



INO/2005/01  
Interim Project Report

Volume I

# Atmospheric Neutrinos at the INDIA-BASED NEUTRINO OBSERVATORY

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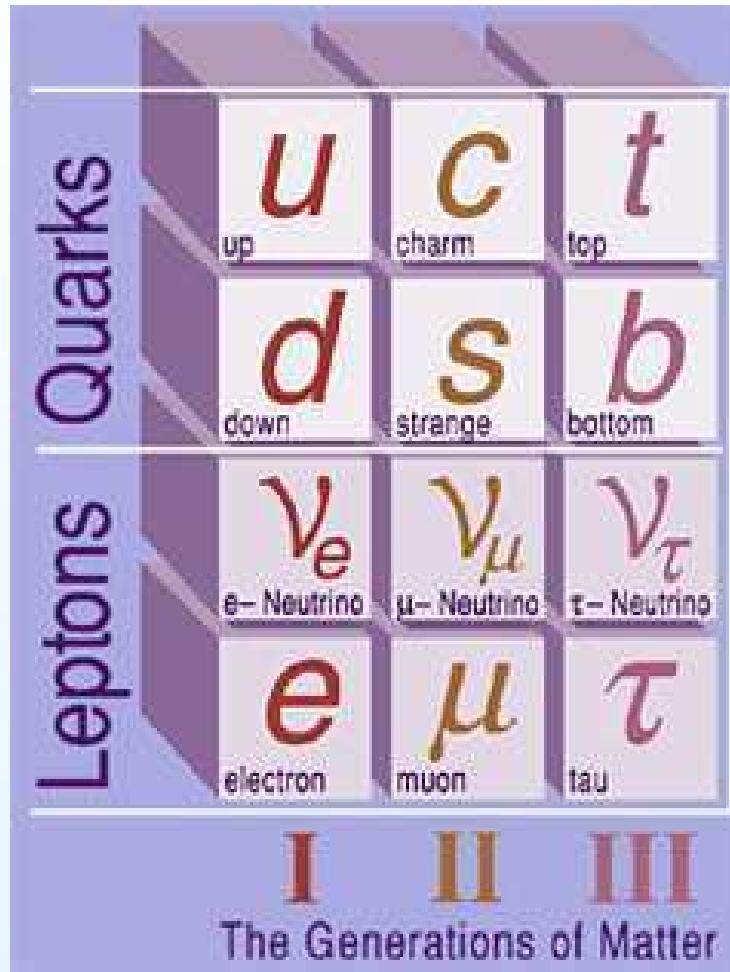
INO

# Plan of Talk

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- ➊ Introduction and Overview of Neutrino Oscillation
- ➋ Physics Goals of INO
- ➌ Atmospheric Neutrinos
- ➍ Matter effects at large baselines
  - ➎ Hierarchy sensitivity
  - ➏ Octant sensitivity
- ➎ Probing CPT violation

# Neutrinos in the Standard Model



- There are three Flavours of Neutrinos:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$
- Massless
- Neutral
- Weakly interacting
- Neutrino Mass → beyond Standard Model

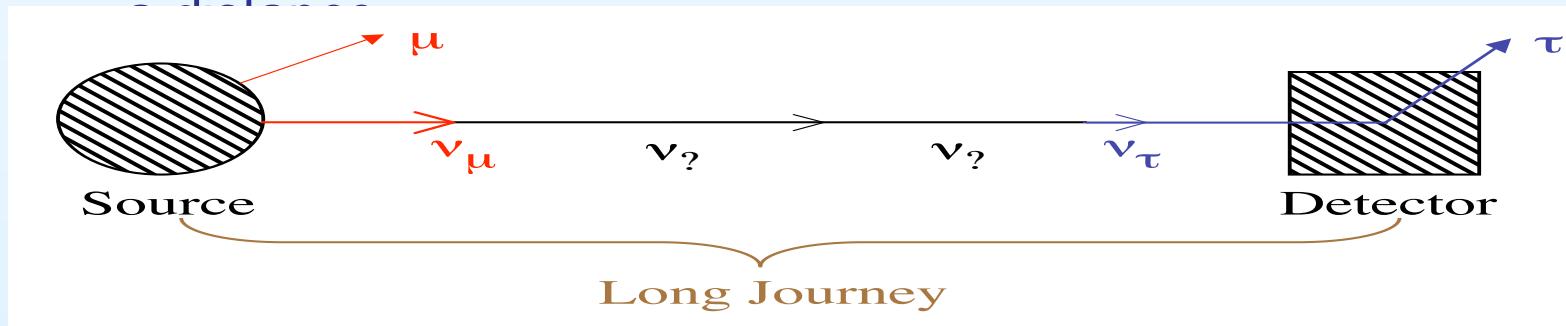
# Bounds on Neutrino Masses

## From Direct Measurements

- $m_{\nu_e} < 2.2 \text{ eV}$  ( ${}^3H \rightarrow {}^3He + e^- + \bar{\nu}_e$ )
- $m_{\nu_\mu} < 190 \text{ KeV}$  ( $\pi \rightarrow \mu \nu_\mu$ )
- $m_{\nu_\tau} < 18.2 \text{ MeV}$  ( $\tau \rightarrow n \pi \nu_\tau$ )

## Cosmological Mass bound $\sum m_i < 1 \text{ eV}$

- Very small neutrino masses can be probed by **Neutrino Oscillation**
- Quantum Mechanical Interference phenomena in which one flavour of neutrino converts to another flavour after passing through



# Snapshot of $\nu$ Oscillation experiments

- ➊ Atmospheric Neutrinos  
SuperKamiokande ( $> 20\sigma$ )
- ➋ Solar Neutrinos  
Homestake, SAGE, Gallex, GNO, SuperKamiokande, SNO ( $7\sigma$ ),  
Borexino
- ➌ Long baseline reactor experiment  
KamLAND ( $5\sigma$ )
- ➍ Long baseline accelerator based experiment K2K ( $\sim 3\sigma$ ), MINOS  
( $\sim 5\sigma$ )
- ➎ Short Basline Accelerator based experiment  
LSND evidence for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , not corroborated by KARMEN,  
MiniBooNE
- ➏ Shortbaseline accelerator and Greenreactor experiments  
E776, KARMEN, CDHS, NOMAD and  
GreenCHOOZ, Buegey have not observed any oscillation.

# Neutrino Oscillation in Vacuum

- ➊ If neutrinos have mass then the flavour states  $\nu_\alpha$  produced in  $l_\alpha + N \rightarrow \nu_\alpha + N'$  are linear combinations of mass states  $\nu_i$

$$\nu_\alpha = U_{\alpha i} \nu_i$$

- ➋ The Probability of flavour conversion after traveling a distance L is

$$\begin{aligned}\mathcal{P}(\nu_\alpha \rightarrow \nu_\beta) &= |<\nu_\beta|\nu_\alpha(t)>|^2 \\ &= \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re}(U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j}) \sin^2(\Delta_{ij}) \\ &\quad + 2 \sum_{i>j} \operatorname{Im}(U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j}) \sin(2\Delta_{ij})\end{aligned}$$

- ➌  $\Delta_{ij} = \frac{1.27 \Delta m_{ij}^2 (eV^2)}{E(GeV)} \frac{L(Km)}{c}$ ,  $\Delta m_{ij}^2 = m_j^2 - m_i^2$

# Neutrino Mixing Matrix

- 2 generations : 1 mixing angle

$$U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

- 3 generations : 3 mixing angles, 1 phase

$$U_{PMNS} = R_{23}(\theta_{23})R_{13}(\theta_{13}, \delta)R_{12}(\theta_{12})$$

$$\begin{aligned} U_{PMNS} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ 0 & 0 \end{pmatrix} \\ &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \end{aligned}$$

- Majorana phases unobservable in neutrino oscillation

## 2 Flavour Oscillation Probabilities

### Survival and Conversion Probabilities (in vacuum)

$$P_{\nu_e \nu_e} = 1 - \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E); \quad \Delta m^2 = m_2^2 - m_1^2$$
$$P_{\nu_e \bar{\nu}_e} = 1 - \sin^2 2\theta \sin^2(\pi L/\lambda)$$

### Oscillation Wavelength (in vacuum)

$$\lambda = 2.5m(E/MeV)(eV^2/\Delta m^2)$$

- $\lambda \gg L, \sin^2(\pi L/\lambda) \rightarrow 0$
- $\lambda \ll L, \sin^2(\pi L/\lambda) \rightarrow 1/2$
- $\lambda \sim 2L, \sin^2(\pi L/\lambda) \sim 1 \rightarrow \Delta m^2 \sim E/L$

### Neutrino Oscillation probabilities depend on

- Two fundamental parameters
  - At least one non-zero neutrino mass
  - Non-zero mixing angles
- Two experimental parameters
  - Neutrino Energy E
  - Source to detector distance L

### Oscillations experiments are not sensitive to absolute masses

Solar Neutrinos :  $E \sim 10 \text{ MeV}$ ,  $L \sim 10^8 \text{ km}$ ,  $\Delta m^2 \sim 10^{-10} \text{ eV}^2$

Matter effects need to be considered

# Matter effect

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- ➊ Matter has free electrons but no free muons or tau particles.
- ➋  $\nu_e$  has both CC & NC interaction with electrons, while  $\nu_\mu$  &  $\nu_\tau$  have only NC interaction with electrons.
- ➌ This changes the effective potential acting on  $\nu_e$  but not on  $\nu_\mu$  &  $\nu_\tau$ , since the potential common to all 3 flavors cancels from oscillation probabilities.
- ➍ The effective masses and mixing angles in matter are different.
- ➎ No matter effect for 2 flavour  $\nu_\mu - \nu_\tau$  oscillation

# Matter effects: Two Flavours

- ➊ The evolution equation in flavor basis is

$$i \frac{d}{dt} \begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix} = \tilde{H} \begin{pmatrix} \nu_\alpha(t) \\ \nu_\beta(t) \end{pmatrix}$$

- ➋ Hamiltonian in flavor basis is:

$$\tilde{H} = E + \frac{m_1^2 + m_2^2}{4E} - \frac{G_F n_n}{\sqrt{2}} + \frac{\Delta m_{21}^2}{4E} \begin{pmatrix} -\cos 2\theta + \frac{2A}{\Delta m_{21}^2} & \sin 2\theta \\ \sin 2\theta & \cos 2\theta \end{pmatrix}$$

$A = 2\sqrt{2}G_F n_e E$ . For anti-neutrinos,  $A \rightarrow -A$ .

- ➌ Effective mixing angle  $\tilde{\theta}$  in matter :  $\tan 2\tilde{\theta} = \frac{\Delta m_{21}^2 \sin 2\theta}{\Delta m_{21}^2 \cos 2\theta - A}$

- ➍ Maximal mixing or resonance:

When  $A = \Delta m_{21}^2 \cos 2\theta$ , flavor states mix maximally, i.e.  $\tilde{\theta} = \pi/4$  even if vacuum mixing angle is small.

# Global Analysis — Ingredients . . .

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- Experimental Data
  - statistical error
  - systematic errors and their correlations
- Theoretical Predictions
  - the fluxes and their uncertainties
  - the interaction cross-sections and their uncertainties
  - the oscillation probabilities (depends on the density profile of the propagating medium  $\Delta m^2$  ,  $\theta$ ,  $E_\nu$  ....)
- rate = flux  $\times$  cross – section  $\times$  probability
- Minimisation of  $\chi^2_{global}$ 
  - covariance method
  - pull method

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  - Minimisation of  $\chi^2_{global}$ 
    - covariance method
    - pull method
-  Best-fit values of parameters  $\Delta m^2$ ,  $\sin^2 \theta$  . . .

# Definition of $\chi^2$

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## \* The Covariance Approach

$$\chi^2 = \sum_{i,j} (R_i^{data} - R_i^{theory})(\sigma_{ij}^2)^{-1}(R_j^{data} - R_j^{theory}) ,$$

$$\sigma_{ij}^2 = \delta_{ij}\sigma_i\sigma_j + \sum_{k=1}^K \sigma_i^k \sigma_j^k \rho_{ij}$$

## \* The “Pull” Approach

$$\chi^2 = \min_{\xi_k} \left[ \sum_{i=1}^N \left( \frac{R_i^{data} - (R_i^{theory} + \sum_{k=1}^K \xi_k \sigma_k)}{\sigma_i^{uncorr}} \right)^2 + \sum_{k=1}^K \xi_k^2 \right]$$

$\xi_i \rightarrow$  free parameters

# Summary : Three Flavour Oscillation Parameters

## ➊ The best-fit and $1\sigma$ ranges

$$\Delta m_{21}^2 = 7.7^{+0.22}_{-0.21} \times 10^{-5} \text{ eV}^2 \quad |\Delta m_{31}^2| = 2.4 \pm 0.12 \times 10^{-3} \text{ eV}^2$$
$$\theta_{12} = (34.5 \pm 1.4)^\circ \quad \theta_{23} = (43.1^{+4.4}_{-3.5})^\circ \quad \theta_{13} = (7.2 \pm 6)^\circ$$

Schwetz, Maltoni arXiv:0812.3161

Fogli, Lisi Maronne, Rotunno, Palazzo arXiv:0805:2517

Gonzalez-Garcia, Maltoni arXiv:0704:1800

$$U_{PMNS}(3\sigma) = \begin{pmatrix} 0.77 - 0.86 & 0.50 - 0.63 & < 0.22 \\ 0.22 - 0.56 & 0.44 - 0.73 & 0.57 - 0.80 \\ 0.21 - 0.55 & 0.40 - 0.71 & 0.59 - 0.82 \end{pmatrix}$$

## ➋ Very different from quark sector

## ➌ Considerable progress but precision not as good as $V_{CKM}$

# Three Neutrino Oscillation Parameters

$$\Delta m_{31}^2$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

“atmospheric”

$$\Delta m_{21}^2$$

$$\begin{pmatrix} c_{13} & 0 & e^{-i\delta} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta} s_{13} & 0 & c_{13} \end{pmatrix}$$

“solar”

known params.	bounded params.	unknown params.
$ \Delta m_{31}^2 $ $\sin^2 \theta_{23}$ $\Delta m_{21}^2$ $\sin^2 \theta_{12}$	$\sin^2 \theta_{13}$ $D_{23} \equiv \sin^2 \theta_{23} - 0.5$	$\delta$ $\text{sign}(\Delta m_{31}^2)$

# Important Future Goals

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- ➊ Improve the errors in  $\delta(\sin^2 \theta_{12})$
- ➋ Improve the errors in  $\delta(\Delta m_{31}^2)$
- ➌ Improve the errors in  $\delta(\sin^2 \theta_{23})$
- ➍ Determine the octant of  $\theta_{23}$
- ➎ Ascertaining if  $\theta_{13}$  is different from zero and improve sensitivity
- ➏ Determination of  $\text{sgn}(\Delta m_{31}^2)$
- ➐ Discovering the leptonic CP phase  $\delta$
- ➑ Search for non-standard interactions and new physics
- ➒ Sterile neutrinos
- ➓ Are neutrinos Dirac or Majorana ?
- ➔ Absolute scale of neutrino masses
- ➕ .....

# Physics Goals for INO

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- ➊ First phase – measurement of atmospheric neutrino flux
  - Reconfirmation of the first oscillation dip as a function of L/E
  - Improved precision of oscillation parameters
  - Determination of the octant of  $\theta_{23}$
  - Matter effects and determination of sign of  $\Delta m_{31}^2$
  - Probing CPT violation, Lorentz violation
  - Discrimination between  $\nu_\mu - \nu_\tau$  and  $\nu_\mu - \nu_s$
  - .....
- ➋ Second Phase – end detector for beta beams, neutrino factory
  - hierarchy,  $\theta_{13}$ , CP violation
  - CERN to INO baseline  $\sim 7000$  km, the magic baseline

# Atmospheric neutrinos . . .

Cosmic Ray +  $A_{air}$  →  $\pi^+ + \dots$

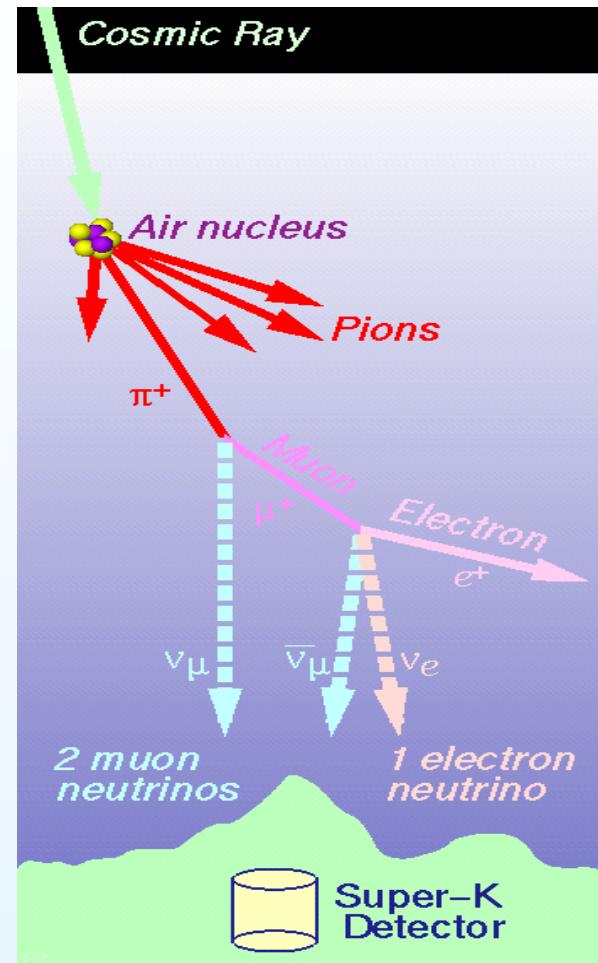
$$\pi^+ \rightarrow \mu^+ + \nu_\mu$$

$$\mu^+ \rightarrow e^+ + \bar{\nu}_\mu + \nu_e$$

- Energy: 100 MeV - TeV
- Pathlength: 15 - 13,000 km
- Provides broad L/E band

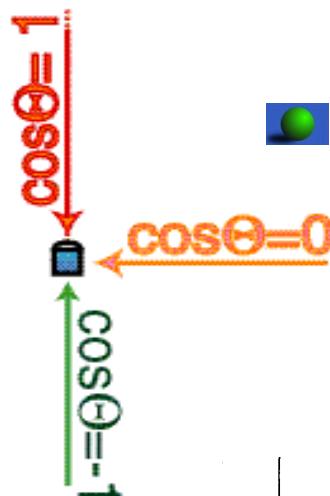
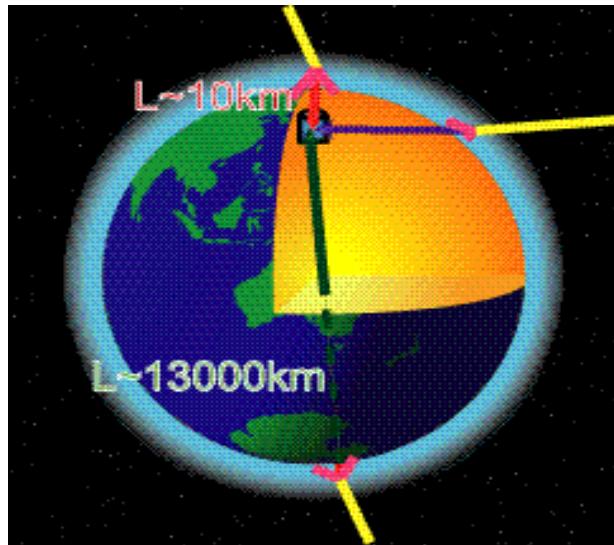
$$\nu_\mu : \nu_e = 2: 1 \text{ (expected)}$$

