

# Vacancy-induced low-energy states in undoped graphene

*Bhatt-Lee physics in the Kitaev model & crossover behaviour in Chiral Orthogonal universality class. . .*

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# Motivation

- ▶ Random impurity potentials localize electron wavefunctions in  $d = 1$   
Weak-localization in  $d = 2$ , mobility edge in  $d = 3 \dots$   
(Gang of Four 1979)
- ▶ What about hopping disorder?
- ▶ Right way to ask this question: Are symmetries preserved by disordered Hamiltonian different?

# Bipartite random hopping problem

- ▶ Random (real) nearest neighbour hopping on bipartite lattices  $H$  has bipartite or “chiral” symmetry: Every state at energy  $\epsilon$  has partner at energy  $-\epsilon$  (wavefunction changes sign on one sublattice)
- ▶ Bipartite symmetry broken by random potentials or next-nearest neighbour hops

# The question

- ▶  $\epsilon = 0$  is special in such problems  
Natural question: Does anything interesting happen?

## Some answers in $d = 1$

- ▶ Density of states diverges very strongly as  $\epsilon \rightarrow 0$

$$\rho(\epsilon) \sim |\epsilon|^{-1} \frac{1}{\log^3(\Omega/|\epsilon|)}$$

Dyson ('53), Theodorou & Cohen ('76), Eggarter & Riedinger ('78)

Simplest example of strong-disorder renormalization group fixed point

Motrunich, KD, Huse ('00,'01)

- ▶ Also: Diverging length scale as  $\epsilon \rightarrow 0$  limit—Loosely identified with localization length (not-quite ...)

## Some answers in $d = 2$

- ▶ Gade & Wegner ('91-93): Density of states diverges somewhat less strongly as  $\epsilon \rightarrow 0$

$$\rho(\epsilon) \sim |\epsilon|^{-1} \exp(-b \log^{1/x}(\Omega/|\epsilon|)) \text{ with } x = 2$$

Think this is arcane?

- ▶ Then try this:  
Real answer has  $x = 3/2$ , due to a strong-disorder effect.  
Motrunich, KD, Huse ('02); Motrunich, Ph.D thesis ('01).  
confirmed field-theoretically by Mudry, Ryu, Furusaki ('03)

# Vacancy-disorder

- ▶ Another kind of disorder: Missing sites in tight-binding model  
Natural if substitutional impurities correspond to missing orbital
- ▶ Question: Does vacancy-disorder change the asymptotic low-energy behaviour of  $\rho(\epsilon)$ ?

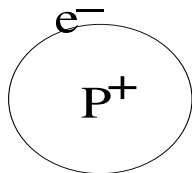
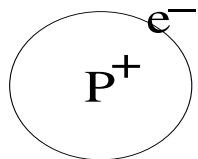
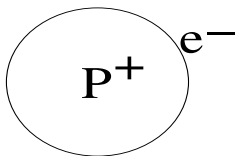
**Notice: No change in symmetries of microscopic  $H$**

# Our focus today

- ▶ Vacancies in tight-binding model for graphene
  - ▶ Vacancies modeled by deleting sites
- No interactions, no warping, no substrate charges, single-band model . . .
- Simplest possible abstraction of complicated system

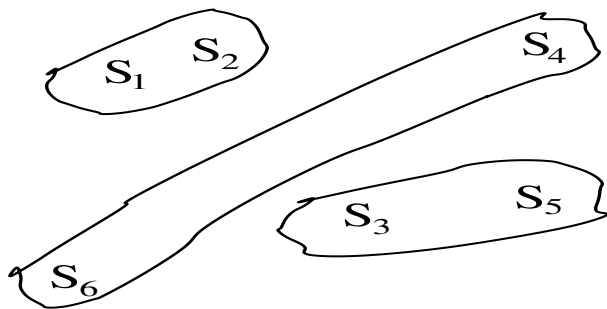


## Switch topics: Bhatt-Lee physics in Si:P



- ▶ Low density of P dopants in Si  $\rightarrow$  Half-filled “Hubbard model” on random lattice  
Electrical insulator
- ▶ At low energies: Physics of  $S = 1/2$  local moments

## Low energy spin physics



- ▶  $\sum_{i,j} J_{ij} \vec{S}_i \cdot \vec{S}_j$  with broad distribution of  $J_{ij}$
- ▶ Singlet pairs with broad distribution of binding energies
- ▶  $T\chi(T)$ : Pairs with binding energy  $< T$   
 $\chi(T) \sim \frac{N(T)}{T} \sim \frac{1}{T^\alpha}$  with  $\alpha$  set by concentration of P  
(Bhatt & Lee)

