

# Neutrino-neutrino interactions inside a SN and their effects on neutrino flavor conversions

Amol Dighe

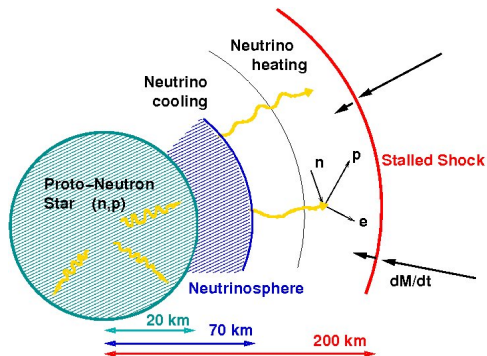
Tata Institute of Fundamental Research  
Mumbai, India

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Dehradun, India, Mar 9, 2011

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- 2 Net neutrino flavor conversions
- 3 Observations at neutrino detectors

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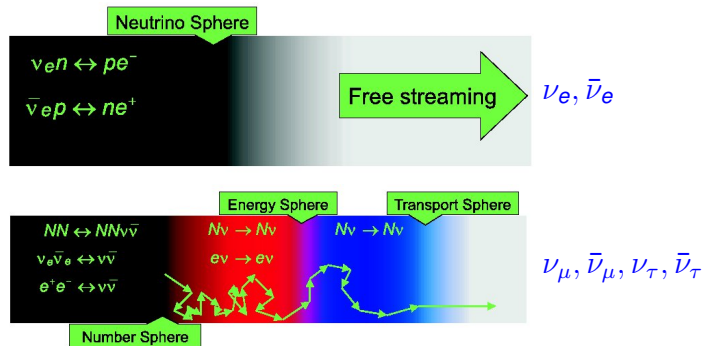
# Neutrinos and the SN explosion



## Requirements for a successful explosion

- Neutrinos pushing the shock wave (cross sections)
- Large scale convection ( $\geq 2$  dimensional simulations)
- Hydrodynamic instabilities

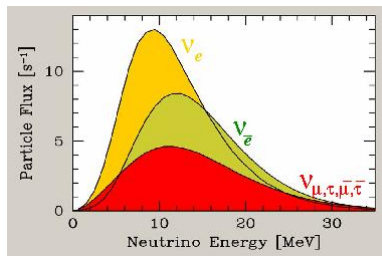
# Neutrino trapping and free-streaming



## Zeroth approximation: “neutrinospheres”

- Inside ( $\rho \gtrsim 10^{10} \text{g/cc}$ ): neutrinos trapped
- Outside ( $\rho \lesssim 10^{10} \text{g/cc}$ ): neutrinos free-streaming
- Depend on neutrino flavor and energy

# Typical features of the spectra



- Average energies:

$$\langle E_0(\nu_e) \rangle \approx 10\text{--}12 \text{ MeV}$$

$$\langle E_0(\bar{\nu}_e) \rangle \approx 13\text{--}16 \text{ MeV}$$

$$\langle E_0(\nu_x) \rangle \approx 15\text{--}25 \text{ MeV}$$

- Energy hierarchy:

$$\langle E_0(\nu_e) \rangle < \langle E_0(\bar{\nu}_e) \rangle < \langle E_0(\nu_x) \rangle = \langle E_0(\nu_y) \rangle$$

- Luminosities:

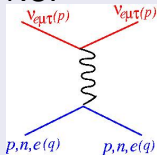
$$L_{\nu_e} \approx L_{\bar{\nu}_e}$$

$$L_{\nu_x} \approx (0.5 - 2.0) L_{\nu_e}$$

# Forward scattering for free-streaming neutrinos

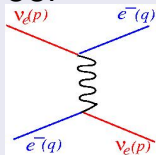
## Scattering over protons, neutrons and electrons

NC:



$$V_{NC}^{\nu\alpha\nu\alpha} = -G_F N_n / \sqrt{2}$$

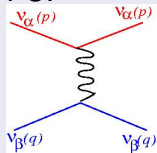
CC:



$$V_{CC}^{\nu_e\nu_e} = \sqrt{2} G_F N_e$$

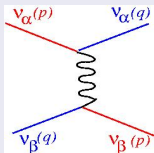
## Scattering over neutrinos and antineutrinos:

FC:

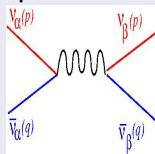


$$V_{\nu\nu}^{\nu\alpha\nu\alpha} = \sqrt{2} G_F N_\nu$$

FV: flavour non-diagonal potential



$$V_{\nu\nu}^{\nu\alpha\nu\beta} = \sqrt{2} G_F \langle \nu_\alpha^* \nu_\beta \rangle, \quad V_{\nu\nu}^{\nu\alpha\nu\beta} = -\sqrt{2} G_F \langle \bar{\nu}_\beta^* \bar{\nu}_\alpha \rangle$$



- Density matrix formalism the most natural (and necessary)

# Nonlinear effects due to $\nu - \nu$ coherent interactions

- Large neutrino density  $\Rightarrow$  **substantial  $\nu - \nu$  potential**

$$H = H_{vac} + H_{MSW} + H_{\nu\nu}$$

$$H_{vac}(\vec{p}) = M^2/(2p)$$

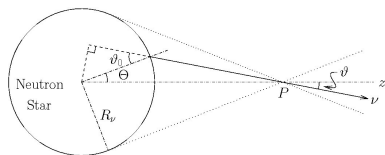
$$H_{MSW} = \sqrt{2}G_F N_e \text{diag}(1, 0, 0)$$

$$H_{\nu\nu}(\vec{p}) = \sqrt{2}G_F \int \frac{d^3q}{(2\pi)^3} (1 - \cos \theta_{pq}) (\rho(\vec{q}) - \bar{\rho}(\vec{q}))$$

- $d\rho/dt = i[H(\rho), \rho] \Rightarrow$  **Nonlinear effects !**



# Single-angle approximation and multi-angle effects



H.Duan, G.M.Fuller, J.Carlson

Y.-Z. Qian, PRD74, 105014 (2006)

- Multi-angle simulations computationally intensive
- Single angle approximation: Potential calculated by an *a priori* effective averaging over all angles

## Multi-angle effects

- Smearing of the spectral features

Fogli et al., JCAP 0712, 010 (2007)
- “Multi-angle decoherence” suppressed by large  $\nu-\bar{\nu}$  flux asymmetry, enhanced at extremely high matter densities.

A. Esteban-Pretel et al., PRD76, 125018 (2007), PRD78, 085012 (2008)
- May affect the onset of neutrino oscillations

H. Duan, A. Friedland, 2010

# “Collective” effects: qualitatively new phenomena

## Synchronized oscillations:

$\nu$  and  $\bar{\nu}$  of all energies oscillate with the same frequency

S. Pastor, G. Raffelt and D. Semikoz, PRD65, 053011 (2002)

## Bipolar/pendular oscillations:

Coherent  $\nu_e \bar{\nu}_e \leftrightarrow \nu_y \bar{\nu}_y$  and  $\nu_e \bar{\nu}_e \leftrightarrow \nu_x \bar{\nu}_x$  oscillations  
even for extremely small  $\theta_{13}$

S. Hannestad, G. Raffelt, G. Sigl, Y. Wong, PRD74, 105010 (2006)

## Spectral split/swap:

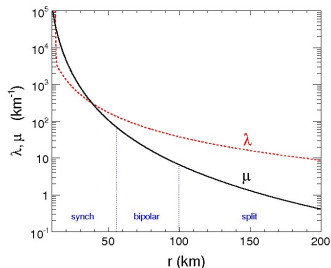
$\nu_e$  and  $\nu_y$  ( $\bar{\nu}_e$  and  $\bar{\nu}_y$ ) spectra  
interchange completely, but only within certain energy ranges.  
Similarly for  $\nu_e$  and  $\nu_x$  ( $\bar{\nu}_e$  and  $\bar{\nu}_x$ ) swaps

G. Raffelt, A. Smirnov, PRD76, 081301 (2007), PRD76, 125008 (2007)

B. Dasgupta, AD, G. Raffelt, A. Smirnov, PRL103,051105 (2009)

$$\begin{pmatrix} \nu_x \\ \nu_y \end{pmatrix} = \begin{pmatrix} \cos \theta_{23} & \sin \theta_{23} \\ -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix}$$