$$\nu_\mu / \nu_e \sim 0.9 - 1 \text{ (observed)}$$



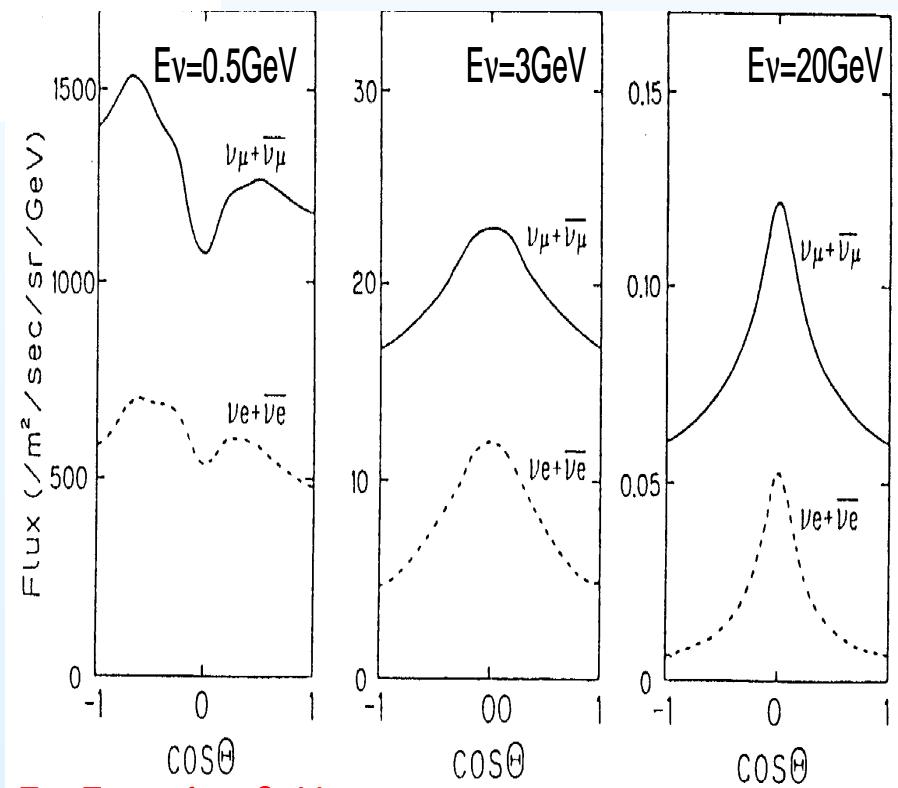
⇒  $\nu_\mu$  conversion

# Atmospheric neutrino Flux



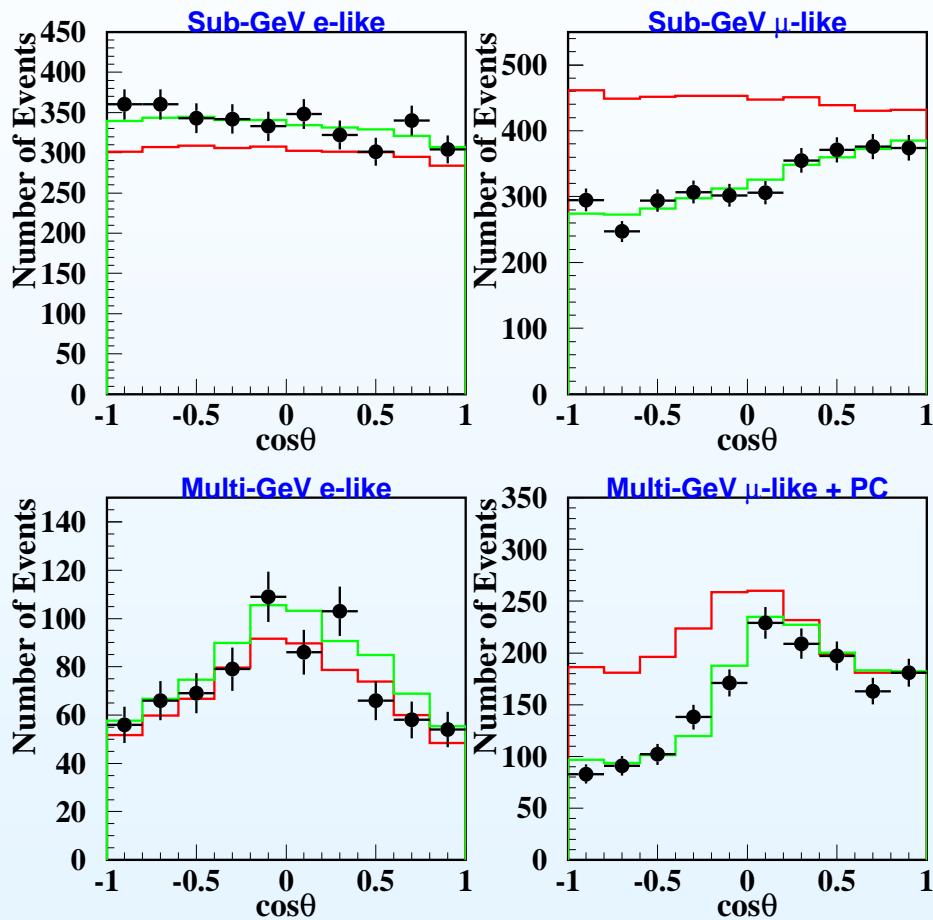
The flux is Up-down symmetric for  $E > \text{a few GeV}$

Up-down asymmetry  $\Rightarrow$  neutrino oscillations



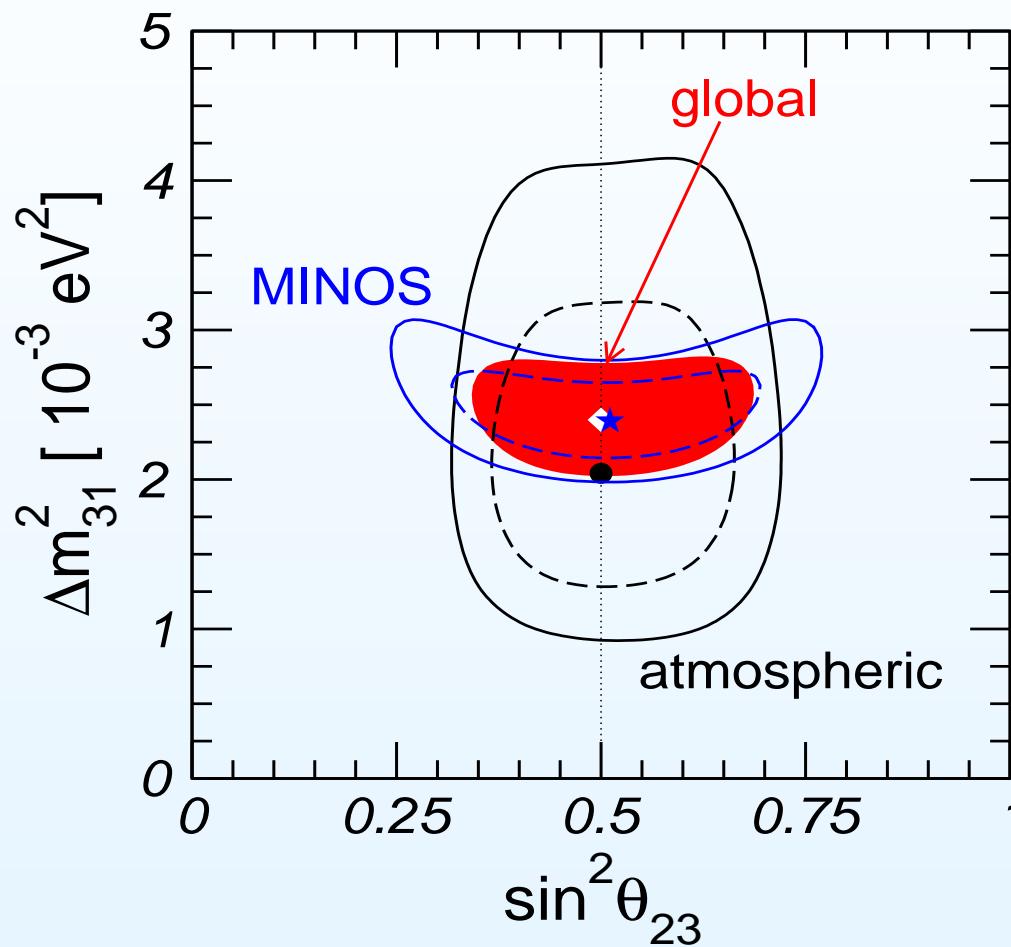
# Detection of Atmospheric neutrinos at SK

SK-I 1489 days zenith angle spectrum



- Two generation  $\nu_\mu - \nu_\tau$  oscillation
- Matter Effect not relevant
- $N_\mu(\text{up})/N_\mu(\text{down}) = P_{\mu\mu}$
- $P_{\mu\mu} = 1 - \sin^2 2\theta_{atm} \sin^2 \left( \frac{\Delta m_{atm}^2 L}{4E} \right)$   
( $\theta_{atm} \equiv \theta_{23}$ ,  $\Delta m_{atm}^2 \equiv \Delta m_{31}^2$ )
- $\theta_{23} - (\pi/2 - \theta_{23})$  symmetry
- No information on  $sgn(\Delta m_{atm}^2)$ .
- Earth Matter effect important for upward going neutrinos and  $\theta_{13} \neq 0$

# Atmospheric Neutrino Oscillation parameters



Best-fit (ATM+MINOS)

$$|\Delta m_{atm}^2| = 2.4 \times 10^{-3} \text{ eV}^2 \quad \sin^2 \theta_{23} = 0.5$$

3 $\sigma$  range (ATM+MINOS)

$$|\Delta m_{atm}^2| = (2.1 - 2.8) \times 10^{-3} \text{ eV}^2$$
$$\sin^2 \theta_{23} = 0.36 - 0.67$$



3 $\sigma$  Precision:

$|\Delta m_{atm}^2| \sim 14\%$  mainly by MINOS  
 $\sin^2 \theta_{23} \sim 30\%$  mainly by Atmospheric



No information on  $sgn(\Delta m_{atm}^2)$

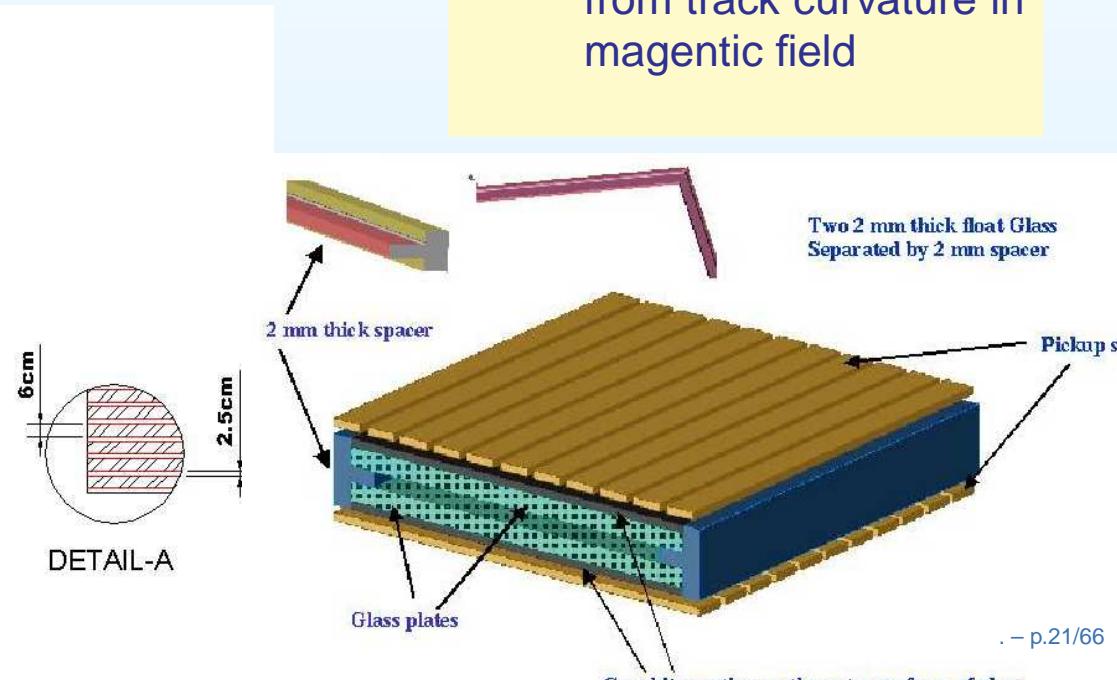
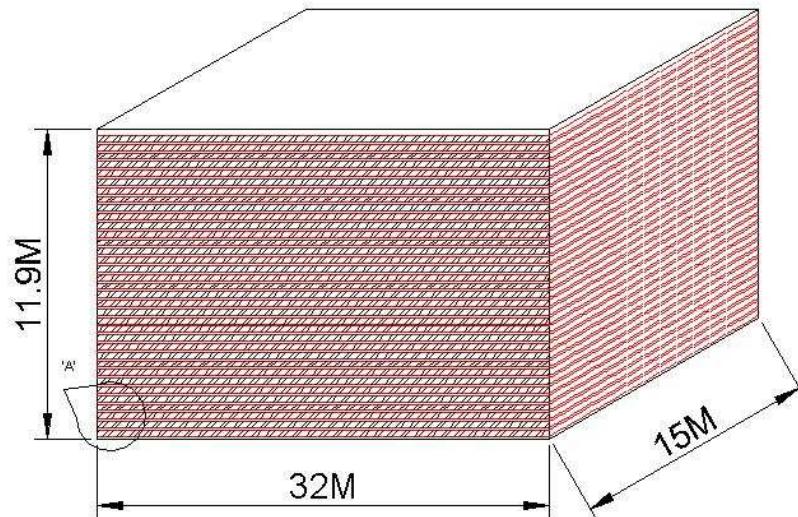


No information on octant of  $\theta_{23}$

# The detector

- Magnetised iron calorimeter
- Modular structure - 3 modules
- Module dimension  $16\text{m} \times 16\text{m} \times 12\text{m}$
- Detector:  $48\text{ m} \times 16\text{m} \times 12\text{m}$
- 140 horizontal iron layers interspersed with Glass RPC
- Iron Plate thickness 6 cm
- Gap for RPC trays 2.5 cm

- Sensitive to muons
- Energy determination from
  - Track length
  - Track curvature in a magnetic field
- Direction of parent neutrino from the track
- Charge identification from track curvature in magentic field



# Atmospheric Neutrinos in INO

## Sensitive to Muons

- $\nu_\mu + N \rightarrow \mu^- + N'$  (QE)
- $\bar{\nu}_\mu + N \rightarrow \mu^+ + N'$  (QE)

- 1 Pion
- DIS

■ Muon event number:  $(\phi_\mu \times P_{\mu\mu} + \phi_e \times P_{e\mu}) \times \sigma_{CC} \times \epsilon$



$$\frac{d^2N_\mu}{d\Omega_m dE_m} = \frac{1}{2\pi} \int_1^{100} dE_t \int d\Omega_t R(E_t, E_m) R(\Omega_t, \Omega_m) [\Phi_\mu^d P_{\mu\mu} + \Phi_e^d P_{e\mu}] \sigma \epsilon \quad (1)$$

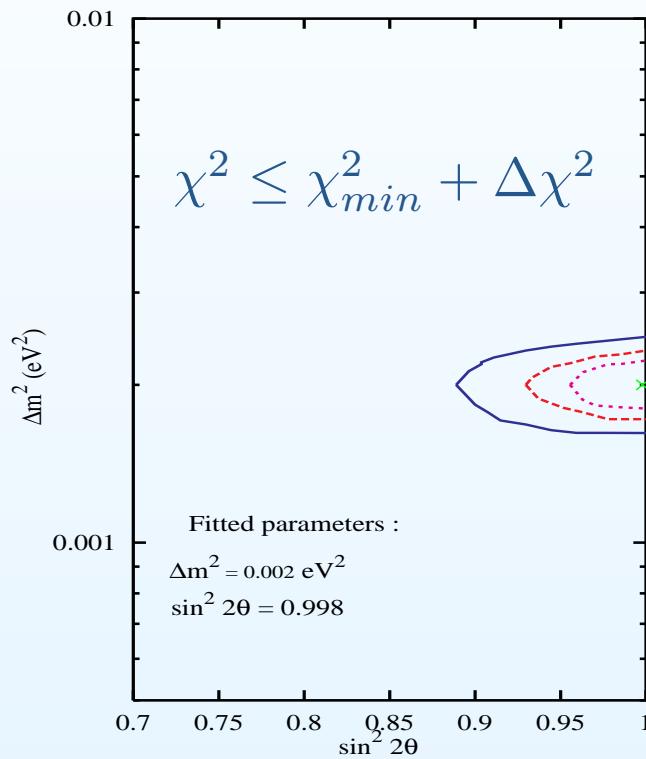
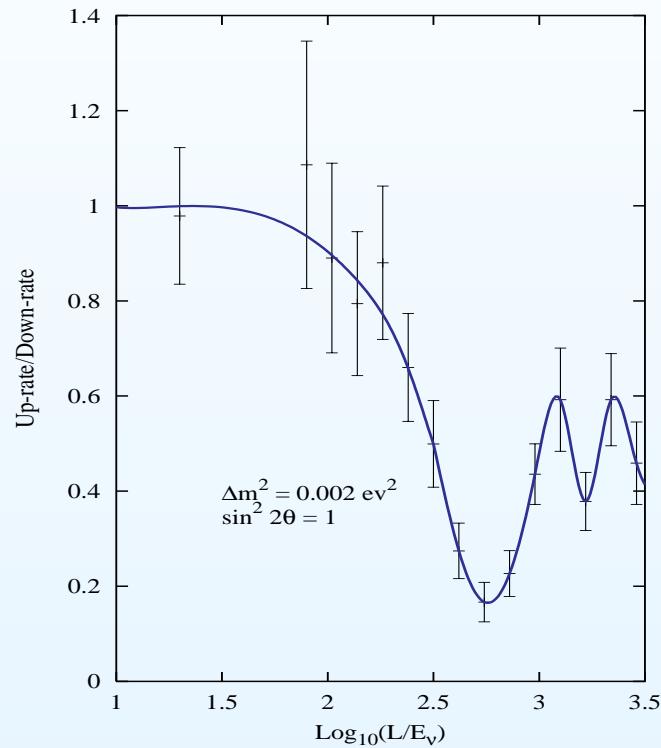


$$R(\Omega_t, \Omega_m) = N \exp \left[ -\frac{(\theta_t - \theta_m)^2 + \sin^2 \theta_t (\phi_t - \phi_m)^2}{2(\Delta\theta)^2} \right]. \quad (2)$$

$$R(E_m, E_t) = \frac{1}{\sqrt{2\pi}\sigma} \exp \left[ -\frac{(E_m - E_t)^2}{2\sigma^2} \right]. \quad (3)$$