# Asymptotically exact?

- ▶ In  $d = 1$ , picture asymptotically exact for the random-exchange antiferromagnetic chain

$$\chi(T) = \frac{\Gamma_T^{-2}}{T} \text{ as } T \rightarrow 0.$$

( $\Gamma_T \equiv \log(J/T)$  [ $J$ : overall scale of antiferromagnetic exchange].)  
(Dasgupta & Ma, D. S. Fisher)

- ▶ For  $d > 1$ , status unclear (strong-disorder RG inconclusive)  
(Motrunich & Huse)

# Bhatt-Lee physics of diluted SU(2) symmetric Majorana spin liquid

- ▶ Tractable example of a disordered SU(2) symmetric Majorana spin liquid in  $d = 2$   
with  $\chi(T) = \frac{c}{4T} + \frac{N(\Gamma_T)}{4T}$  as  $T \rightarrow 0$
- ▶  $N(\Gamma_T)$  consistent with Bhatt-Lee physics  
 $N(\Gamma_T) \sim \Gamma_T^{-y}$  for  $T_{\text{cr}} \ll T \ll J$   
 $N(\Gamma_T) \sim \Gamma_T^{1/3} \exp(-c\Gamma_T^{2/3})$  for  $T \ll T_{\text{cr}}$

# Asymptotically exact realization of Bhatt-Lee physics

- ▶ Following Bhatt-Lee—

$\mathcal{C} \rightarrow$  Density of free-moments

$N(\Gamma_T) \rightarrow$  Density of singlet-pairs with binding energies smaller than  $T$

Raises (interesting?) question: Alternate Strong-disorder RG approach to go beyond tractable limit?

# Connection to chiral orthogonal universality class and Graphene

- ▶  $\chi(T) \propto \kappa(T)$  for particle-hole-symmetric canonical free-fermions with vacancy disorder.  
 $N(\Gamma) \rightarrow$  integrated DOS for single-particle energies  
 $0 < |\epsilon| < J \times 10^{-\Gamma}$  (*i.e.* excluding zero modes)
- ▶ Vacancy-induced crossover in DOS in chiral orthogonal universality class  
Another example of same crossover: Undoped graphene with vacancy disorder

# Setting: Honeycomb model of Yao & Lee

$$\mathcal{H} = J \sum_{\langle \vec{r}\vec{r}' \rangle_\lambda} \tau_{\vec{r}}^\lambda \tau_{\vec{r}'}^\lambda \vec{S}_{\vec{r}} \cdot \vec{S}_{\vec{r}'} - \sum_{\vec{r}} \vec{B} \cdot \vec{S}_{\vec{r}} . \quad (1)$$

- ▶  $\vec{\tau}$ : “Orbital degrees of freedom that remain dynamical at low energy
  - ▶  $\vec{S} = \frac{\vec{\sigma}}{2}$ : spin-half moments
  - ▶ Original motivation: Low-energy effective Hamiltonian for a frustrated  $S = 1/2$  model on the decorated honeycomb lattice with multi-spin interactions
- Each  $\vec{S}$ : Low-energy projection of total spin of three spins.  
 $\tau^z = \pm 1$ : Two different low energy doublets that make up low energy sector

# Majorana representation

▶  $\sigma_{\vec{r}}^z = -i c_{\vec{r}}^x c_{\vec{r}}^y$

$\tau_{\vec{r}}^z = -i b_{\vec{r}}^x b_{\vec{r}}^y$

and cyclic permutations

- ▶  $c_{\vec{r}}^\lambda$  and  $b_{\vec{r}}^\lambda$  are Majorana (real) fermion operators.

Single-site Hilbert space doubled by this representation



# Constraint on fermion states

- ▶  $D_{\vec{r}} \equiv -ic_{\vec{r}}^x c_{\vec{r}}^y c_{\vec{r}}^z b_{\vec{r}}^x b_{\vec{r}}^y b_{\vec{r}}^z = +1$  at each site  $\vec{r}$

Curious fact:  $D = -1$  sector also provides faithful representation of  $\vec{\sigma}$  and  $\vec{\tau}$ .