# Sequential dominance of phenomena (Fe-core SN)



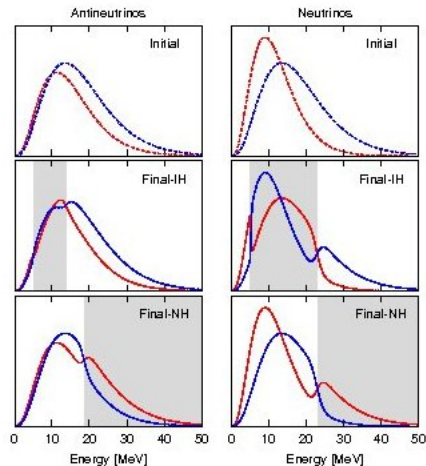
- $\mu \equiv \sqrt{2}G_F(N_\nu + N_{\bar{\nu}})$
- $\lambda \equiv \sqrt{2}G_F N_e$

- $r \lesssim 200$  km: collective effects dominate
- $r \gtrsim 200$  km: standard MSW matter effects dominate

G.L.Fogli, E. Lisi, A. Marrone, A. Mirizzi, JCAP 0712, 010 (2007)

# Spectral split/swap depend on hierarchy

cooling-phase Garching fluxes

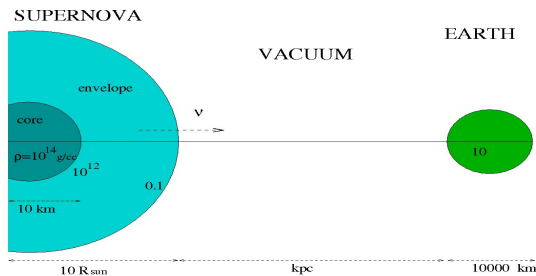


B. Dasgupta, AD, G.Raffelt, A.Smirnov, arXiv:0904.3542 [hep-ph], PRL

# Outline

- 1 Neutrino interactions inside a SN core
- 2 Net neutrino flavor conversions**
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# Propagation through matter of varying density



Inside the SN: *flavor conversion*

Collective effects and MSW matter effects

Between the SN and Earth: *no flavor conversion*

Mass eigenstates travel independently

Inside the Earth: *flavor oscillations*

MSW matter effects (*if detector is shadowed by the Earth*)

# After collective oscillations, before MSW oscillations

Electron flavor dominance:  $L_{\nu_e} \approx L_{\bar{\nu}_e} \gtrsim L_{\nu_x}$  (Phase A)

- No swaps for NH
- $\nu_e \leftrightarrow \nu_y$  and  $\bar{\nu}_e \leftrightarrow \bar{\nu}_y$  swaps for IH

Non-electron flavor dominance:  $L_{\nu_e} \approx L_{\bar{\nu}_e} \lesssim L_{\nu_x}$  (Phase C)

- $\nu_e \leftrightarrow \nu_y$  and  $\bar{\nu}_e \leftrightarrow \bar{\nu}_y$  swaps for NH
- Additional  $\nu_e \leftrightarrow \nu_x$  and  $\bar{\nu}_e \leftrightarrow \bar{\nu}_x$  swaps for IH

NH vs. IH distinction possible even for  $\sin^2 2\theta_{13}$  as low as  $10^{-10}$   
(and even lower)  $\Leftarrow$  Nonlinear instability

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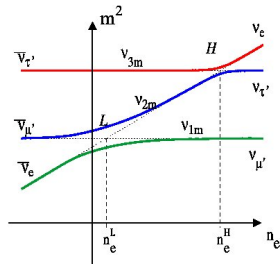
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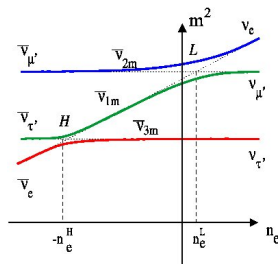


# MSW Resonances inside a SN

## Normal mass ordering



## Inverted mass ordering



AD, A.Smirnov, PRD62, 033007 (2000)

**H resonance:**  $(\Delta m_{\text{atm}}^2, \theta_{13}), \rho \sim 10^3\text{--}10^4 \text{ g/cc}$

- In  $\nu(\bar{\nu})$  for normal (inverted) hierarchy
- Adiabatic (non-adiabatic) for  $\sin^2 \theta_{13} \gtrsim 10^{-3} (\lesssim 10^{-5})$

**L resonance:**  $(\Delta m_{\odot}^2, \theta_{\odot}), \rho \sim 10\text{--}100 \text{ g/cc}$

- Always adiabatic, always in  $\nu$

# Fluxes arriving at the Earth

$$F_{\nu_e} = p F_{\nu_e}^0 + (1 - p) F_{\nu_x}^0, \quad F_{\bar{\nu}_e} = \bar{p} F_{\bar{\nu}_e}^0 + (1 - \bar{p}) F_{\nu_x}^0$$