# Atmospheric Neutrinos and INO

## Observation of fall and rise of up/down $\nu_\mu$ events



## Increased precision of $\Delta m^2_{atm}$

# Comparison with Long Baseline Experiments

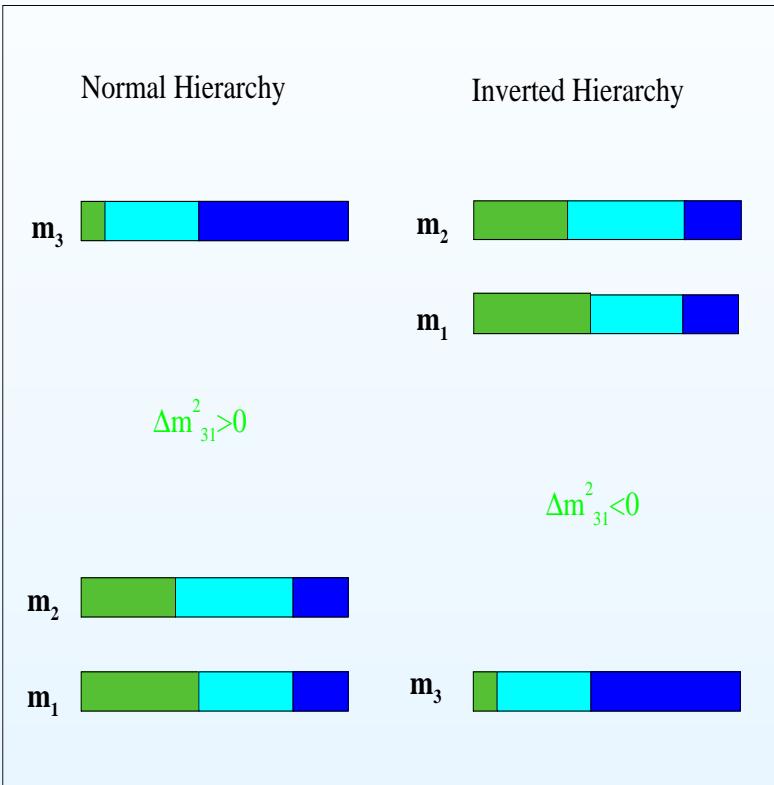
- 3 $\sigma$  spread ( $|\Delta m^2_{31}| = 2 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{23} = 0.5$ ).

	$ \Delta m^2_{31} $	$\sin^2 \theta_{23}$
current	29%	33%
MINOS+CNGS	13%	39%
T2K	6%	23%
Nova	13%	43%
INO, 50 kton, 5 years	10%	30%

M. Lindner, hep-ph/0503101

Table refers to the older NO $\nu$ A proposal;  
the revised March 2005 NO $\nu$ A proposal  
is expected to be competitive with T2K.

# Ambiguity in Mass Hierarchy



Normal Hierarchy :

$$m_3^2 \approx \Delta m_{atm}^2 >> m_2^2 \approx \Delta m_\odot^2 >> m_1^2$$



Inverted Hierarchy :

$$m_1^2 \approx \Delta m_{atm}^2 \approx m_2^2 >> m_3^2$$

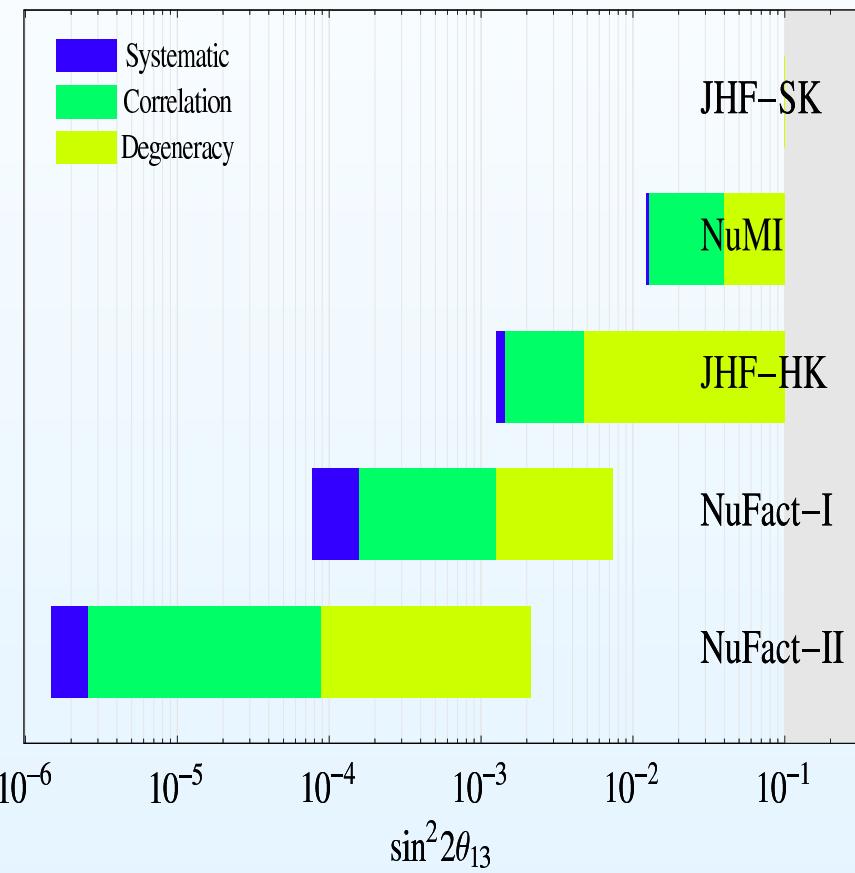


Quasi-Degenerate

$$m_3 \approx m_2 \approx m_1 >> \sqrt{\Delta m_{atm}^2}$$

# Ambiguity in Mass Hierarchy

Sensitivity to the sign of  $\Delta m_{31}^2$



- ➊ Hierarchy difficult to determine in superbeams
- ➋ Sensitivity limited by correlation and degeneracies
- ➌ Synergistic use of experiments
- ➍ Use of Matter effects
- ➎ Use of Magic baseline

M. Lindner, [hep-ph/0503101](https://arxiv.org/abs/hep-ph/0503101)

# Matter effects: Three Flavours

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- ➊ The effective Hamiltonian is

$$\tilde{H} = \frac{1}{2E} [U \begin{pmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}]$$

excluding terms  $\propto$  identity matrix.  $U = R_{23}R_{13}R_{12}$

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excluding terms  $\propto$  identity matrix.  $U = R_{23}R_{13}R_{12}$

- ➋ Subtracting  $m_1^2$  from the first part,

$$\tilde{H} = \frac{1}{2E} [U \begin{pmatrix} 0 & 0 & 0 \\ 0 & \Delta m_{21}^2 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}]$$

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excluding terms  $\propto$  identity matrix.  $U = R_{23}R_{13}R_{12}$

- ➋ 1 MSD ( $\Delta m_{21}^2 = 0$ ) limit

$$\tilde{H} = \frac{1}{2E} [U \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \Delta m_{31}^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}]$$

→ Resonance in the 1-3 sector

# Matter effects : Three flavours

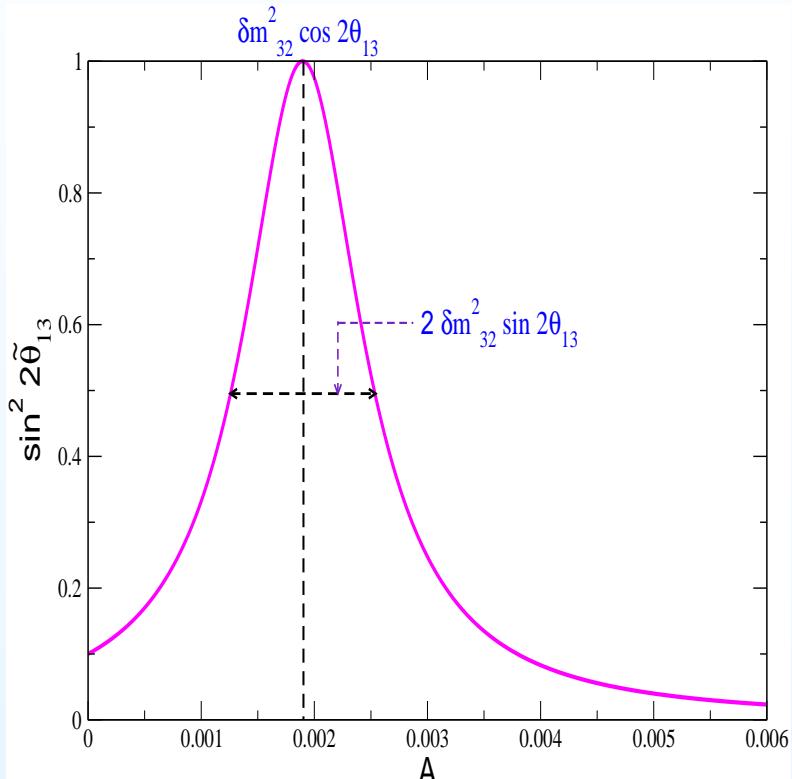
- ➊ Resonance in the 1-3 sector

$$\sin^2 2\tilde{\theta}_{13} = \frac{\sin^2 2\theta_{13}}{(\frac{A}{\delta m_{31}^2} - \cos 2\theta_{13})^2 + \sin^2 2\theta_{13}}$$

$$\tilde{\Delta}_{31} = \Delta_{31} \sqrt{(\frac{A}{\delta m_{31}^2} - \cos 2\theta_{13})^2 + \sin^2 2\theta_{13}}$$

- ➊ Maximal mixing or resonance:  $\pm \frac{A}{\delta m_{31}^2} = \cos 2\theta_{13}$   
Lower sign denotes anti-neutrino case, where  $A \rightarrow -A$
- ➊ At resonance  $\sin^2 2\tilde{\theta}_{13} = 1$  or  $\tilde{\theta}_{13} = \pi/4$ .
- ➊  $\nu$ : resonant enhancement in  $\sin^2 2\tilde{\theta}_{13}$  for  $\Delta m_{31}^2 > 0$ , anti- $\nu$ :  $A \rightarrow -A$ , so resonance for  $\Delta m_{31}^2 < 0$ .
- ➊ Experiments sensitive to matter effects can probe mass hierarchy
- ➊ Matter effects for  $\Delta m_{31}^2$  channel depend crucially on  $\theta_{13}$

# Matter resonance



The resonance condition:

$$\frac{2\sqrt{2}G_F n_e E}{\Delta m_{31}^2} = \cos 2\theta_{13}$$



This gives:

$$E_{res} = \frac{\Delta m_{31}^2 \cos 2\theta_{13}}{2\sqrt{2}G_F n_e}$$



For  $\Delta_{31} = 0.002 \text{ eV}^2$ ,  $E_{res} \sim 5 \text{ GeV}$



$E_{res}$  depends on  $\Delta m_{31}^2$  and  $n_e$



For a fixed  $\Delta m_{31}^2$  it is different at different baselin

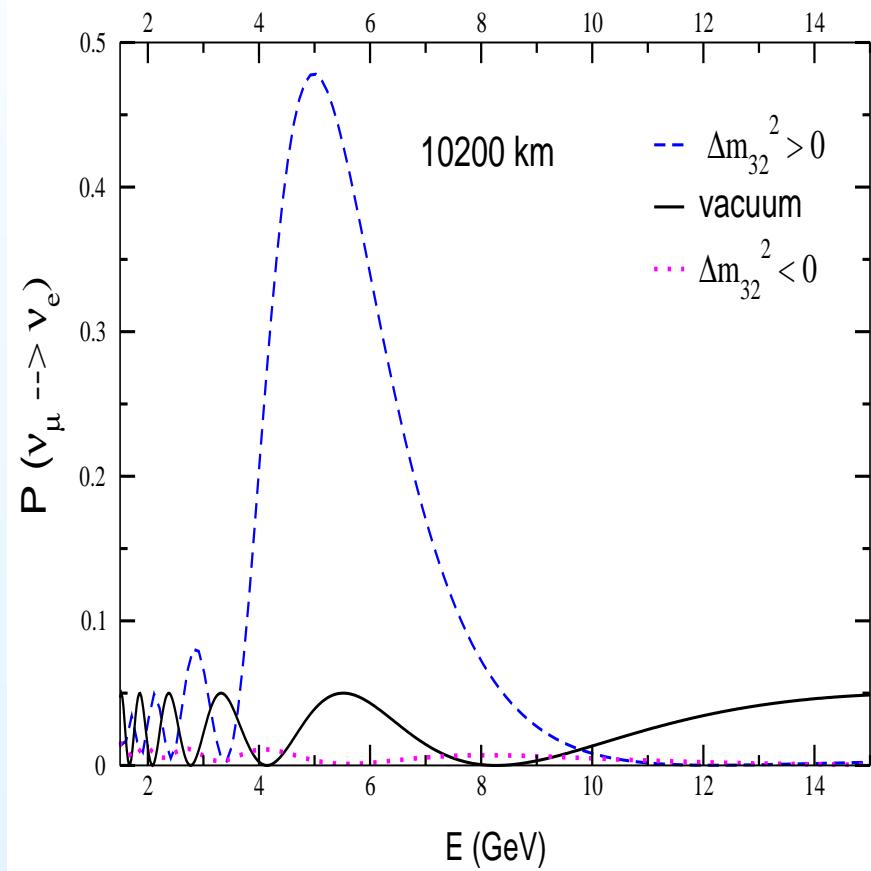
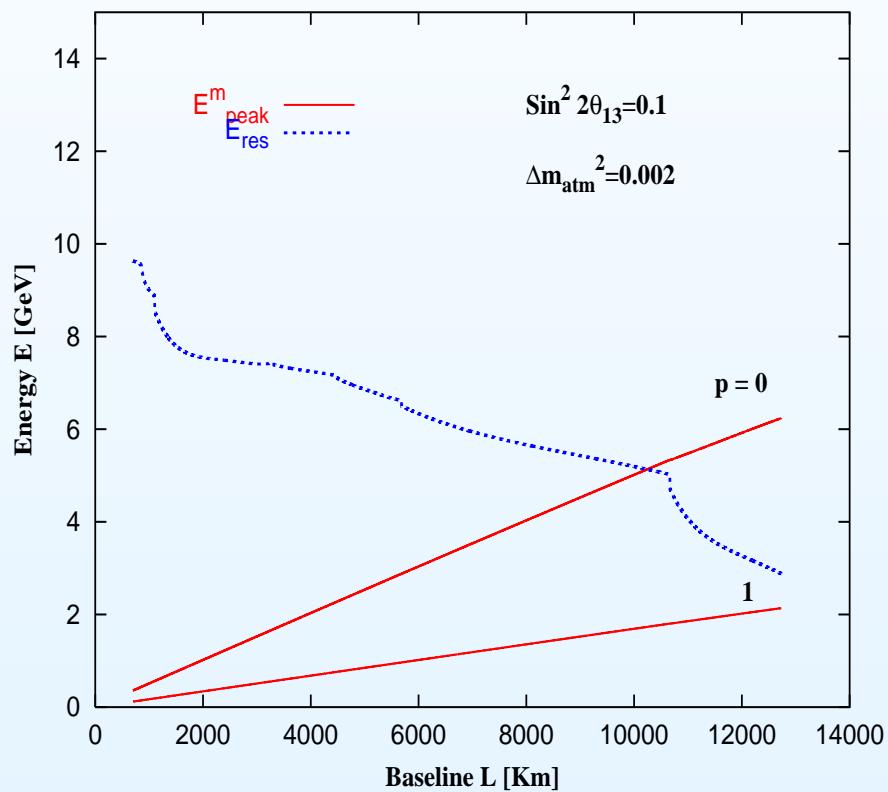
# Conditions For Maximum Matter effect

- ➊  $\mathcal{P}_{\nu_\mu \rightarrow \nu_e}^m = s_{23}^2 \sin^2 2\theta_{13}^m \sin^2 [\Delta_{31}^m L/E]$   
 $P_{\nu_e \rightarrow \nu_e}^m = 1 - \sin^2 2\theta_{13}^m \sin^2 [\Delta_{31}^m L/E]$
- ➋ Matter effect is observed near  $E \sim E_{res}$ , where the amplitude is large, but we also require large phase.
- ➌  $\mathcal{P}_{\nu_\mu \rightarrow \nu_e}^m$  and  $\mathcal{P}_{\nu_\mu \rightarrow \nu_e}^m$  is maximum when simultaneously  
 $\sin^2(2\theta_{13})^m = 1$   
 $\sin^2 \Delta_{31}^m = 1 = \sin^2((2p+1)\pi/2)$
- ➍ This implies:  $E = E_{res} = E_{peak}^m$ .
- ➎ This gives the maximum matter effect condition for L:

$$[\rho L]_{\mu e}^{max} = \frac{(2p+1)\pi \times 5.18 \times 10^3}{\tan 2\theta_{13}} \text{ km gm/cc}$$