→

No “unphysical” states. Instead: Two copies of physical states at each site

- ▶ In  $D = +1$  sector:  $\sigma_{\vec{r}}^{\alpha} \tau_{\vec{r}}^{\beta} = ic_{\vec{r}}^{\alpha} b_{\vec{r}}^{\beta}$

Similar reduction in  $D = -1$  sector

# Reduction leads to exact solution

- ▶ On bond with orientation  $\lambda$  ( $\lambda = x, y, z$ )  $\langle rr' \rangle_\lambda$ , get term:

$$u_{\langle rr' \rangle_\lambda} (i\vec{c}_r \cdot \vec{c}_{r'})$$

$$\text{with } u_{\langle rr' \rangle_\lambda} = -ib_r^\lambda b_{r'}^\lambda$$

- ▶ Three copies of Kitaev's non-interacting Majorana model, all coupled to same static  $Z_2$  gauge field

# Majorana fermion Hamiltonian

$$\mathcal{H} = \frac{J}{2} \sum_{\alpha=x,y,z} \sum_{\langle \vec{r}\vec{r}' \rangle_{\lambda}} u_{\langle \vec{r}\vec{r}' \rangle_{\lambda}} (ic_{\vec{r}}^{\alpha} c_{\vec{r}'}^{\alpha} + h.c.) + B \sum_{\vec{r}} ic_{\vec{r}}^x c_{\vec{r}}^y \quad (2)$$

where  $\vec{B} = B\hat{z}$ .

- ▶ Convenient: Canonical fermions  $f_{\vec{r}} = (c_{\vec{r}}^x - ic_{\vec{r}}^y)/2$
- ▶  $S_{\vec{r}}^z = ic_{\vec{r}}^x c_{\vec{r}}^y = f_{\vec{r}}^{\dagger} f_{\vec{r}} - 1/2$
- ▶ Want to compute:  $m^z \equiv \sum_r \langle S_{\vec{r}}^z \rangle / 2L^2$  as function of  $B$  and obtain  $\chi(T) = \frac{dm^z}{dB}$  at  $B = 0$

# Calculating susceptibility

- ▶ Hamiltonian  $H$  for  $f$  fermions:  
Tight-binding model with static  $Z_2$  gauge-fields  $u$  determining signs of each hopping matrix element  $t = u|J|$
- ▶  $\chi(T)$  related to  $f$  fermion compressibility  $\kappa(T)$  at particle-hole-symmetric chemical potential  $\mu \equiv B = 0$ .
- ▶  $c^z$  Majorana plays no role in susceptibility calculation
- ▶  $\chi(T) = \frac{1}{T} \int d\epsilon \rho_{\text{tot.}}(\epsilon) \frac{e^{\epsilon/T}}{(e^{\epsilon/T} + 1)^2}$   
where  $\rho_{\text{tot}}(\epsilon)$  is full DOS of  $H$

# Projection issues?

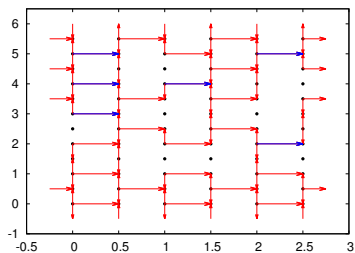
- ▶ In usual Kitaev model: Projection gives subleading corrections in thermodynamic limit  
Subtle for impurity susceptibility etc  
(Pedrocchi-Chesi-Loss, Zschocke-Vojta)  
(building on: Willans-Chalker-Moessner, Baskaran-Mandal-Shankar, Yao-Zhang-Kivelson)
- ▶ What happens here?  
Again: Only subleading corrections in general.
- ▶ For specific boundary conditions: Coefficient of subleading corrections zero

# Flux-binding

- ▶ Lieb-Loss heuristics:  
Each vacancy binds static  $\pi$ -flux in ground-state sector.  
Gap to other flux sectors  
(Kitaev, Willans-Chalker-Moessner)
- ▶ At low temperature,  $\chi$  dominated by this flux-sector

# Flux-attachment

- ▶ Send flux-strings off to one open edge



# Connection to vacancy-impurities in Graphene

- ▶ Without flux-attachment,  $H$  is tight-binding model for graphene with compensated vacancies
- ▶ Study numerically with and without flux attachment



# Dilution

- ▶ Remove honeycomb lattice sites at random
- ▶ Global “compensation”: Equal number of vacancies on  $A$  and  $B$  sublattices  
Protocol: Randomly/alternately pick sublattice, then pick random site to remove, ensure global compensation
- ▶ Exclusion constraints on vacancies—prevent disconnecting lattice into clusters, prevent dangling bonds.
- ▶ Choices eliminate “graph zeroes”