$p$  at low, intermediate, high energies

		Phase A ( $L_{\nu_e} \gtrsim L_{\nu_x}$ )			Phase C ( $L_{\nu_e} \lesssim L_{\nu_x}$ )		
NH	$\sin^2 \theta_{13} \gtrsim 10^{-3}$	0	0	0	0	0	$s^2$
	$\sin^2 \theta_{13} \lesssim 10^{-5}$	$s^2$	$s^2$	$s^2$	$s^2$	$s^2$	0
IH	$\sin^2 \theta_{13} \gtrsim 10^{-3}$	$s^2$	0	0	$s^2$	0	$c^2 (s^2)$
	$\sin^2 \theta_{13} \lesssim 10^{-5}$	$s^2$	0	0	$s^2$	0	$c^2 (s^2)$

$\bar{p}$  at low, intermediate, high energies

		Phase A ( $L_{\nu_e} \gtrsim L_{\nu_x}$ )			Phase C ( $L_{\nu_e} \lesssim L_{\nu_x}$ )		
NH	$\sin^2 \theta_{13} \gtrsim 10^{-3}$	$c^2$	$c^2$	$c^2$	$c^2$	$c^2$	0
	$\sin^2 \theta_{13} \lesssim 10^{-5}$	$c^2$	$c^2$	$c^2$	$c^2$	$c^2$	0
IH	$\sin^2 \theta_{13} \gtrsim 10^{-3}$	0	$c^2$	$c^2$	0	$c^2 [0]$	$s^2 (0)$
	$\sin^2 \theta_{13} \lesssim 10^{-5}$	$c^2$	0	0	$c^2$	0 [ $c^2$ ]	$s^2 (c^2)$

$$s^2 \equiv \sin^2 \theta_{12}, \quad c^2 \equiv \cos^2 \theta_{12}$$

( ), [ ] : non-adiabatic swaps

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# Signal expected from a galactic SN (10 kpc)

## Water Cherenkov detector: (events at SK)

- $\bar{\nu}_e p \rightarrow n e^+$ :  $\approx 7000 - 12000$

$$\Delta_{WC}/\text{MeV} = 0.47 \sqrt{E_e/\text{MeV}}$$

- $\nu e^- \rightarrow \nu e^-$ :  $\approx 200 - 300$

- $\nu_e + {}^{16}\text{O} \rightarrow X + e^-$ :  $\approx 150 - 800$

## Carbon-based scintillation detector:

- $\bar{\nu}_e p \rightarrow n e^+$  ( $\sim 300$  per kt)

$$\Delta_{SC}/\text{MeV} = 0.075 \sqrt{E_e/\text{MeV}}$$

- $\nu + {}^{12}\text{C} \rightarrow \nu + X + \gamma$  (15.11 MeV)

## Liquid Argon detector:

- $\nu_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{K}^* + e^-$  ( $\sim 300$  per kt)

$$\Delta_{LAR}/\text{MeV} = 0.11 \sqrt{E_e/\text{MeV}} + 0.02 E_e/\text{MeV}$$

# Earth matter effects

## When neutrinos pass through the Earth

- Oscillations inside the Earth (like day-night effects)
- Total flux / luminosities change
- Oscillating features in the final spectra

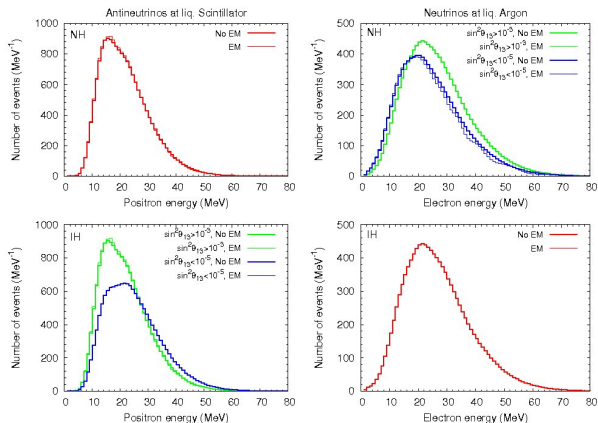
## Detection of Earth effects

- Identify oscillations at a single detector (need good energy resolution)
- Compare signal at two large detectors, one of which is “shadowed” by the Earth (e.g. IceCube vs. HK)