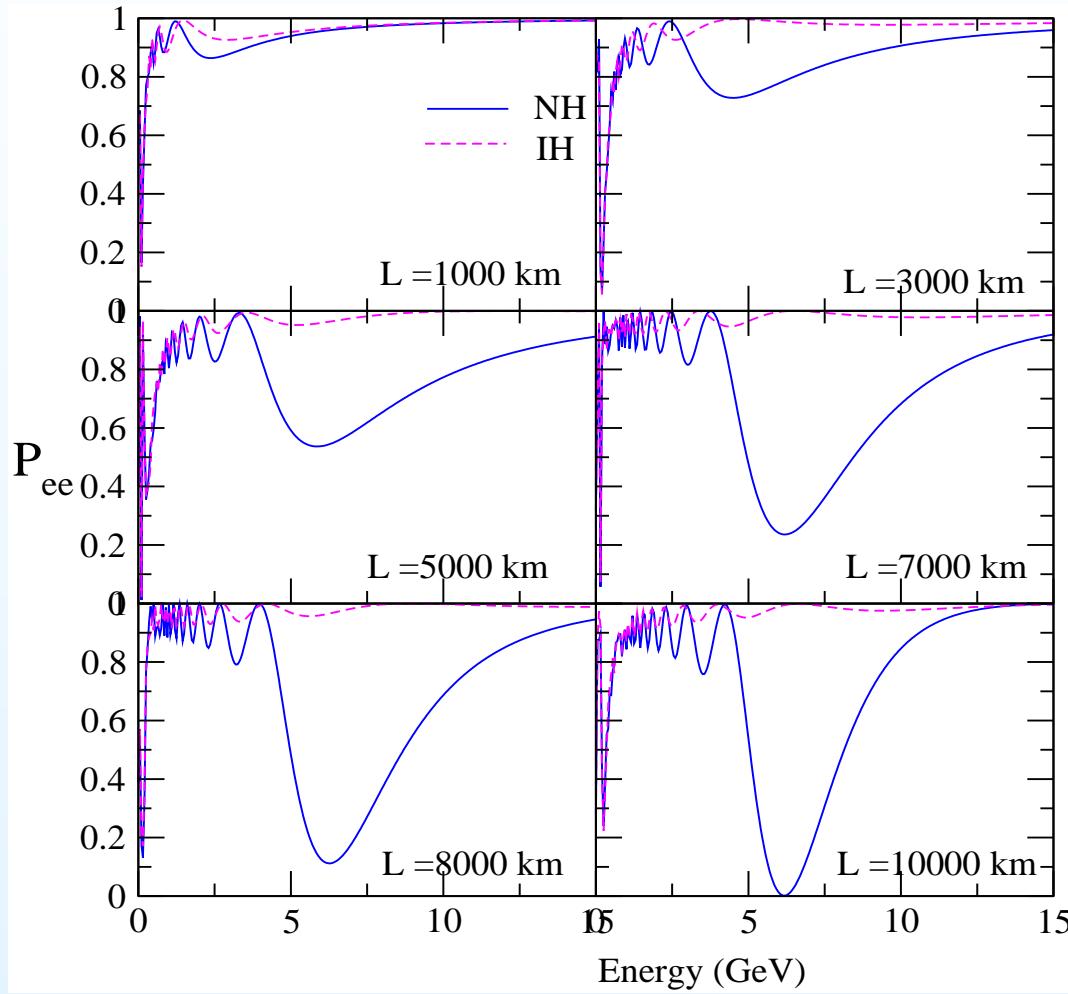
# $E_{res}$ & $E_{peak}^m$ vs L for $P(\nu_\mu \rightarrow \nu_e)$

Fig:  $E_{res}$  and  $E_{peak}^m$  as a function of baseline ( $P_{\mu e}$ )



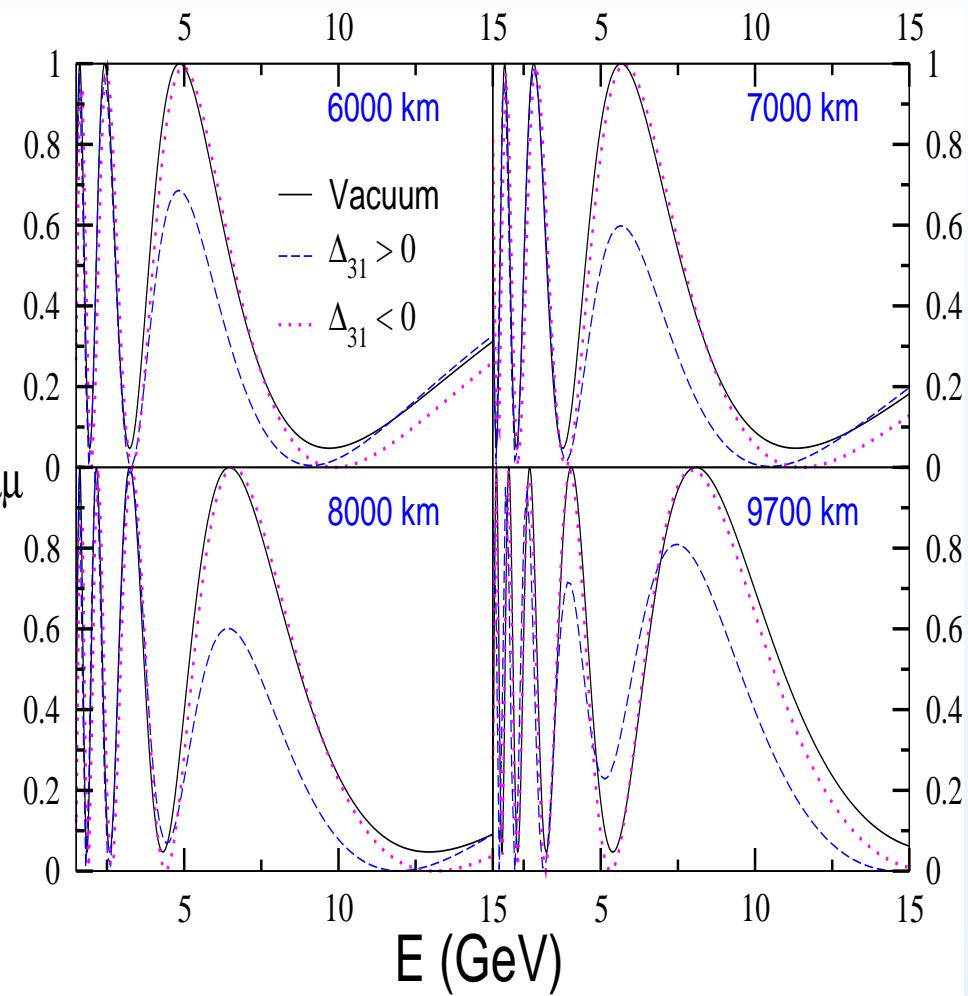
For  $\sin^2 2\theta_{13} = 0.1$ ,  $p=0$ , the maximum matter effect comes at  $L \sim 10,000$  km

# Matter effect in $P_{ee}$ channel



➊ Matter effect maximum around  $\sim 10,000 \text{ km}$ .

# Condition for Maximum Matter effect in $P_{\nu_\mu \rightarrow \nu_\mu}$



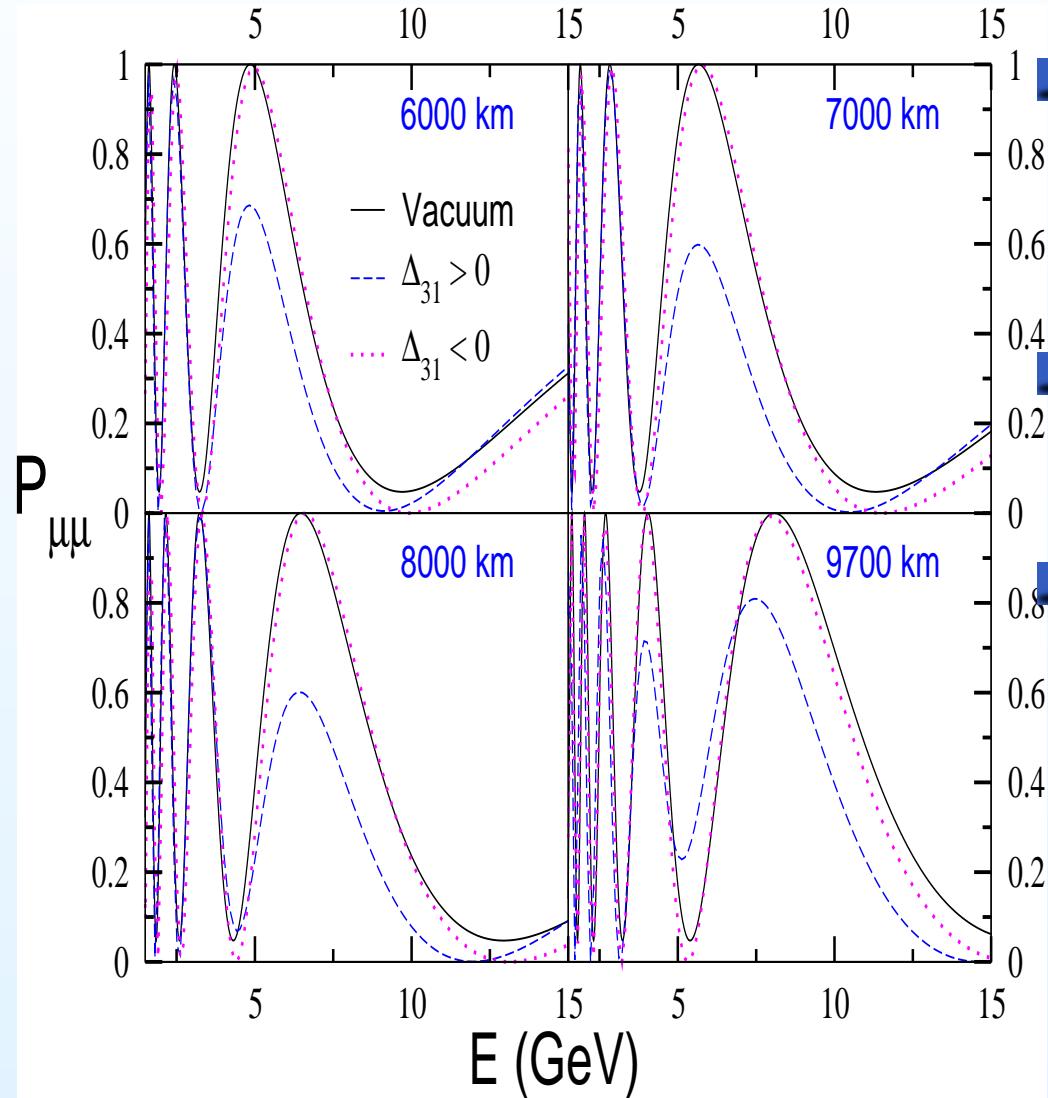
$$P_{\mu\mu}^m = 1 - P_{\mu\mu}^{m\ 1} - P_{\mu\mu}^{m\ 2} - P_{\mu\mu}^{m\ 3}$$

$$P_{\mu\mu}^{m\ 1} = c_{13}^{2\ m} \sin^2 2\theta_{23} \sin^2 \left[ \frac{1.27(\Delta_{31} + A + \Delta_{31}^m)L}{2E} \right]$$

$$P_{\mu\mu}^{m\ 2} = s_{13}^{2\ m} \sin^2 2\theta_{23} \sin^2 \left[ \frac{1.27(\Delta_{31} + A - \Delta_{31}^m)L}{2E} \right]$$

$$P_{\mu\mu}^{m\ 3} = \sin^4 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 (1.27 \Delta_{31}^m L/E)$$

# Condition for Maximum Matter effect in $P_{\nu_\mu \rightarrow \nu_\mu}$



Condition for maximum matter effect in  $P_{\mu\mu}$  is

- $E_{\text{peak}}^v = E_{\text{res}}$
- $1.27 \frac{L}{E_{\text{peak}}^v} = p \pi$

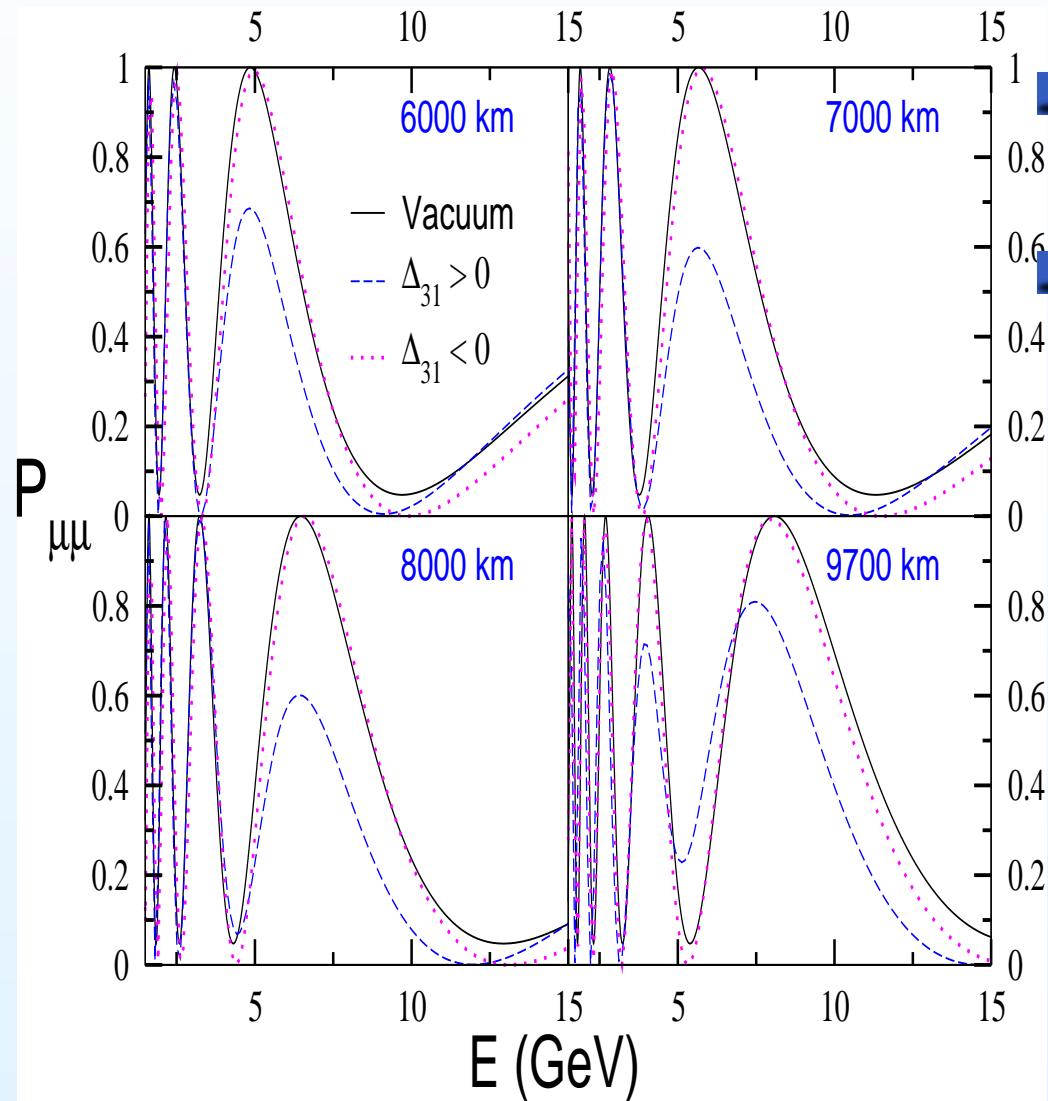
This gives

$$[\rho L]_{\mu\mu}^{\max, \text{peak}} \simeq p \pi \times 10^4 \times \cos 2\theta_{13} \text{ km}$$

for  $p=1$ ,  $L \simeq 7000 \text{ km}$

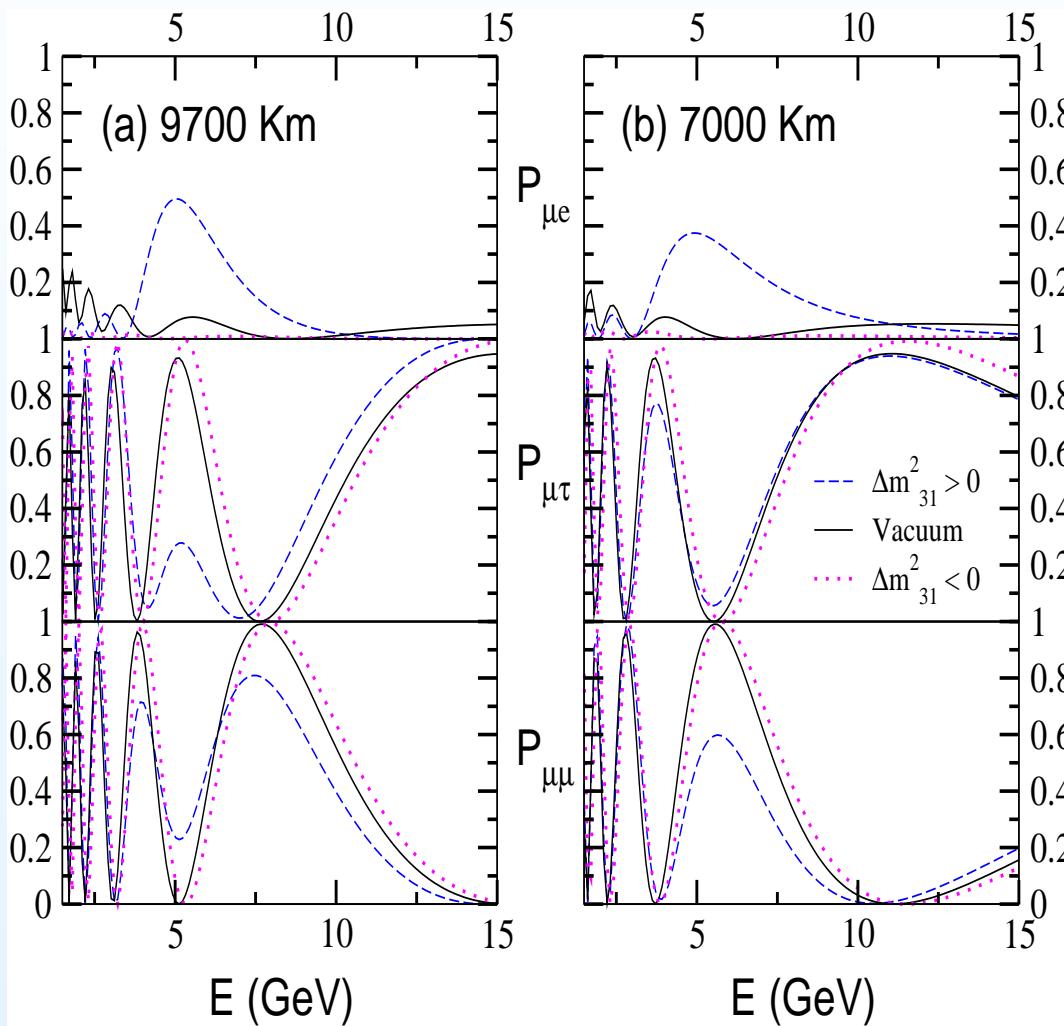
Fall in  $P_{\mu\mu}$  in matter

# Condition for Maximum Matter effect in $P_{\nu_\mu \rightarrow \nu_\mu}$



- At 9700 km rise in  $P_{\mu\mu}$  in matter near a vacuum dip
- Condition for a dip in vacuum in  $P_{\mu\mu}$  is
  - $1.27 \frac{L}{E_{\text{dip}}^v} = (2p + 1) \pi/2$
  - for  $p=1$ ,  $E_{\text{dip}}^v \sim 6.6 \text{ GeV}$  at  $L = 10,000 \text{ km}$
  - At 10,000 km  $E_{\text{res}} \sim 6.6 \text{ GeV}$
  - Thus we have the condition  $E_{\text{dip}}^v = E_{\text{res}}$

# Matter effect in $P_{\mu\tau}$ at large baselines



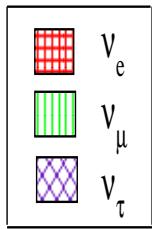
- No matter effect in two flavor  $\nu_\mu - \nu_\tau$  oscillation since both interact via neutral current
- At 9700 km significant matter effect in  $P_{\mu\tau}$
- 50% rise in  $P_{\mu e}$ , 20% rise in  $P_{\mu\mu}$
- $P_{\mu\tau} = 1 - P_{\mu e} - P_{\mu\mu}$
- $\Delta P_{\mu\tau} = -(\Delta P_{\mu e} + \Delta P_{\mu\mu})$
- 70% matter induced fall in  $P_{\mu\tau}$
- Genuine three flavour effect

R. Gandhi, P. Ghoshal, S.G., P. Mehta, S. UmaShanakar , PRL, 2005

# Flavour composition of mass states

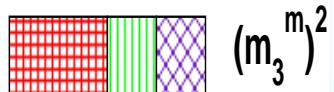
(a)

$$A = 0$$



(b)

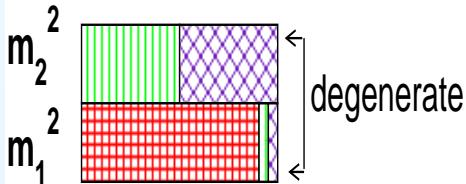
$$A = A_{\text{res}}$$



The vacuum mass eigenstate  $\nu_1$  is largely  $\nu_e$ ,  $\nu_3$  is largely  $\nu_\mu$  &  $\nu_\tau$  and  $\nu_2$  has no  $\nu_e$  component.