# Choice of geometry

- ▶ Semi-open  $L \times L$  unit cells ( $2L^2$  sites in undiluted sample) and armchair edges
- ▶ Vacancies excluded from interrupting armchair edge
- ▶  $L$  chosen even and *antiperiodic boundary conditions* or odd and periodic boundary conditions
- ▶ Choices eliminate boundary-induced graph zeroes.
- ▶ Any zero modes will now be “nontrivial”

# Computational details

- ▶ Form  $H^2$ , the square of the tight-binding Hamiltonian  $H$  (with hopping amplitude  $t = 1$  between nearest-neighbours), and work with the  $(1 - n_v)L^2 \times (1 - n_v)L^2$  block  $(T_{AB})^\dagger T_{AB}$  where  $T_{AB}$  is the matrix of hopping amplitudes from undeleted  $B$  sublattice sites to undeleted  $A$  sublattice sites
- ▶ Fully multiprecision implementation of the ALGOL routines in Wilkinson's handbook to count eigenvalues of  $(T_{AB})^\dagger T_{AB}$  below  $10^{-2\Lambda}$ .
- ▶ Results checked at moderate  $L$  and moderate  $\Lambda$  against LAPACK routines.

# Formulary

▶  $\rho_{\text{tot}}(\epsilon) = \rho(\epsilon) + w\delta(\epsilon)$

▶  $N(\Gamma) = 2 \int_0^{10^{-\Gamma}} \rho(\epsilon) d\epsilon$

$(\Gamma = \log_{10}(1/|\epsilon|))$

▶ **Modified Gade-Wegner form:**

$$\rho(E) \sim \frac{1}{|\epsilon|} e^{-b|\ln \epsilon|^{1/x}}$$

equivalently:

$$N(\Gamma) = a\Gamma^{1-\frac{1}{x}} e^{-b\Gamma^{\frac{1}{x}}}$$

$(x = 3/2, \text{ two free parameters } a \text{ and } b)$

▶ **Dyson form:**

$$\rho(\epsilon) \sim \frac{1}{|\epsilon| [\log[1/|\epsilon|]]^{1+y}}$$

equivalently:

$$N(\Gamma) = c\Gamma^{-y} \text{ (two free parameters } c \text{ and } y)$$

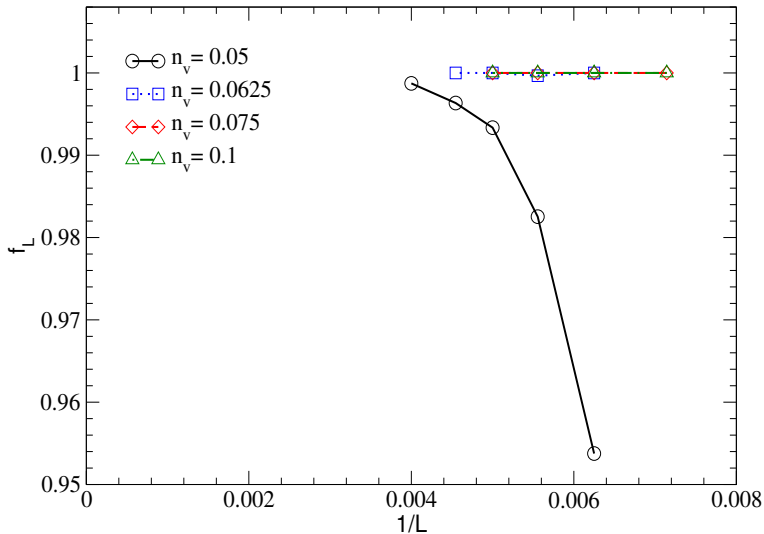
## Computational details—II

- ▶ For each sample, computations first done in a coarse-grid of  $\Lambda = \Gamma_i$ , then  $N_{\text{tot}}(\Gamma)$  “filled in” iteratively when needed. Final grid spacing  $\Delta(\Gamma) = 0.5$ .
- ▶ Lowest-nonzero gap  $10^{-\Gamma_g}$  in a given sample obtained with accuracy of  $\Delta(\Gamma_g) = 0.5$ .
- ▶  $w_L$  (number of zero modes per unit volume) given by  $N_{\text{tot}}(\Gamma, L)$  after “last” downward step.
- ▶ “Last”: Grid extends to  $\Gamma_{\text{max}}$  as high as 100—stability checked by varying precision
- ▶ Study  $N_L(\Gamma) = N_{\text{tot}}(\Gamma, L) - w_L$  and  $w_L$  for  $\sim 3000$  samples