## Robust experimental signature

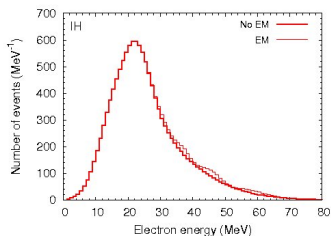
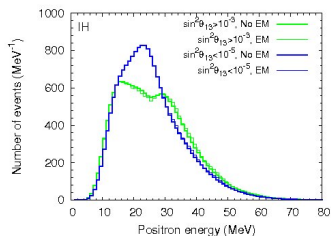
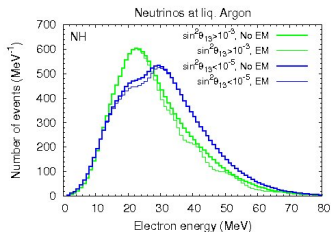
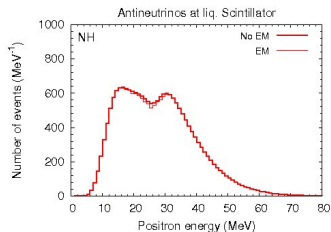
- **Earth effects can distinguish hierarchies even for  $\theta_{13} \lesssim 10^{-10}$**

# Spectra at detectors with Earth effects: phase A



- Spectral splits not visible
- Earth effects possibly visible in neutrinos

# Spectra at detectors with Earth effects: phase C



- Spectral split may be visible as “shoulders”
- Earth effects possibly visible, more prominent in  $\nu_e$

# Shock wave effects

## When shock wave passes through a resonance

- Adiabatic resonances become temporarily non-adiabatic
- Sharp changes in the final spectra even if the primary spectra change smoothly

Schirato and Fuller, astro-ph/0205390, Fogli et al., PRD 68, 033005 (2003), J. Kneller et al., PRD77, 045023 (2008)

## Detection of shock wave effects

- Time-dependent dip/peak features in  $N_{\nu_e, \bar{\nu}_e}(E)$ ,  $\langle E_{\nu_e, \bar{\nu}_e} \rangle$ ,  $\langle E_{\nu_e, \bar{\nu}_e}^2 \rangle$ , etc.
- Times at which dips/peaks appear show when the shock waves enter the resonance densities

$$\rho(E) = (m_N \Delta m_{atm}^2) / (2\sqrt{2} G_F Y_e E)$$

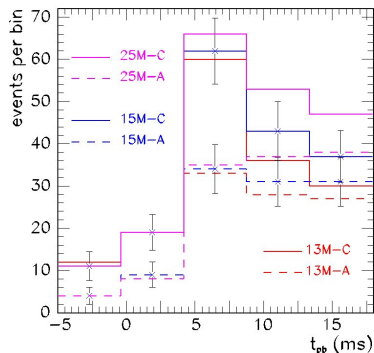
R. Tomas et al., JCAP 0409, 015 (2004)

## Identifying mixing scenario

- Shock wave present in  $\nu_e$  only for  $\text{NH} \oplus \sin^2 \theta_{13} \gtrsim 10^{-5}$
- Shock wave present in  $\bar{\nu}_e$  only for  $\text{IH} \oplus \sin^2 \theta_{13} \gtrsim 10^{-5}$



# Vanishing neutronization ( $\nu_e$ ) burst



M. Kachelriess, R. Tomas, R. Buras,  
H. T. Janka, A. Marek and M. Rampp  
PRD 71, 063003 (2005)

- Time resolution of the detector crucial for separating  $\nu_e$  burst from the accretion phase signal

Burst signal vanishes for  $\text{NH} \oplus \sin^2 \theta_{13} \gtrsim 10^{-3}$

# Inverse SN neutrino problem

## Multiple independent signals

	Earth Matter Effects				Shock effects		$\nu_e$ burst vanishing
	$\nu_e$		$\bar{\nu}_e$		$\nu_e$	$\bar{\nu}_e$	
	Peak	Tail	Peak	Tail			
<b>NH, <math>\sin^2 \theta_{13} \gtrsim 10^{-3}</math></b>							
Phase A	X	X	✓	✓	✓	X	✓
Phase C	X	✓	✓	X	✓	X	✓
<b>NH, <math>\sin^2 \theta_{13} \lesssim 10^{-5}</math></b>							
Phase A	✓	✓	✓	✓	X	X	X
Phase C	✓	X	✓	X	X	X	X
<b>IH, <math>\sin^2 \theta_{13} \gtrsim 10^{-3}</math></b>							
Phase A	X	X	✓	✓	X	✓	X
Phase C	X	✓	✓	✓	X	✓	X
<b>IH, <math>\sin^2 \theta_{13} \lesssim 10^{-5}</math></b>							
Phase A	X	X	X	X	X	X	X
Phase C	X	✓	X	✓	X	X	X