Matter effect (increasing  $A$ ) causes  $\nu_e$  in  $\nu_1^m$  to decrease &  $\nu_\mu, \nu_\tau$  to increase. At  $A = A_{\text{res}}$  they are 50%. Similarly,  $\nu_e$  in  $\nu_3^m$  increases to 50%.



$$(m_1^m)^2$$



At resonance, all matter-dependent mass eigenstates  $\nu_1^m, \nu_2^m$  &  $\nu_3^m$  have significant  $\nu_\mu$  &  $\nu_\tau$  components.



$P(\nu_\mu \rightarrow \nu_\tau)$  depends on all 3 mass-squared differences.

## Effect of $\delta_{CP}$

- For OMSD – effective two generation – no CP phase
- For  $L < 1000$  km (matter effect negligible)

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) \approx & \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \Delta_{31} \\ & \mp \alpha \sin 2\theta_{13} \sin \delta_{CP} \sin 2\theta_{12} \sin 2\theta_{23} \Delta_{31} \sin^2 \Delta_{31} \\ & + \alpha \sin 2\theta_{13} \cos \delta_{CP} \sin 2\theta_{12} \sin 2\theta_{23} \Delta_{31} \cos \Delta_{31} \sin \Delta_{31} \\ & + \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \Delta_{31}^2 \end{aligned}$$

- $\alpha = \Delta_{21}/\Delta_{31}$  (Best-fit  $\alpha = 0.03$ ,  $\alpha \sin 2\theta_{12} = 0.028$ )
- Problem of Eightfold degeneracy
  - $\delta_{CP} - \theta_{13}$ ,  $sgn(\Delta m^2_{13})$ ,  $\theta_{23} - (\pi/2 - \theta_{23})$

Burguet-Castell et al, 2001

Minakata and Nunokawa, 2001

Fogli and Lisi, 1996

Barger, Marfatia, Whisnant, 2002

# The Magic Baseline

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The appearance probability ( $\nu_e \rightarrow \nu_\mu$ ) in matter, upto second order in the small parameters  $\alpha \equiv \Delta m_{12}^2 / \Delta m_{13}^2$  and  $\sin 2\theta_{13}$ ,

$$\begin{aligned} P_{e\mu} &\simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2[(1 - \hat{A})\Delta]}{(1 - \hat{A})^2} \\ &\pm \alpha \sin 2\theta_{13} \xi \sin \delta \sin(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \\ &+ \alpha \sin 2\theta_{13} \xi \cos \delta \cos(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \\ &+ \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}; \end{aligned}$$

where  $\Delta \equiv \Delta m_{13}^2 L / (4E)$ ,  $\xi \equiv \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23}$ ,

and  $\hat{A} \equiv \pm(2\sqrt{2}G_F n_e E) / \Delta m_{13}^2$ .

# The Magic Baseline

The appearance probability ( $\nu_e \rightarrow \nu_\mu$ ) in matter, upto second order in the small parameters  $\alpha \equiv \Delta m_{12}^2 / \Delta m_{13}^2$  and  $\sin 2\theta_{13}$ ,

$$\begin{aligned} P_{e\mu} &\simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2[(1 - \hat{A})\Delta]}{(1 - \hat{A})^2} \\ &\pm \alpha \sin 2\theta_{13} \xi \sin \delta \sin(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \\ &+ \alpha \sin 2\theta_{13} \xi \cos \delta \cos(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \\ &+ \alpha^2 \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}; \end{aligned}$$

The  $\delta$  dependence disappears for  $\rightarrow \sin(\hat{A}\Delta) = 0$

# The Magic Baseline

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- For  $\sin(\hat{A}\Delta) = 0$ 
  - The  $\delta$  dependence disappears from  $P(\nu_e \rightarrow \nu_\mu)$ .
  - A clean measurement of the hierarchy and  $\theta_{13}$  is possible without any degeneracy with  $\delta$ .
- The first non-trivial solution:  $\sqrt{2}G_F n_e L = 2\pi$ 
  - Assuming a medium of constant density  $\rho$ :  $L_{\text{magic}}[\text{km}] \approx 32726/\rho[\text{gm/cm}^3]$ .
  - Taking averaged density  $\rho \approx 4.5 \text{ gm/cc}$   $L_{\text{magic}} \approx 7000 \text{ km}$ .  
**(CERN-INO)** baseline

# The Magical Reach of INO

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- ➊ CERN to INO distance = 7152 km

# The Magical Reach of INO

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- ➊ CERN to INO distance = 7152 km
- ➋ JPARC to INO distance = 6556 km

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- ➌ RAL to INO distance = 7653 km

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INO is wonderfully close to magic baseline

# The Magical Reach of INO

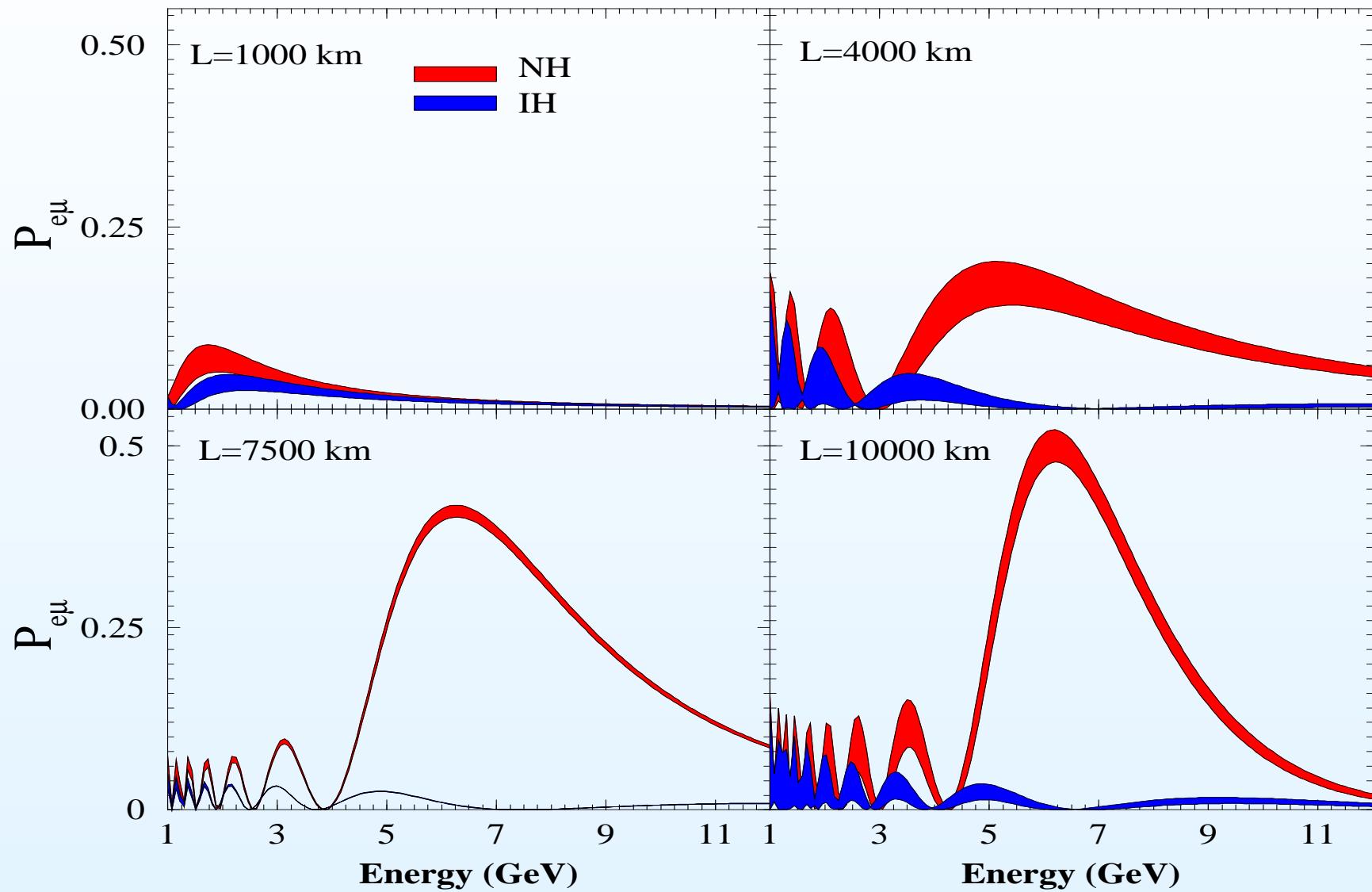
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- ➊ CERN to INO distance = 7152 km
- ➋ JPARC to INO distance = 6556 km
- ➌ RAL to INO distance = 7653 km

INO is wonderfully close to magic baseline

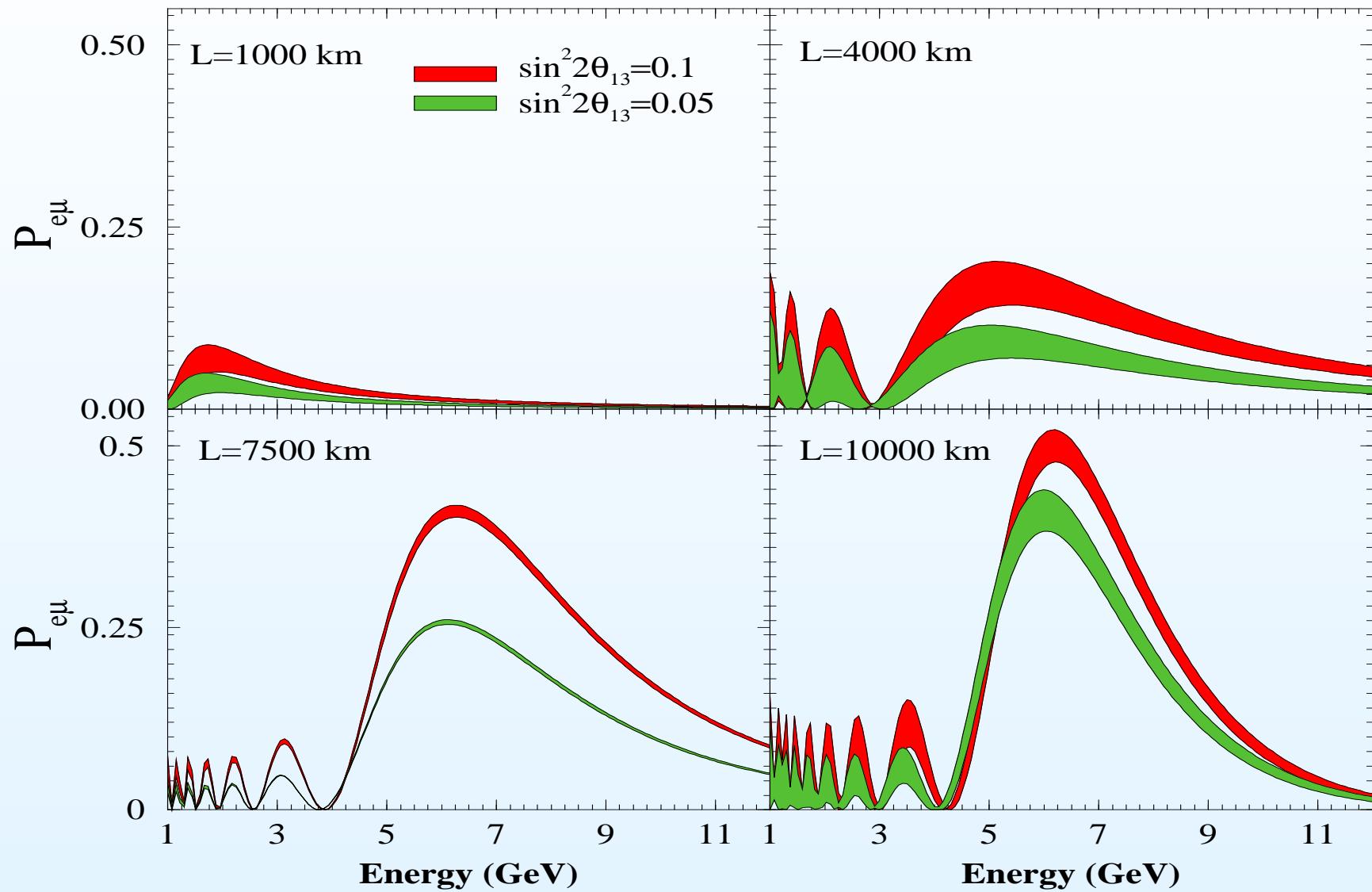
- ➍ Can be important for beam based experiments
- ➎ Atmospheric neutrinos cover a large range in L and E

# $P_{e\mu}$ for NH and IH at different baselines



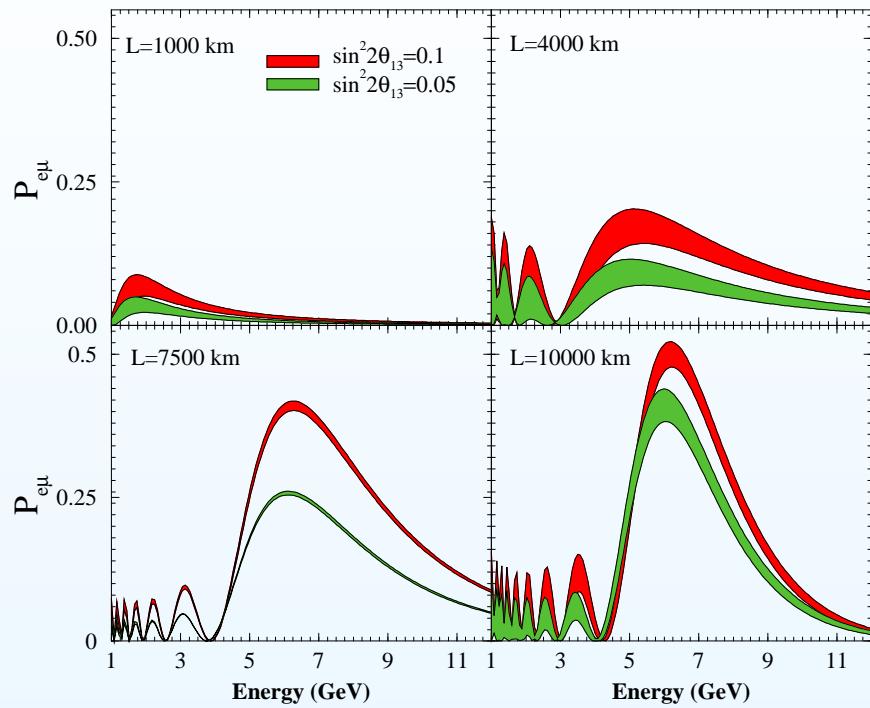
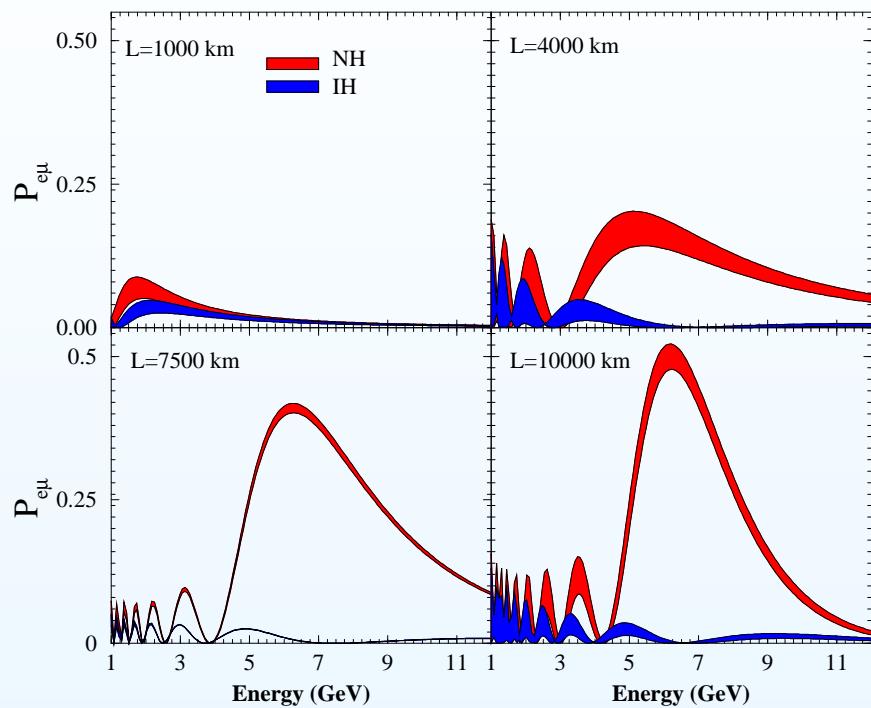
Agarwalla, Choubey, Raychaudhuri, hep-ph/0610333