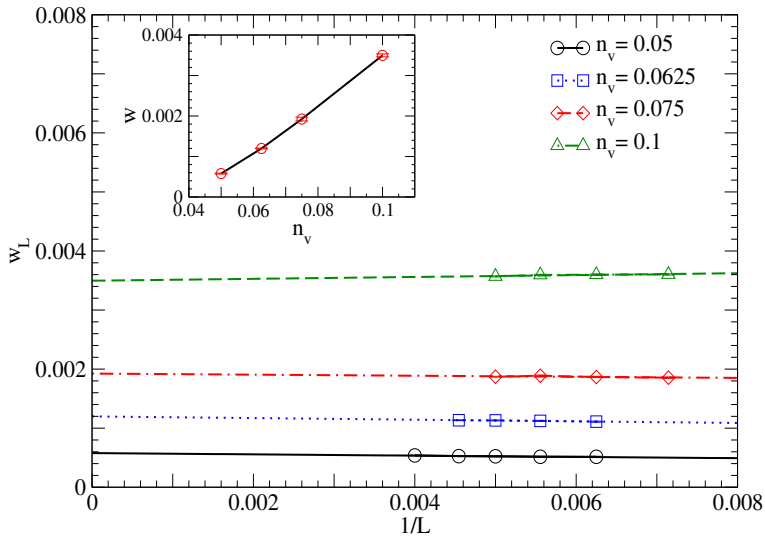
## Alternate (less painful) protocol

- ▶ Keep track of differences  $N_{\text{tot}}(\Gamma_{i+1}) - N_{\text{tot}}(\Gamma_i)$
- ▶ Obtain  $|\epsilon|\rho(\epsilon)$  directly
- ▶ Poorer statistical properties, but finesses zero mode question

# Zero modes

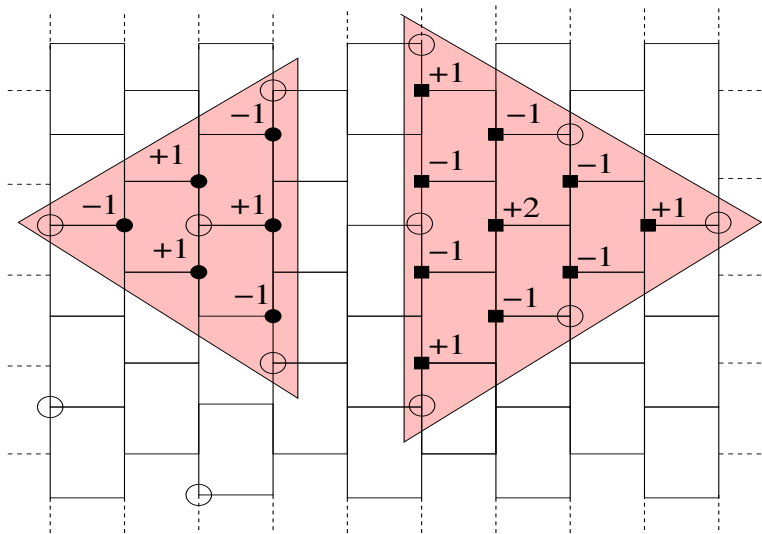


# Zero modes





## Rigorous lower-bound on zero modes



*4-triangle and  $\mathcal{R}_6$  motifs*

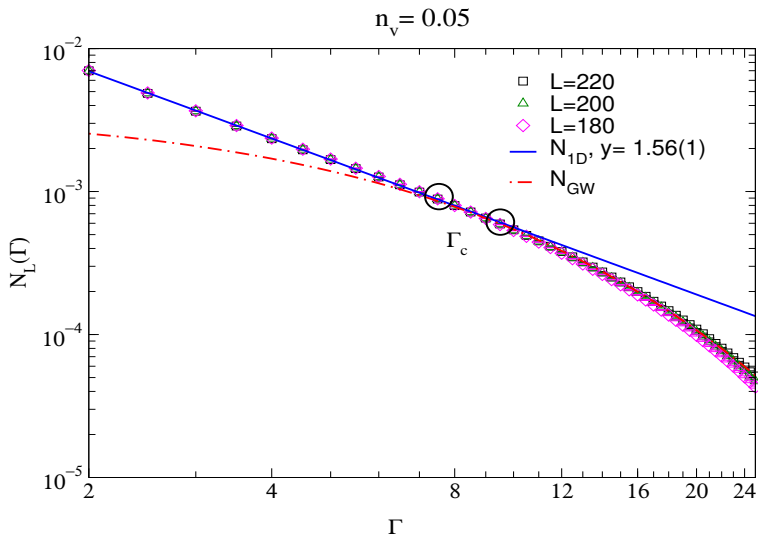
$$w_L^{(i)} \geq \left[ \max(N_{\Delta_{4A}}^{(i)}, N_{\Delta_{4B}}^{(i)}) \right] / L^2$$

