- Comparing with  $|\vec{\mathbf{N}}| = (1/2)\sigma|E_0|^2$ , this enables us to define the **conductance of the waveguide** as  $\sigma = \sqrt{\epsilon_0\mu_0}(k_z/k)$ . This may be compared with the conductance of free space,  $\sqrt{\epsilon_0\mu_0}$ .

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# Coaxial cable



# Propagation through a coaxial cable

- TEM Mode is supported (now there are two disjoint boundaries, so the argument for hollow waveguides does not work.)
- TE and TM modes also propagate, but have a threshold frequency

## The TEM mode

- Electric and magnetic fields:

$$\vec{\mathbf{E}} = \frac{E_0 \hat{\mathbf{r}}}{r} e^{i(k_z z - \omega t)}, \quad \vec{\mathbf{B}} = \frac{E_0 \hat{\phi}}{cr} e^{i(k_z z - \omega t)} \quad (28)$$

- Group velocity  $v_g = c$

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# Rectangular cavity

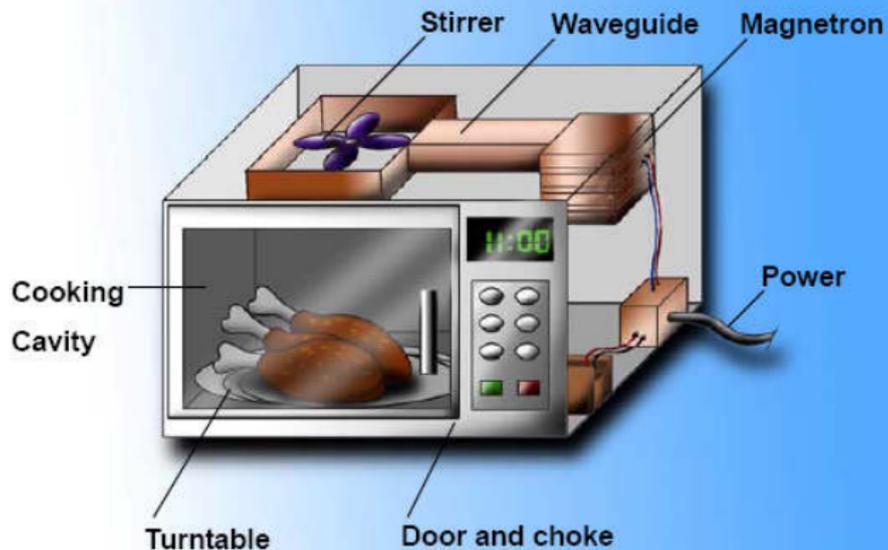
- Conducting walls at  $x = 0, a$ ; at  $y = 0, b$  and at  $z = 0, c$ .
- Potential inside the cavity:

$$\Phi_{mnp} = \sin(k_x x) \sin(k_y y) \sin(k_z z) e^{-i\omega t} \quad (29)$$

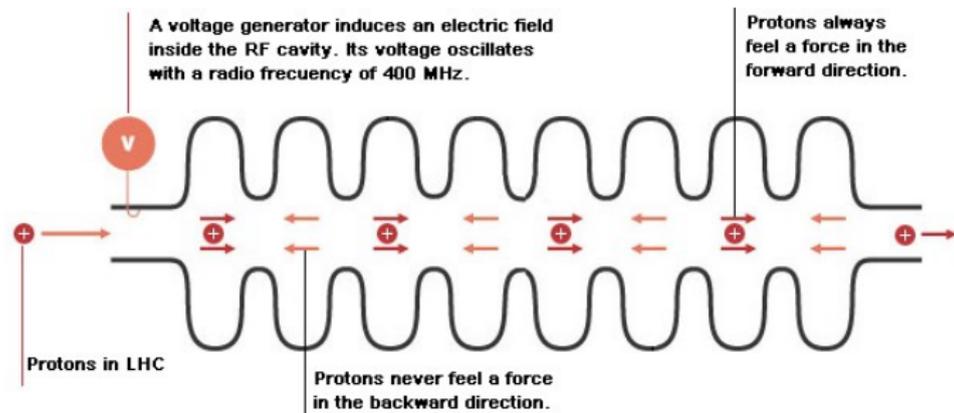
where  $k_x = (m\pi/a)$ ,  $k_y = (n\pi/b)$ ,  $k_z = (p\pi/c)$

- This can be used to obtain  $\vec{\mathbf{E}}$  and  $\vec{\mathbf{B}}$  inside the cavity.
- A rectangular cavity supports discrete modes.

# Microwave: waveguide and cavity



# LHC accelerator: cavity principle



# LHC accelerator: bunching cavities



# Recap of topics covered in this lecture

- Propagation in waveguides in terms of  $E_z$  and  $B_z$
- TEM, TE and TM modes from Maxwell's equations
- No TEM modes for hollow waveguides
- Waveguides as high-pass filters, as dispersive media
- Phase velocity and group velocity
- Power transmitted through waveguide
- Coaxial cable: TEM propagation, in addition to TE and TM
- Cavities for bunching protons together at accelerators