# $P_{e\mu}$ for two values of $\theta_{13}$ at different baselines



Agarwalla, Choubey, Raychaudhuri, hep-ph/0610333

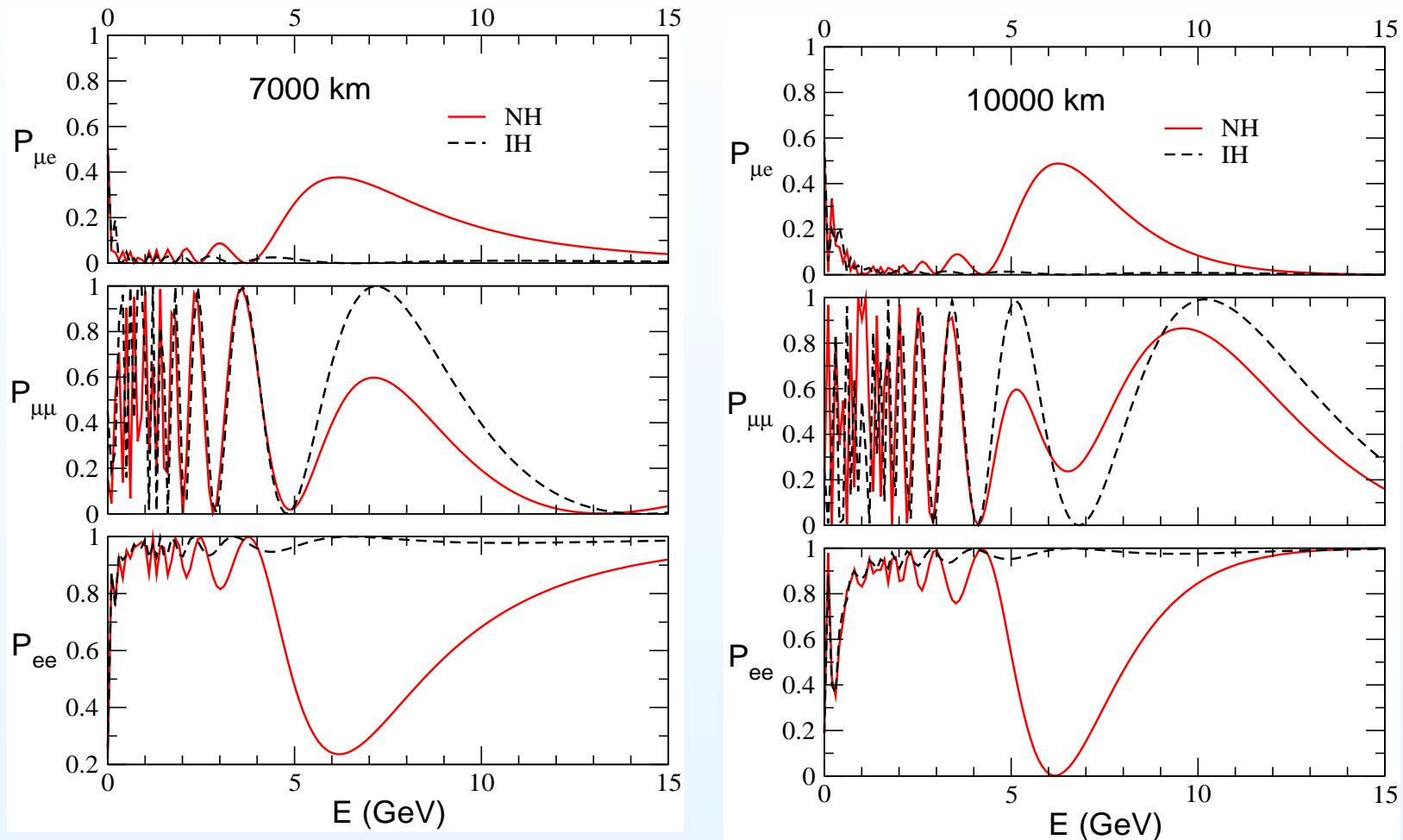
# The Magic baseline



Agarwalla, Choubey, Raychaudhuri, hep-ph/0610333

- At  $\sim 7500$  km  $\delta_{CP}$  dependence negligible
- $(\delta_{CP}, \theta_{13})$  and  $(\delta_{CP}, \text{sgn}(\Delta m_{\text{atm}}^2))$  degeneracies vanish
- Clean measurement of  $\text{sgn}(\Delta m_{\text{atm}}^2)$   $\theta_{13}$

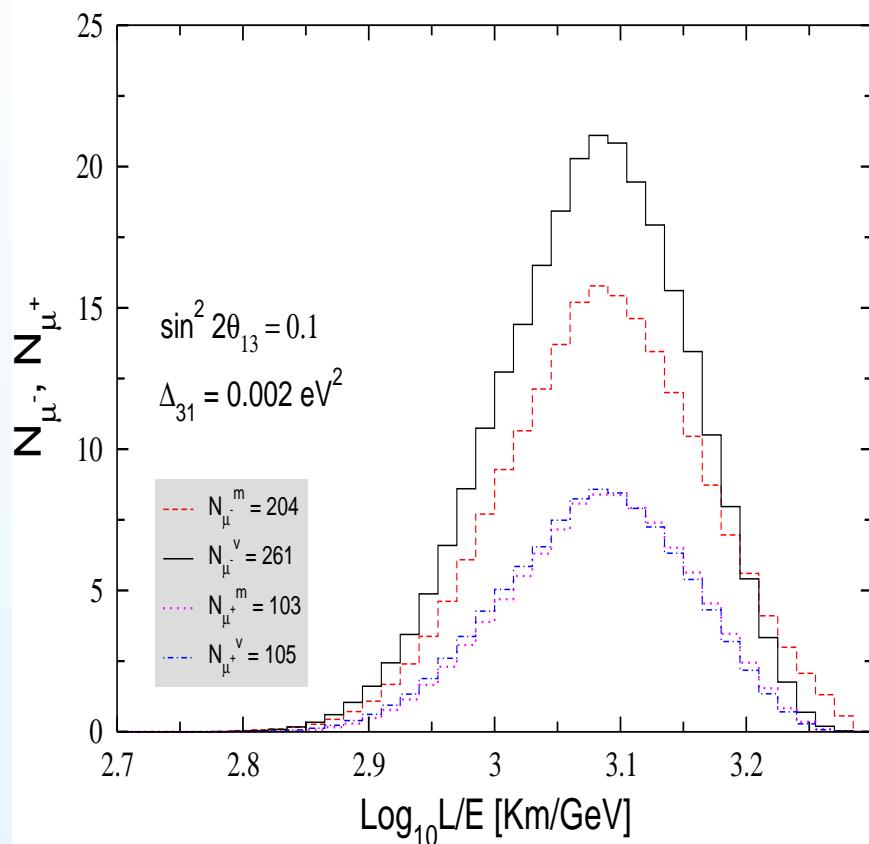
# Matter effect and hierarchy at large baselines



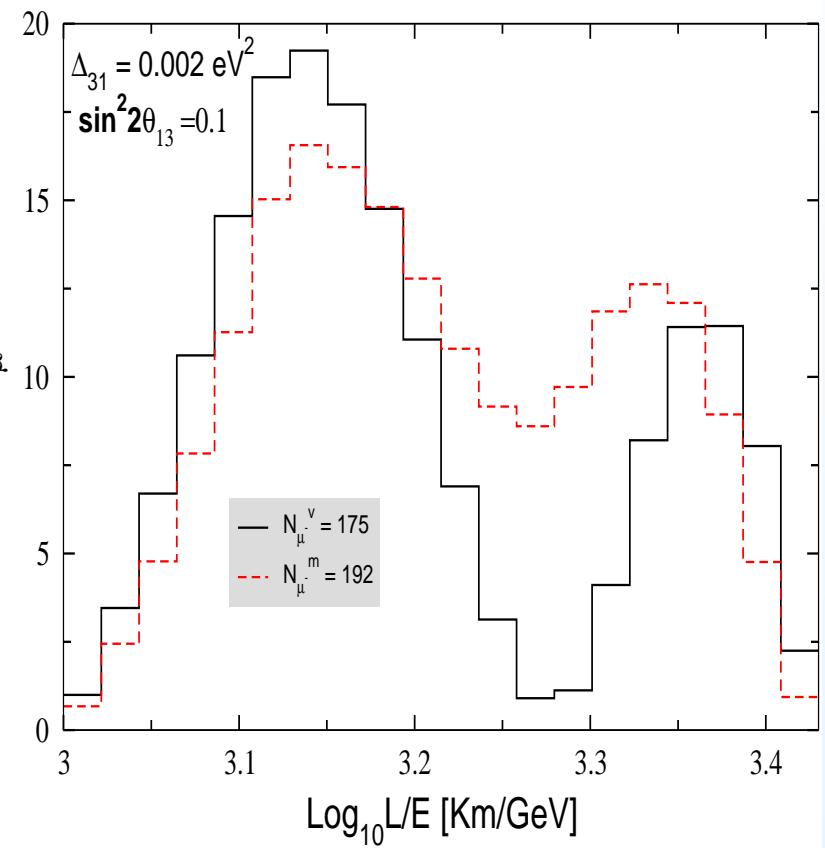
- ➊ Large matter effects at long baselines
- ➋ All three probabilities sensitive to hierarchy
- ➌ Problem of  $\delta_{CP}$  degeneracy less

# Hierarchy Sensitivity in Atmospheric $\nu$ events

$L = 6000 \text{ to } 9700 \text{ Km}, E = 5 \text{ to } 10 \text{ GeV}$



$L = 8000 \text{ to } 10700 \text{ Km}, E = 4 \text{ to } 8 \text{ GeV}$



- For  $\Delta m_{31}^2 > 0$  matter effect in  $\nu_\nu$  and  $(N_{\mu^-}^{\text{mat}} \neq N_{\mu^-}^{\text{vac}})$
- $(N_{\mu^+}^{\text{mat}} \approx N_{\mu^+}^{\text{vac}})$

R. Gandhi, P. Ghoshal, S.G., P. Mehta, S. Umashankar, PRD, 2005

# Atmospheric Neutrinos in INO

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- Exposure:

$$100 \text{ Kt} \times 10 \text{ yr} = 1000 \text{ Kt yr}$$

- Detection efficiency:

87%

- Charge i.d. of muons

100%

- 3-dimensional Honda fluxes

- Range studied for matter effects:

$E = 2 \text{ to } 10 \text{ GeV}$ ,  $\cos \theta_z = -0.1 \text{ to } -1.0$

- Muon threshold:

1 GeV

- Detector resolution of

$10^\circ, 15\%$

# Statistical analysis

- Energy and  $\cos \theta_z$  range divided into  $8 \times 18 = 144$  bins
- INO: sensitive to both muons and antimuons

$$\chi^2 = \chi_\mu^2 + \chi_{\bar{\mu}}^2$$

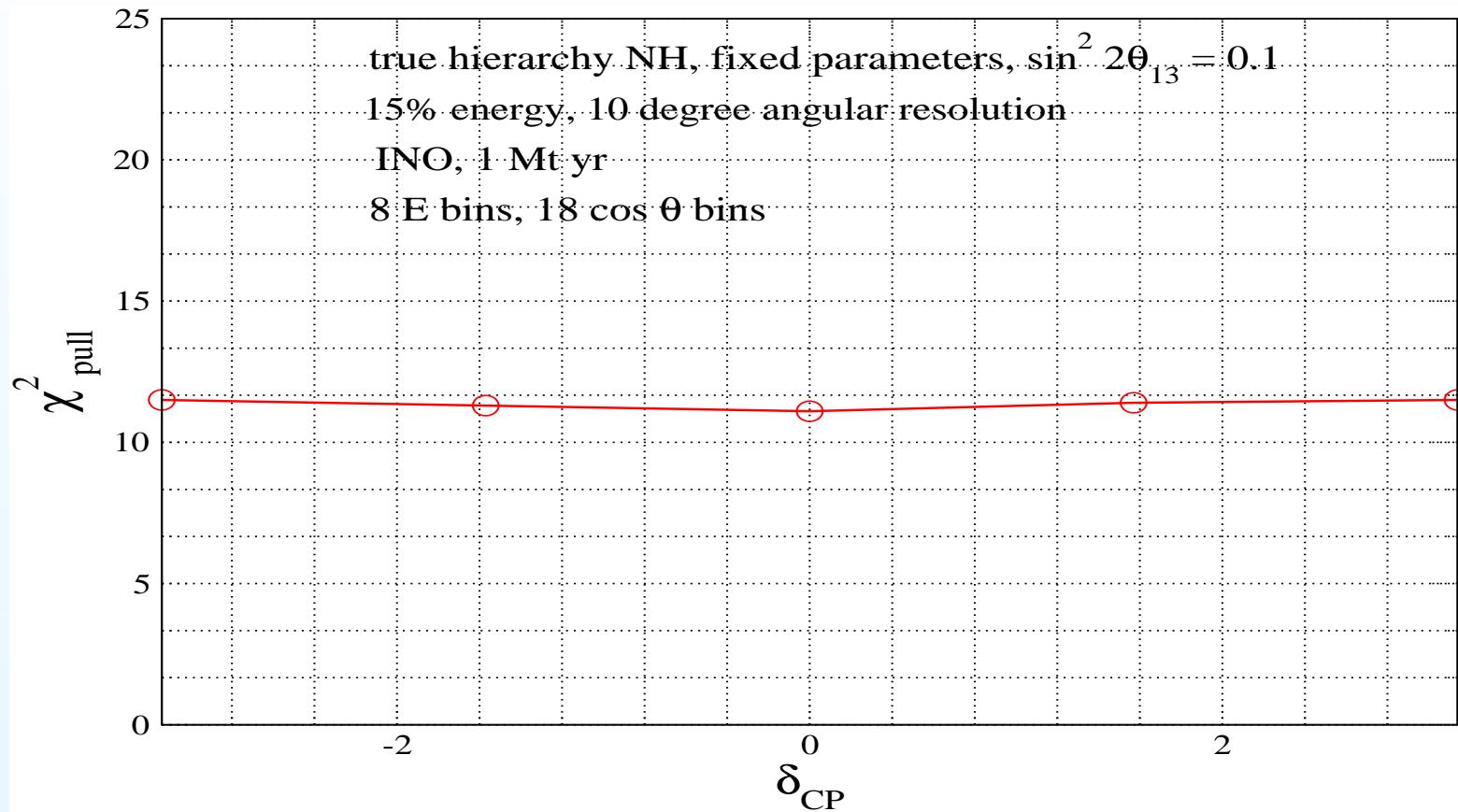
- Pull method is used
- Values of theoretical and systematic uncertainties:
  - Flux normalization error 20 %
  - Energy dependent tilt factor 5 %
  - Zenith angle dependence uncertainty 5 %
  - Overall cross section uncertainty 10 %
  - Overall systematic uncertainty 5 %
- $N_{\text{expt}} = N_{\text{NH}}, N_{\text{theory}} = N_{\text{IH}}$

# Statistical analysis

---

- ➊ Parameters uncertainties are taken care of by Marginalization
- ➋ Marginalization in  $N_{\text{theory}}$ ,  $\Delta_{21}$ ,  $\theta_{12}$  fixed, other parameters varied in the range:
  - ➌  $\Delta m_{31}^2 = 2.35 \times 10^{-3} - 2.6 \times 10^{-3} \text{ eV}^2$
  - ➌  $\sin^2 \theta_{23} = 0.4 - 0.6$
  - ➌  $\sin^2 \theta_{13} = 0.0 - 0.05$  ( $3\sigma$  bound from CHOOZ is  $< 0.044$ )
  - ➌ "True" values of parameters fixed in  $N_{\text{expt}}$