Implies  $w \geq n_{\Delta_4}$  (concentration of 4-triangles)

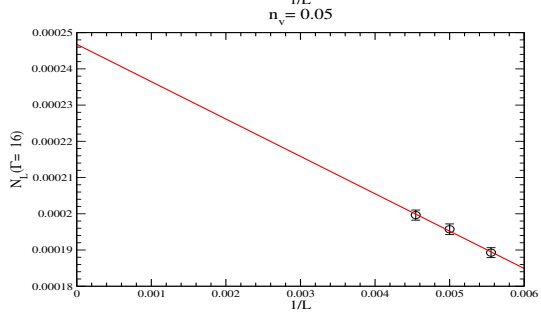
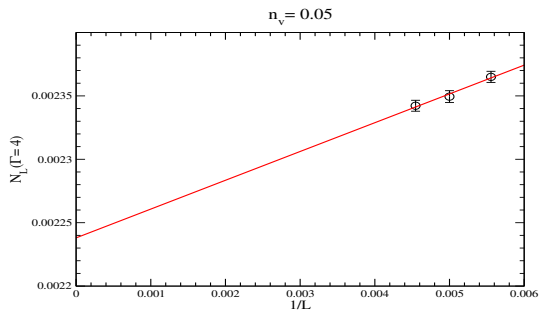
# Robust to hopping disorder

- ▶  $\mathcal{R}_6$  mode robust to bond disorder (but not 4-triangles).
- ▶ More general  $\mathcal{R}$ -type zero modes possible, also robust to bond disorder
- ▶ Dominate over 4-triangles except for asymptotically small  $n_v$  (out of reach)