# What should the detectors look for

## Spectral splits

Sharp shoulders: difficult to identify

## Earth matter effects

- Comparatively easy to identify (if shadowed detector)
- If primary fluxes are similar, identifying Earth effects is hard
- Better results with  $\nu_e$  spectrum  $\Rightarrow$  Ar detector crucial
- Hierarchy identification even for extremely small  $\theta_{13}$  values

## Shock wave effects

- Easy to spot with time variation of signal
- Presence / absence independent of collective effects
- Hierarchy determination possible for  $\theta_{13} \gtrsim 10^{-5}$

## Neutronization burst signal

- Robust, but needs Ar detector and good time resolution

## Open questions in $\nu$ - $\nu$ interaction effects

- Better analytical understanding of collective effects
- Development of “pendular oscillations”
- Prediction of positions of spectral splits
- Extent of multi-angle effects, decoherence
- Effects of turbulence

## Effect of $\nu$ - $\nu$ interactions on SN astrophysics

- Shock wave propagation  
(Flavor conversions change net  $\nu - \bar{\nu}$  cross section)
- R-process nucleosynthesis

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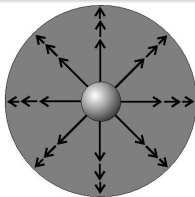
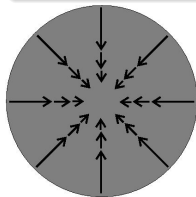
## Effect of $\nu$ - $\nu$ interactions on SN astrophysics

- Shock wave propagation  
(Flavor conversions change net  $\nu - \bar{\nu}$  cross section)
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# Extra Slides

# Core collapse, shock wave, and explosion

Gravitational core collapse  $\Rightarrow$  Shock Wave



Neutronization burst:  $\nu_e$  emitted for  $\sim 10$  ms

Cooling through neutrino emission:  $\sim 10^{58}$  neutrinos

$\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$

Duration: About 10 sec

Emission of 99% of the SN collapse energy in neutrinos

??? **Explosion** ???

# Changing paradigm of SN neutrino oscillations

## Neutrino-electron forward scattering: MSW effects (1999 –)

- Flavour conversions mainly in MSW resonance regions :  
( $\rho \sim 10^{3-4}$  g/cc, 1–10 g/cc)
- Sensitivity to  $\sin^2 \theta_{13} \gtrsim 10^{-5}$  and mass hierarchy

## Neutrino-neutrino forward scattering: Collective effects (2006 –)

- Significant flavour conversions near the neutrinosphere :  
( $\rho \sim 10^{6-10}$  g/cc)
- Synchronized osc  $\rightarrow$  bipolar osc  $\rightarrow$  spectral split
- Single spectral split: In IH,  
 $\bar{\nu}_e$  and  $\bar{\nu}_\mu$  spectra swap completely  
 $\nu_e$  and  $\nu_\mu$  spectra swap for  $E > E_c$
- Sensitivity even to  $\sin^2 \theta_{13} \sim 10^{-10}$



## Multiple spectral splits (2009 –)

- “Single spectral split” valid only when  $L_{\nu_e} \approx L_{\bar{\nu}_e} \gtrsim L_{\nu_\mu}$
- In general, both  $\nu_e \leftrightarrow \nu_y$  and  $\bar{\nu}_e \leftrightarrow \bar{\nu}_y$  swaps take place, in sharply separated energy regions

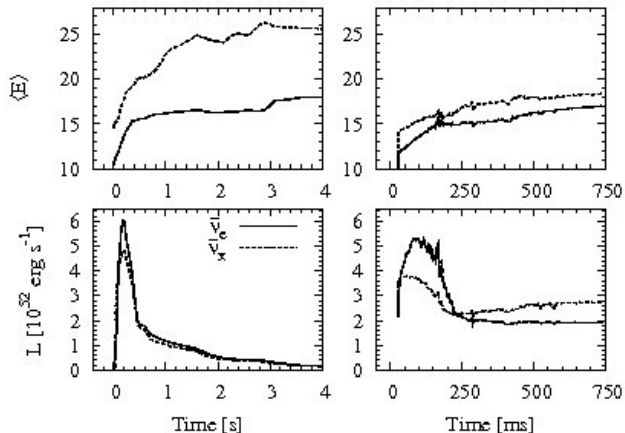
$$\begin{pmatrix} \nu_x \\ \nu_y \end{pmatrix} = \begin{pmatrix} \cos \theta_{23} & \sin \theta_{23} \\ -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \nu_\mu \\ \nu_\tau \end{pmatrix}$$