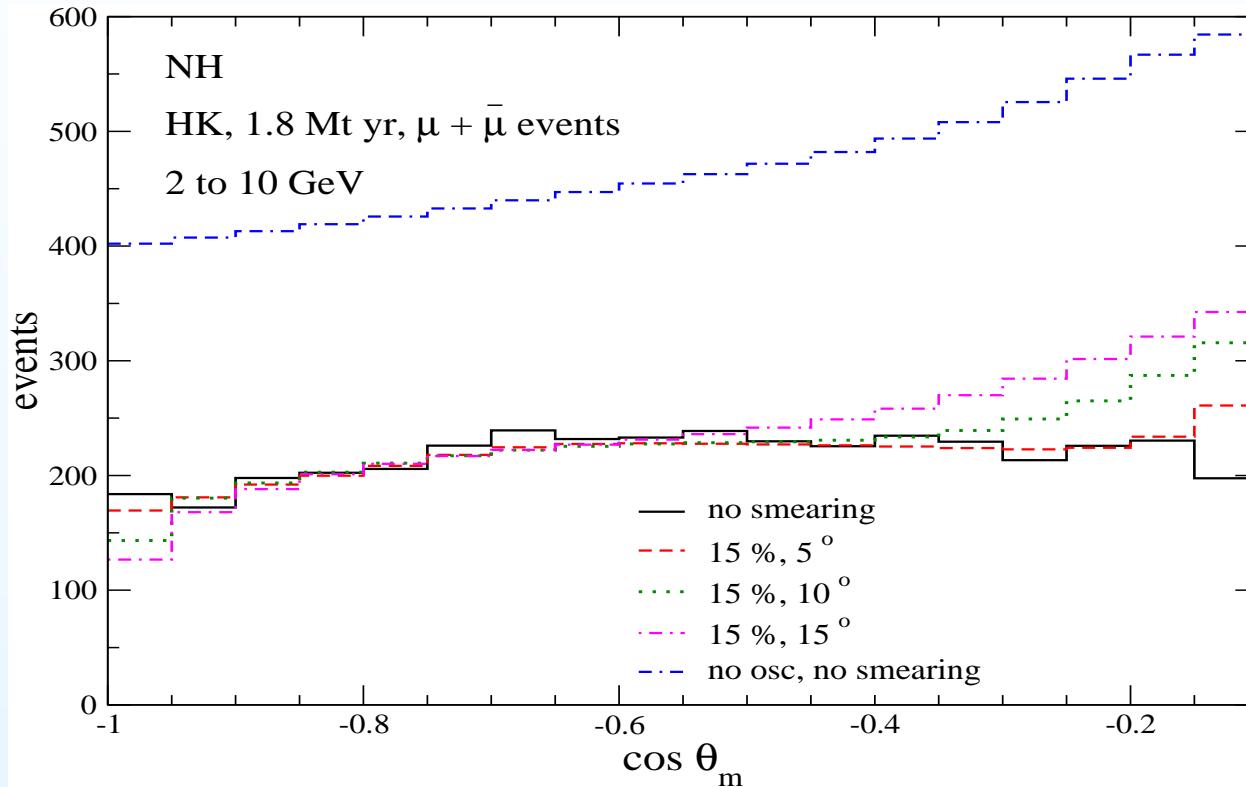
## Effect of $\delta_{CP}$ on $\chi^2$



Effect of  $\delta_{CP}$  on Muon  $\chi^2$  insignificant

R. Gandhi, P. Ghoshal, S.G., P. Mehta, S. Shalgar, S. Umashanakar, PRD, 2007

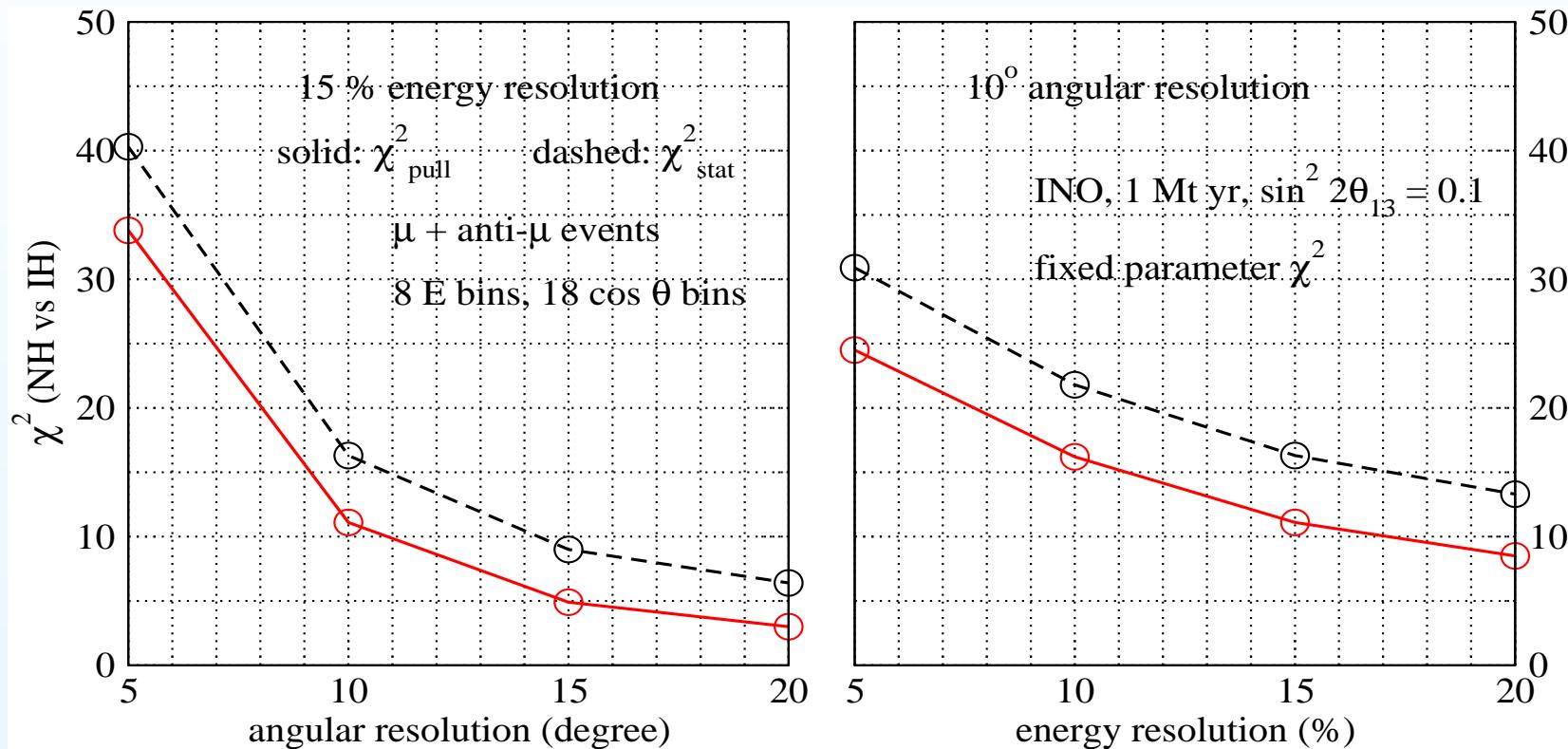
# Effect of Smearing on Atmospheric $\nu$ Events



- With increased width of smearing the event distribution tends to no oscillation distribution

# Effect of Smearing on $\chi^2$

## Effect of smearing on muon- $\chi^2$ in INO



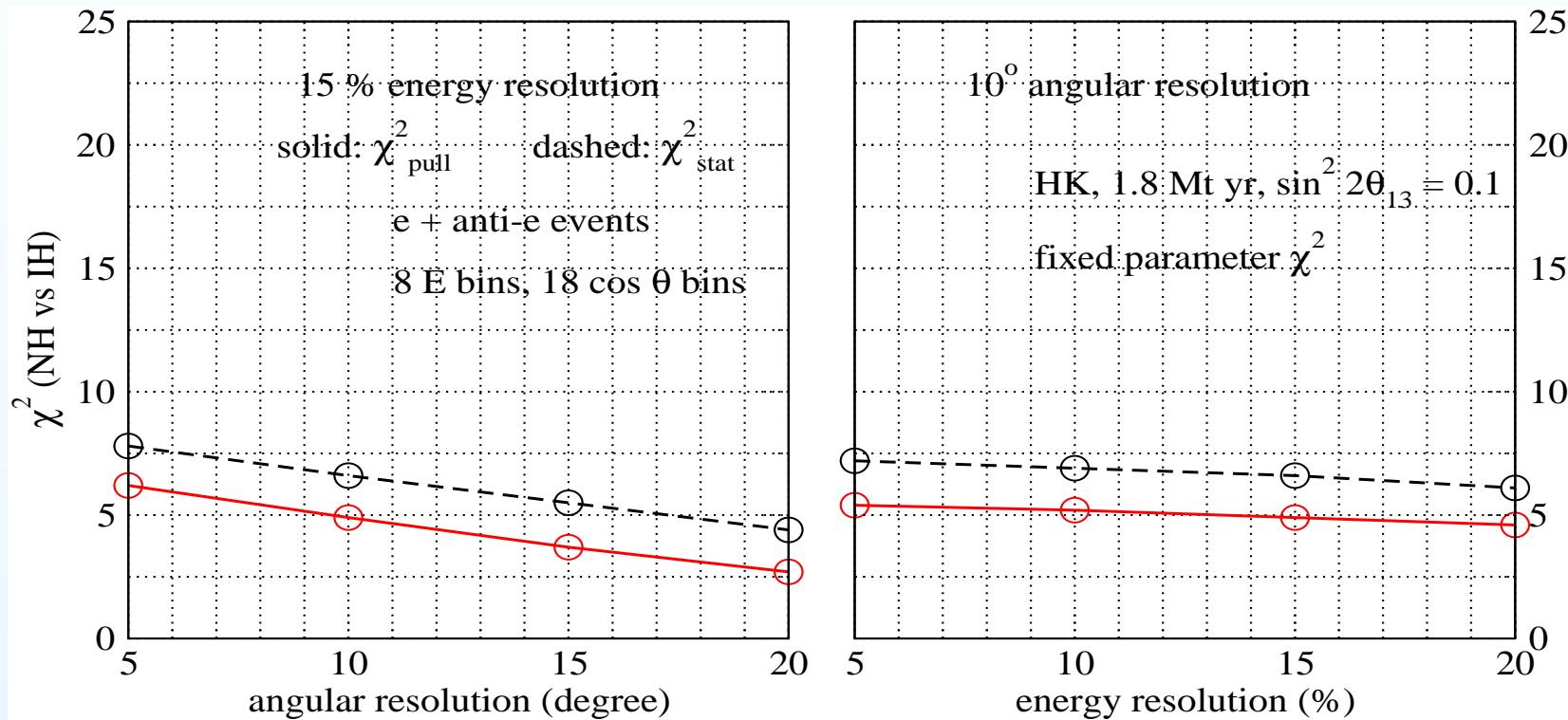
- With increased energy or angular smearing the  $\chi^2$  for muon like events decrease.

R. Gandhi, P. Ghoshal, S.G., P. Mehta, S. Shalgar, S. Umashanakar, PRD, 2007

Also, T. Schwetz and S.T. Petcov, Nucl. Phys. B, 2006

# Effect of Smearing on $\chi^2$

## Effect of smearing on electron- $\chi^2$

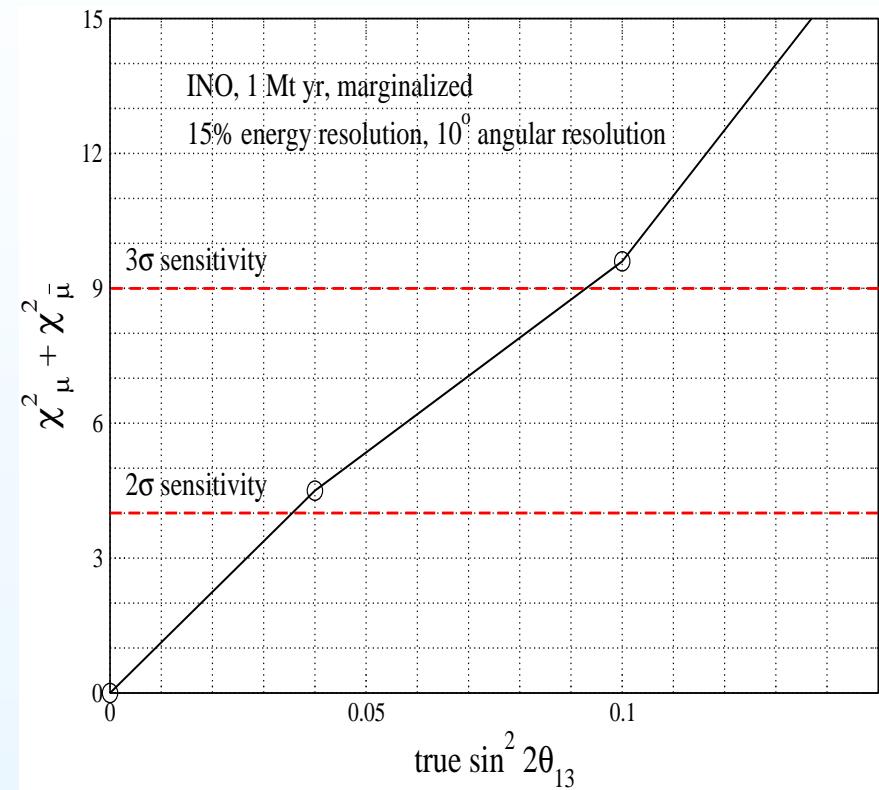
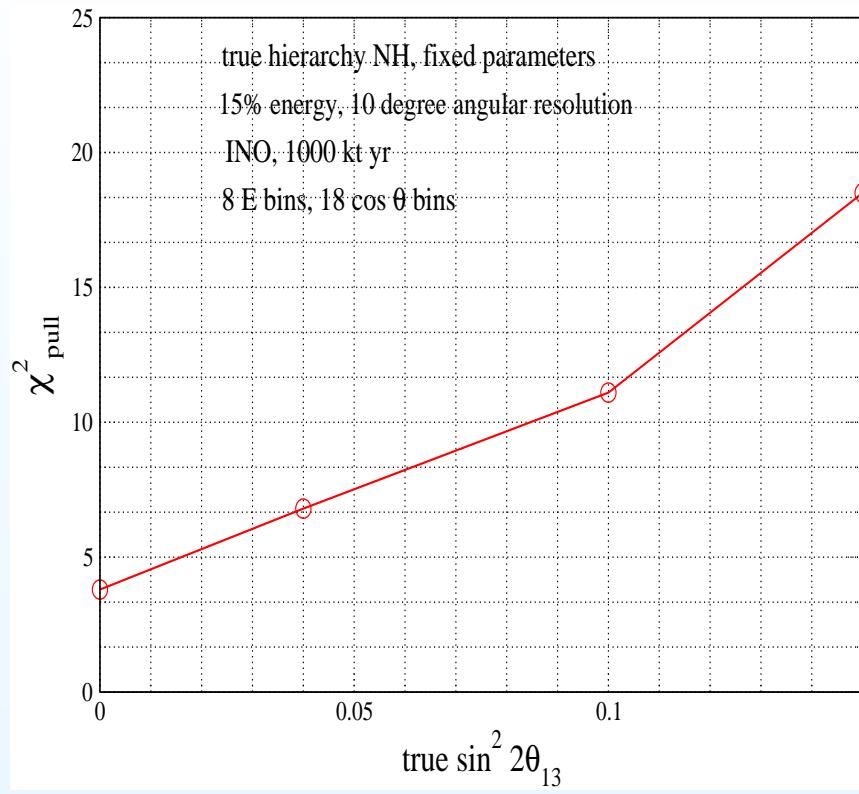


- The effect of smearing is less than that for muon events because the electron survival probability varies less rapidly with energy and zenith angle.

R. Gandhi, P. Ghoshal, S.G., P. Mehta, S. Shalgar, S. Umashanakar, PRD, 2007

Also, T. Schwetz and S.T. Petcov, Nucl. Phys. B, 2006

# Results



## Hierarchy Sensitivity reduces with marginalization

R. Gandhi, P. Ghoshal, S.G., P. Mehta, S. Shalgar, S. Umashanakar, PRD, 2007

T. Schwetz and S.T. Petcov, Nucl. Phys. B, 2006

A. Samanta, 2006

D. Indumathi and M.V.N. Murthy, PRD, 2005

# Hierarch Sensitivity: comparative study

- INO: 1 Mtyear ( $100 \text{ kT} \times 10 \text{ years}$ )

$$\chi^2 = \chi_\mu^2 + \chi_{\bar{\mu}}^2$$

- HyperKamiokande : 1.8 Mtyear ( $544 \text{ kT} \times 3.3 \text{ years}$ )

$$\chi^2 = \chi_{\mu+\bar{\mu}}^2 + \chi_{e+\bar{e}}^2$$

- LiqAr : 1 Mtyear ( $100 \text{ kT} \times 10 \text{ years}$ )

$$\chi^2 = \chi_\mu^2 + \chi_{\bar{\mu}}^2 + (\chi_e^2 + \chi_{\bar{e}}^2)_{1-5\text{GeV}} + (\chi_{e+\bar{e}}^2)_{5-10\text{GeV}}$$

$\sin^2 2\theta_{13}$	$HK\chi^2$	$INO\chi^2$	$LiqAr\chi^2$
0.0	0.0	0.0	0.0
0.04	3.6	4.5	13.8
0.1	5.9	9.6	27.5
0.15	7.1	16.9	

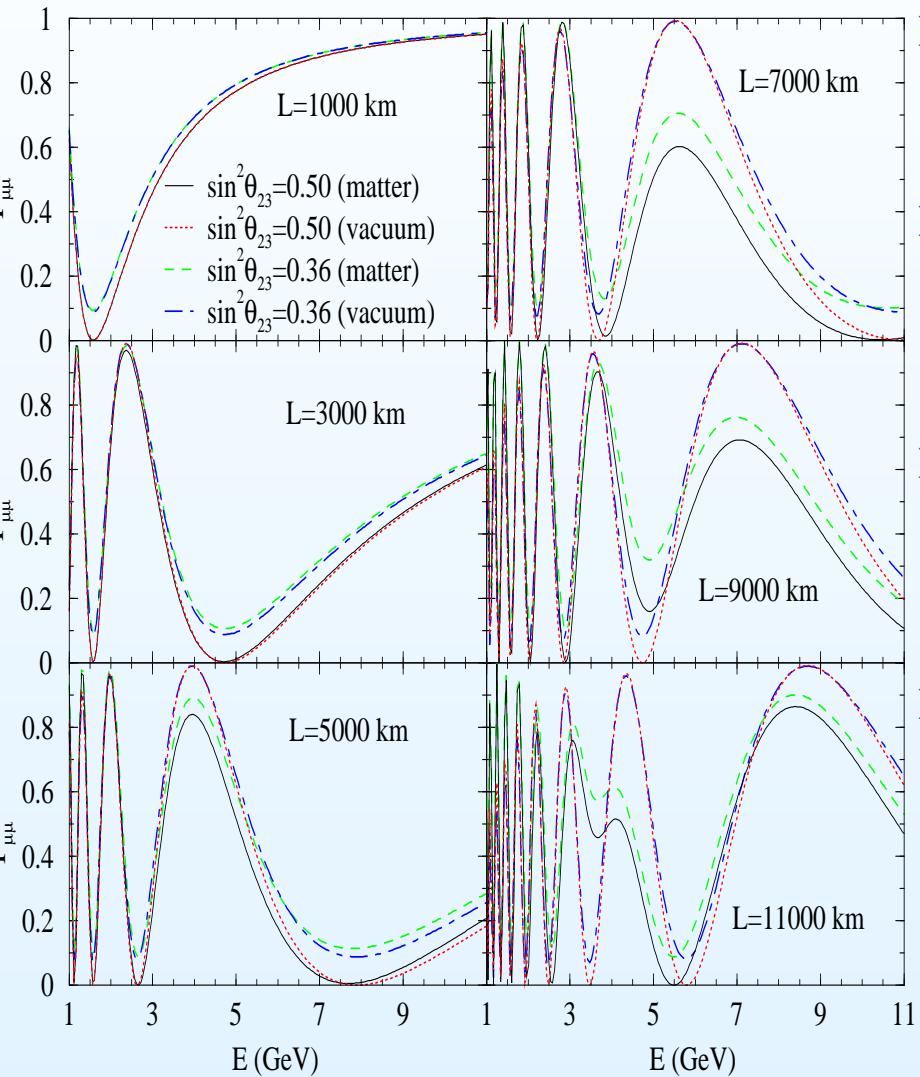
- LiqAr type detector has better energy smearing and partial charge identification of electrons (R. Gandhi et al. 2007,2008 )

## Deviation of $\sin^2 \theta_{23}$ from maximal value

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- ➊  $D \equiv 1/2 - \sin^2 \theta_{23}$
- ➋  $|D|$  gives the deviation of  $\sin^2 \theta_{23}$
- ➌  $\text{sgn}(D)$  gives the octant of  $\sin^2 \theta_{23}$
- ➍ Current  $3\sigma$  limits:
  - ➎  $|D| < 0.16$  at  $3\sigma$  from the SK data
  - ➎ No robust information on  $\text{sgn}(D)$

# Can Earth matter effects determine $|D|$ ?



$$P_{\mu\mu}^m = 1 - P_{\mu\mu}^{m\ 1} - P_{\mu\mu}^{m\ 2} - P_{\mu\mu}^{m\ 3}$$

$$P_{\mu\mu}^{m\ 1} = c_{13}^2 m \sin^2 2\theta_{23} \sin^2 [1.27(\Delta m_{31}^2 + A + \Delta_{31}^m)L/2]$$

$$P_{\mu\mu}^{m\ 2} = s_{13}^2 m \sin^2 2\theta_{23} \sin^2 [1.27(\Delta m_{31}^2 + A - \Delta_{31}^m)L/2]$$

$$P_{\mu\mu}^{m\ 3} = \sin^4 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 (1.27 \Delta_{31}^m L/E)$$