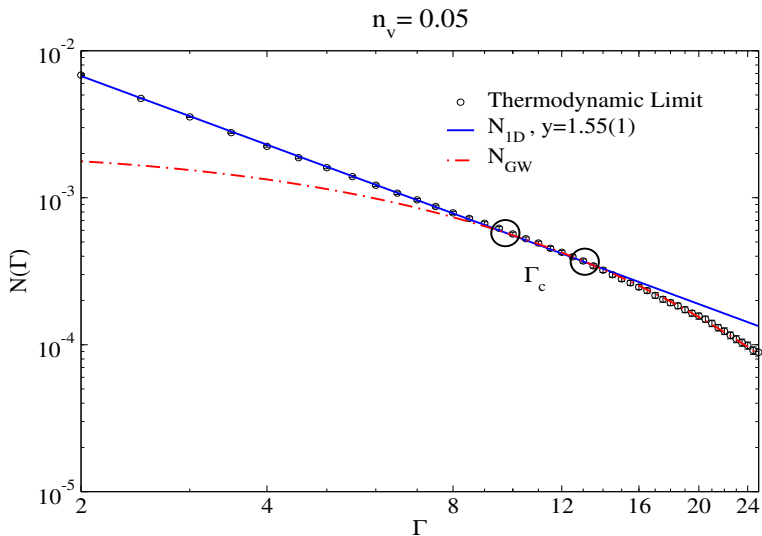
# $N(\Gamma)$ for $n_v = 0.05$

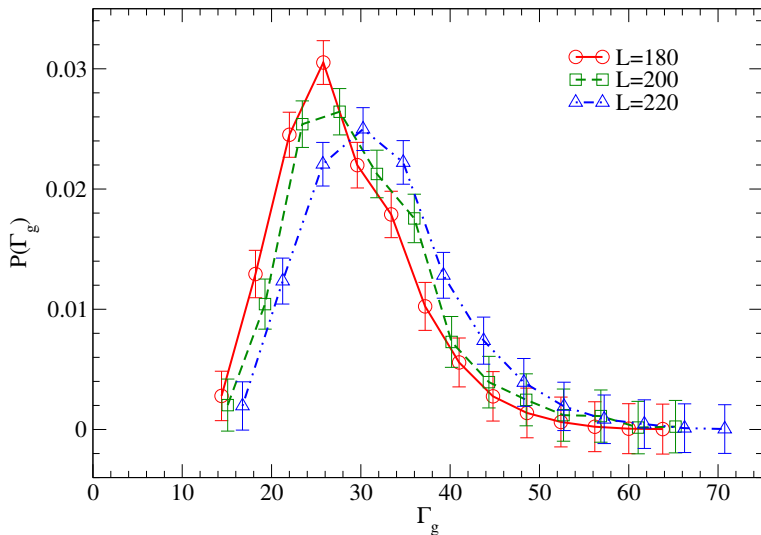


# Taking the thermodynamic limit

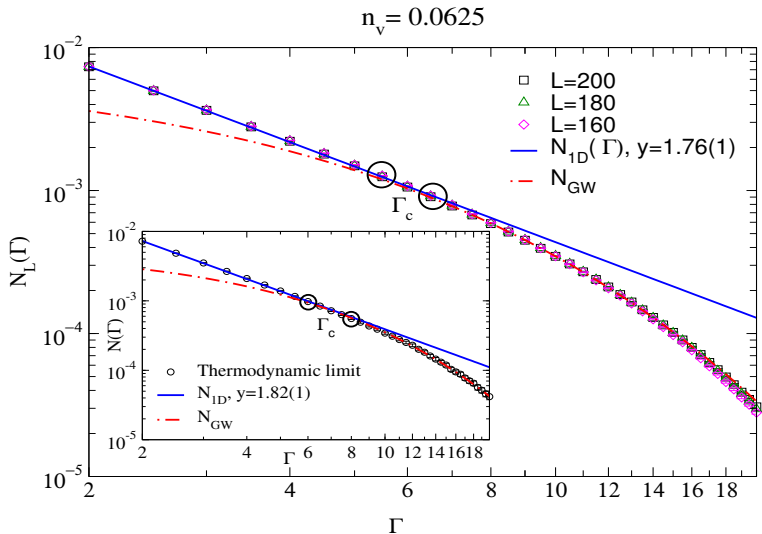


# Thermodynamic limit: $n_v = 0.05$

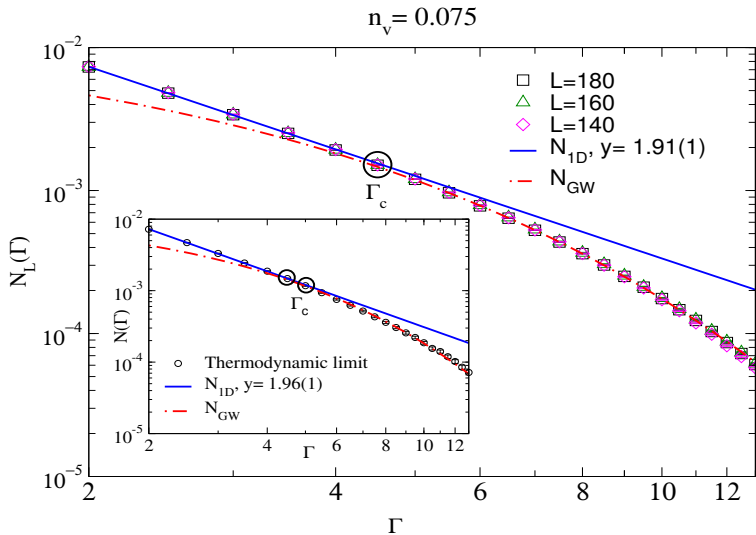


$\Gamma_{\text{gap}}^*$  $n_v = 0.05$ 