- **Three flavour effects:** even  $\nu_e \leftrightarrow \nu_x$  and  $\bar{\nu}_e \leftrightarrow \bar{\nu}_x$  swaps take place, in sharply separated energy regions
- **The swapped / unswapped energy regions depend on primary fluxes and mass hierarchy**

# Primary neutrino fluxes: a lot of model dependence

Livermore 1998

Garching 2003

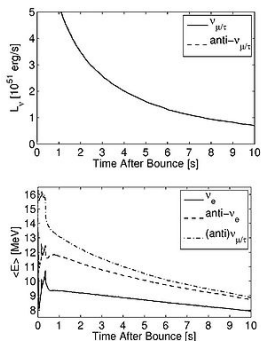


solid:  $\bar{\nu}_e$ , dotted:  $\bar{\nu}_\mu$

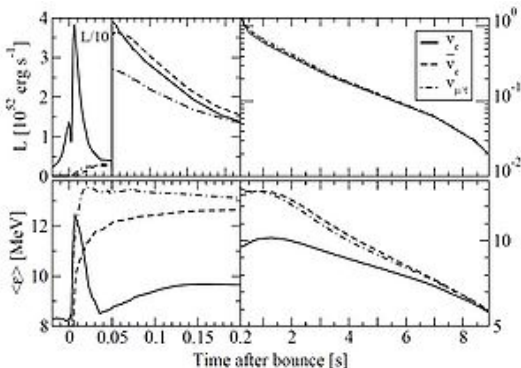
Totani et al., 1998, Raffelt et al., 2003

# Recent model predictions for fluxes

## Basel 2009



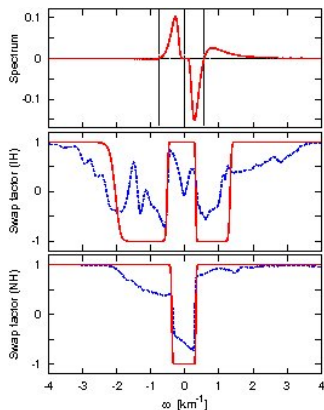
## Garching 2009



Fischer et al, 2009; Hüdepohl et al, 2009

- Average energies slightly smaller
- $\sim 20\%$  differences in average energies and fluxes (especially during the accretion phase; more for neutrinos)

# Mass ordering, spectral crossings, swaps, and splits



- Neutrinos:

$$\omega \equiv 1/E$$

- Antineutrinos:

$$\omega \equiv -1/E$$

- Spectrum

$$g(|\omega|) = F_{\nu_e}(\omega) - F_{\nu_x}(\omega)$$

$$g(-|\omega|) = F_{\bar{\nu}_x}(\omega) - F_{\bar{\nu}_e}(\omega)$$

- Swap

$$S(\omega) = \frac{g(\omega)_{final}}{g(\omega)_{initial}}$$

Swap  $S(\omega) = -1 \Rightarrow$

- Inverted Hierarchy: positive crossing
- Normal Hierarchy: negative crossing
- Nearby swaps may overlap to reduce number of splits

# Earth matter effects

- If  $F_{\nu_1}$  and  $F_{\nu_2}$  reach the earth,

$$F_{\nu_e}^D(L) - F_{\nu_e}^D(0) = (F_{\nu_2} - F_{\nu_1}) \times \sin 2\theta_{12}^{\oplus} \sin(2\theta_{12}^{\oplus} - 2\theta_{12}) \sin^2 \left( \frac{\Delta m_{\oplus}^2 L}{4E} \right)$$

(Sign changes for antineutrinos)