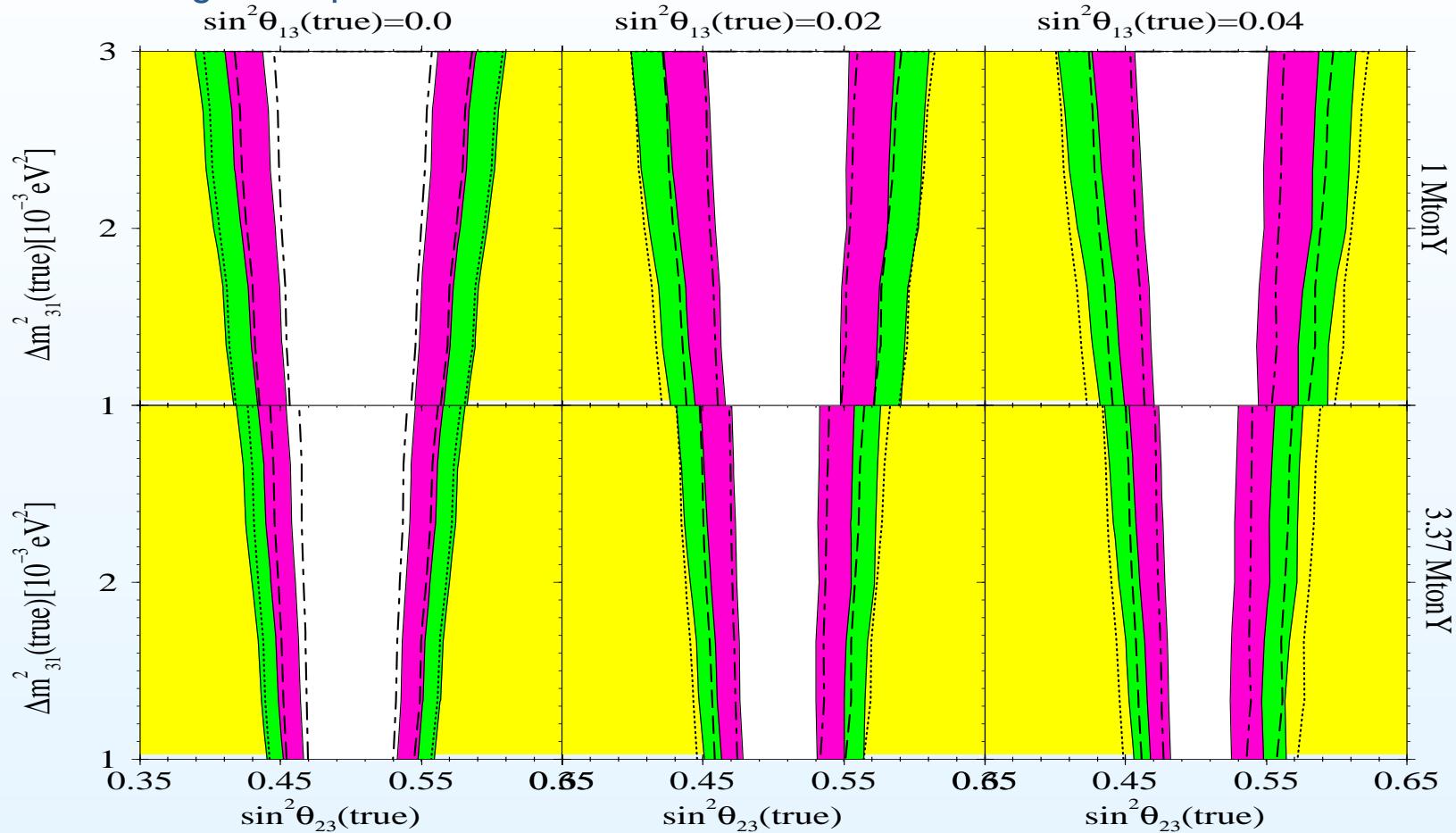
- ➊ Dependence on  $\theta_{23}$  in the form  $\sin^4 \theta_{23}$
- ➋ Octant sensitivity ?

S.Choubey. and P. Roy hep-ph/0509197  
Also Indumathi et al. hep-ph/0603264

# Can Earth matter effects determine $|D|$ ?



Using atmospheric neutrinos in INO

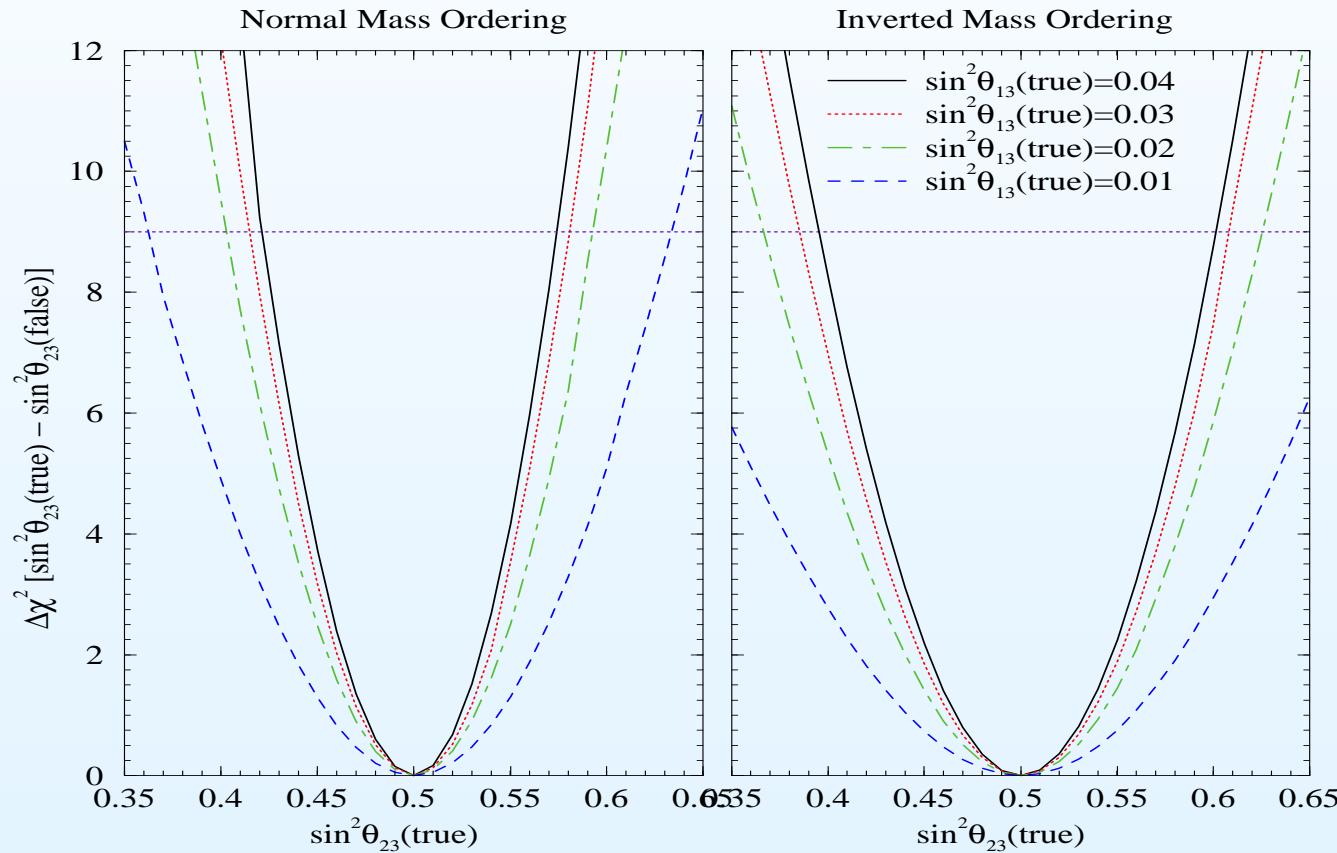


$|D|$  can be measured to  $\sim 17\%(20\%)$  at  $3\sigma$  for  $s_{13}^2 = 0.04(0.00)$   
with 1 MtonY exposure and 50% detector efficiency

S.Choubey. and P. Roy hep-ph/0509197

# Resolving the octant ambiguity in INO

- Using atmospheric neutrinos in INO
- For every non-maximal  $\sin^2 \theta_{23}(\text{true})$  there exists a  $\sin^2 \theta_{23}(\text{false})$   
$$\sin^2 \theta_{23}(\text{false}) = 1 - \sin^2 \theta_{23}(\text{true})$$



S.Choubey. and P. Roy hep-ph/0509197

# Comparing the Octant Sensitivity of Experiments

■ Long baseline experiments

No octant sensitivity

● LBL+atmospheric      Huber et al hep-ph/0501037

● LBL accelerator + reactor      Minakata et al hep-ph/0601258

■ Atmospheric neutrinos in water Cerenkov detectors

$\sin^2 \theta_{23}$ (false) can be excluded at  $3\sigma$  if:

$$\sin^2 \theta_{23}(\text{true}) < 0.36 \text{ or } > 0.62$$

Gonzalez-Garcia et al, hep-ph/0408170

■ Atmospheric neutrinos in large magnetized iron detectors

$\sin^2 \theta_{23}$ (false) can be excluded at  $3\sigma$  if:

$$\sin^2 \theta_{23}(\text{true}) < 0.36 \text{ or } > 0.63 \text{ for } \sin^2 \theta_{13}(\text{true}) = 0.01,$$

$$\sin^2 \theta_{23}(\text{true}) < 0.40 \text{ or } > 0.59 \text{ for } \sin^2 \theta_{13}(\text{true}) = 0.02,$$

$$\sin^2 \theta_{23}(\text{true}) < 0.41 \text{ or } > 0.58 \text{ for } \sin^2 \theta_{13}(\text{true}) = 0.03,$$

$$\sin^2 \theta_{23}(\text{true}) < 0.42 \text{ or } > 0.57 \text{ for } \sin^2 \theta_{13}(\text{true}) = 0.04.$$

S.Choubey. and P. Roy hep-ph/0509197

# CPT and Lorentz Violation

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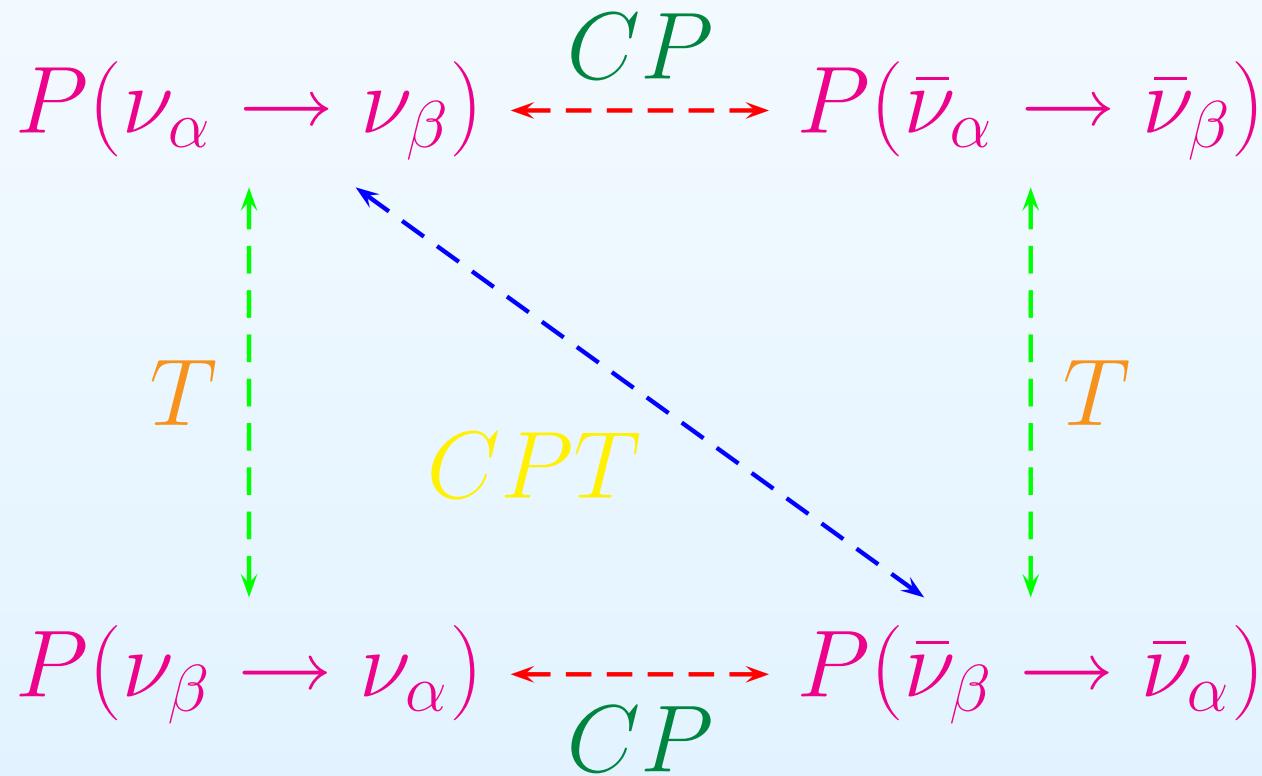
- ➊ *CPT* Invariance and Lorentz symmetry are pillars of modern physics. Tests of fundamental principles of invariance are important due to the far-reaching consequences of their violations.
- ➋ String or other unified theories may INDUCE small violations of CPT and Lorentz symmetry into the SM at low energies naturally, which can be tested at levels reachable by high precision experiments.

D. Colladay and V. A. Kostelecky, PRD 55, 6760 (1997); PRD 58, 116002 (1998)

S. R. Coleman and S. L. Glashow, PRD 59, 116008 (1999)

# CP, T & CPT in $\nu$ oscillations

$\nu$  oscillations are sensitive to violation of Discrete symmetries : CP, T and CPT.



## Violations of discrete symmetries . . .

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- If CP is violated then

$$P(\nu_\alpha \rightarrow \nu_\beta) \neq P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta), \quad \beta \neq \alpha$$

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- Also, MATTER EFFECTS  $\gg$  apparent (extrinsic) CP & CPT violation even if mass matrix is CP conserving

## Atm $\nu$ , INO and CPT violation

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- ➊ CPT violating term  $b$  gives Hamiltonian of the form

$$A = \frac{m^2}{2p} + b$$

which gives 2-flavour vacuum survival probability

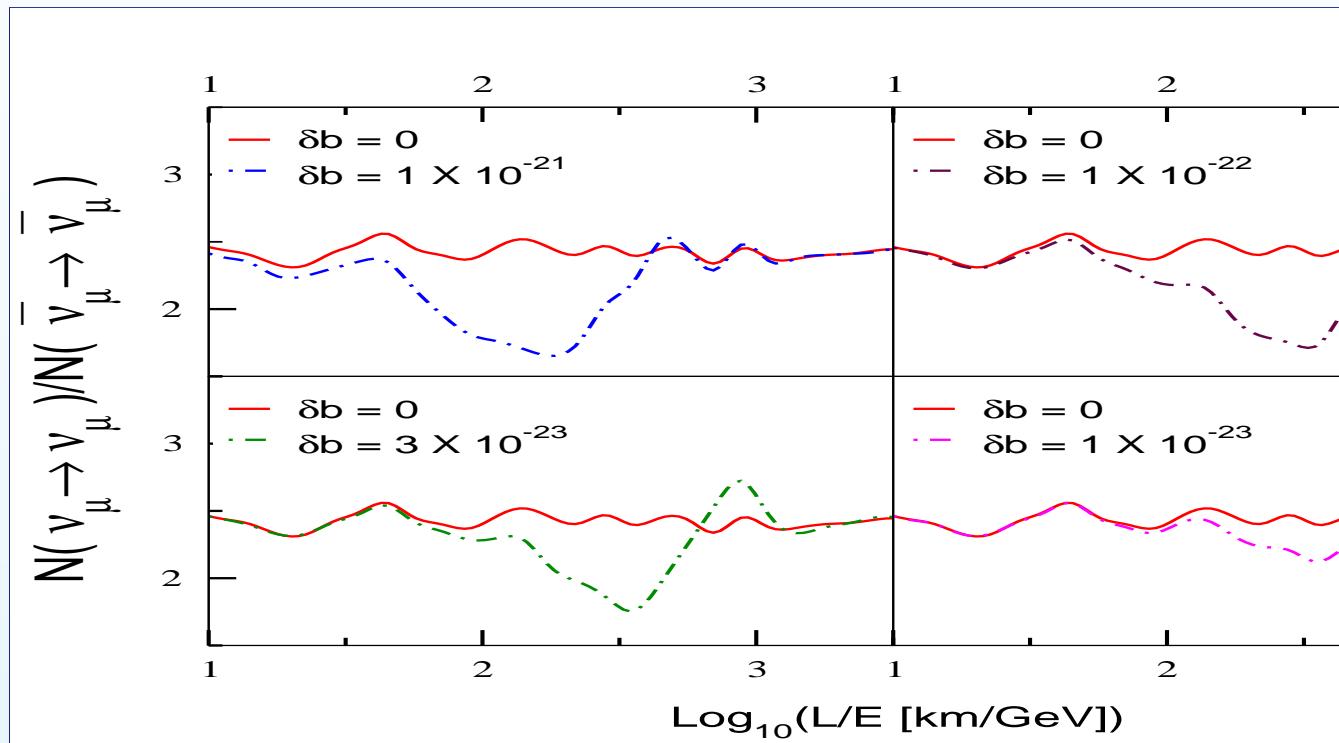
$$P_{\alpha\alpha}(L) = 1 - \sin^2 2\theta \sin^2 \left[ \left( \frac{\delta m^2}{4E} + \frac{\delta b}{2} \right) L \right]$$

where  $\alpha = \mu, \tau$  &  $\delta m^2, \delta b$  are eigenvalue differences.

- ➋ For anti-neutrinos,  $b \rightarrow -b$ . Hence

$$\Delta P_{\alpha\alpha}^{CPT} = -\sin^2 2\theta \sin \left[ \frac{\delta m^2 L}{2E} \right] \sin(\delta b L)$$

# Atm $\nu$ , INO and CPT violation



Gandhi et al., PLB, 2004

# Detector and Physics Simulation

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- Nuance Event Generator
  - Generates atmospheric neutrino events inside the INO detector
- GEANT Monte Carlo Package
  - Simulates the detector response for the neutrino events
- Event Reconstruction
  - Fits the raw data to extract neutrino energy and direction
- Physics Performance
  - Analysis of reconstructed events to extract physics.