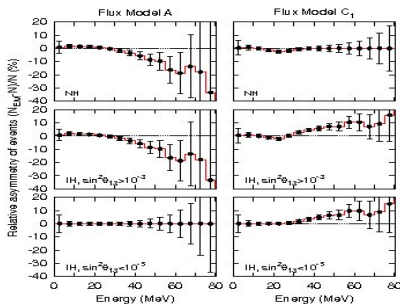
- Nonzero Earth matter effects require
  - Neutrinos:  $p \neq 0$
  - Antineutrinos:  $\bar{p} \neq 0$
- Can distinguish scenarios depending on Earth effects in different energy regimes
- A more efficient way of detecting split positions

# Earth effects: comparison between two detectors

- Ratio of luminosities at IceCube and a megaton water Cherenkov, as a function of time

AD, M. Keil, G. Raffelt, JCAP 0306:005 (2003)

- Comparing spectra at two 400 kt water Cherenkovs



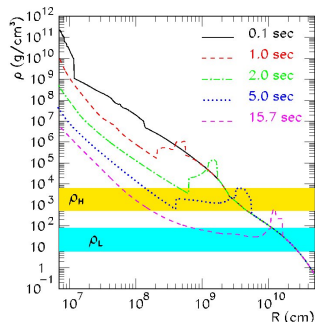
S. Choubey et al., arXiv:1008.0308 [hep-ph]

## Robust experimental signature

- Earth effects can distinguish hierarchies even for  $\theta_{13} \lesssim 10^{-10}$

# Shock wave effects

When shock wave passes through a resonance region (density  $\rho_H$  or  $\rho_L$ ):



- adiabatic resonances may become momentarily non-adiabatic
- Sharp changes in the final spectra even if the primary spectra change smoothly

R. C. Schirato, G. M. Fuller, astro-ph/0205390

G. L. Fogli, E. Lisi, D. Montanino and A. Mirizzi, PRD 68, 033005 (2003)

J.P.Kneller, G.C.Mclaughlin, J.Brockman, PRD77, 045023 (2008)

# Shock signals at a megaton water Cherenkov

- Time-dependent dip/peak features in  $N_{\nu_e, \bar{\nu}_e}(E)$ ,  $\langle E_{\nu_e, \bar{\nu}_e} \rangle$ ,  $\langle E_{\nu_e, \bar{\nu}_e}^2 \rangle$ , etc.
- Times at which dips/peaks appear in  $N_{\bar{\nu}_e}(E)$  are the times at which the shock waves enter the densities

$$\rho(E) = \frac{m_N \Delta m_{atm}^2}{2\sqrt{2} G_F Y_e E}$$

- $\Rightarrow$  Tracking of shock wave while it is still inside the mantle

R.Tomas, M.Kachelriess, G.Raffelt, AD, H.T.Janka and L.Scheck, JCAP **0409**, 015 (2004)

## Identifying mixing scenario

- Shock wave present in  $\nu_e$  only for  $\text{NH} \oplus \sin^2 \theta_{13} \gtrsim 10^{-5}$
- Shock wave present in  $\bar{\nu}_e$  only for  $\text{IH} \oplus \sin^2 \theta_{13} \gtrsim 10^{-5}$



# Shock signals at a megaton water Cherenkov

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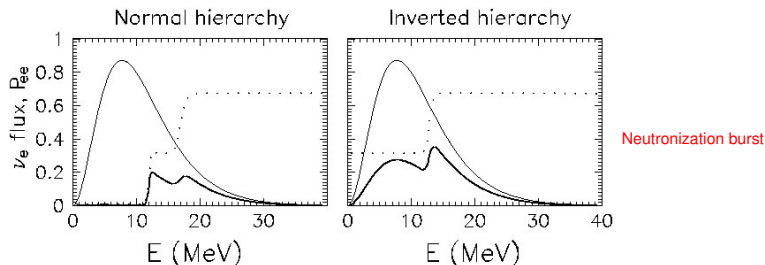
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# Stepwise spectral split in O-Ne-Mg supernovae



- MSW resonances deep inside collective regions

H. Duan, G. M. Fuller, J. Carlson, Y.Z.Qian, PRL100, 021101 (2008)

C. Lunardini, B. Mueller, H. T. Janka, arXiv:0712.3000

- “MSW-prepared” spectral splits: two for IH, one for NH

H.Duan, G.Fuller, Y.Z.Qian, PRD77, 085016 (2008)

- Positions of splits fixed by initial spectra

B.Dasgupta, AD, A. Mirizzi, G.G.Raffelt, PRD77, 1130007 (2008)

- $\nu_e$  suppression more at low energy: Ar detector crucial
- Identification of O-Ne-Mg supernova ??