# $N(\Gamma)$ for $n_v = 0.0625$

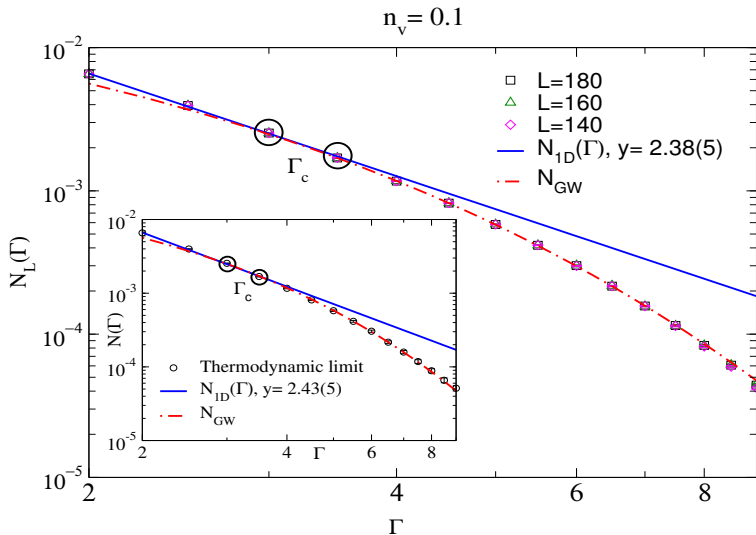


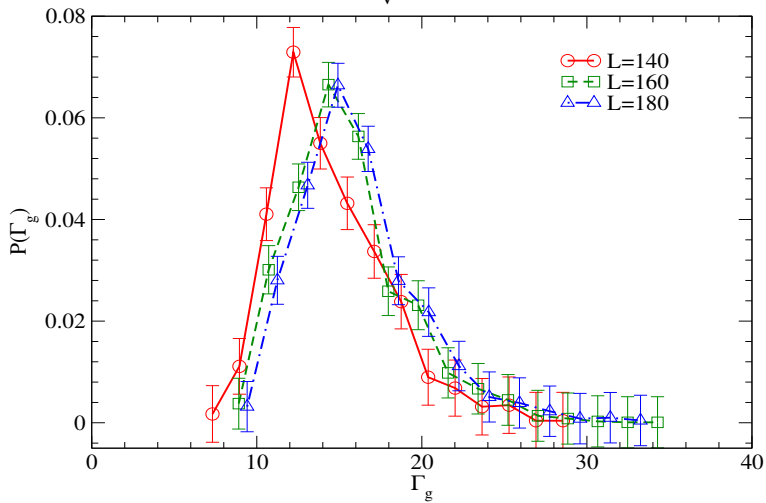
# $N(\Gamma)$ for $n_v = 0.075$

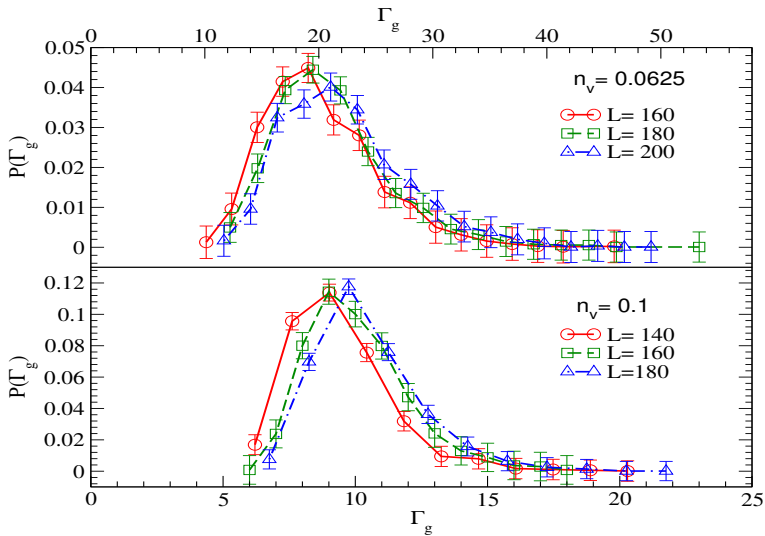




# $N(\Gamma)$ for $n_v = 0.1$



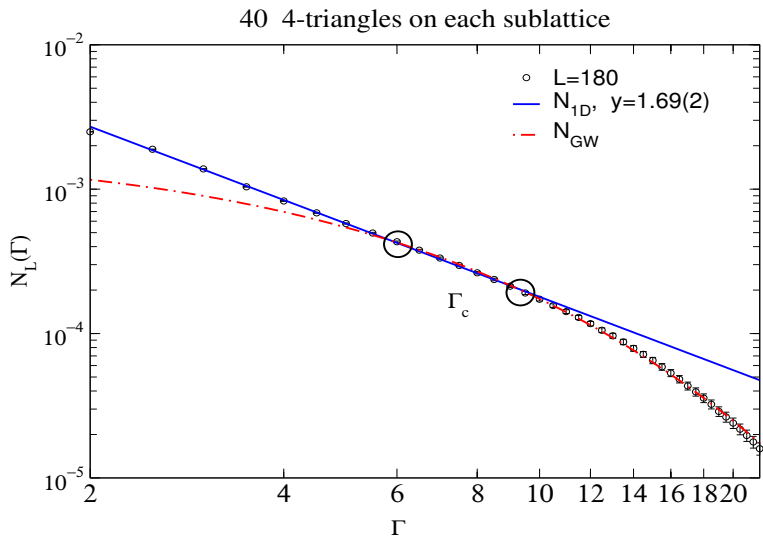
$\Gamma_{\text{gap}}^*$  $n_V = 0.075$ 

$\Gamma_{\text{gap}}^*$ 

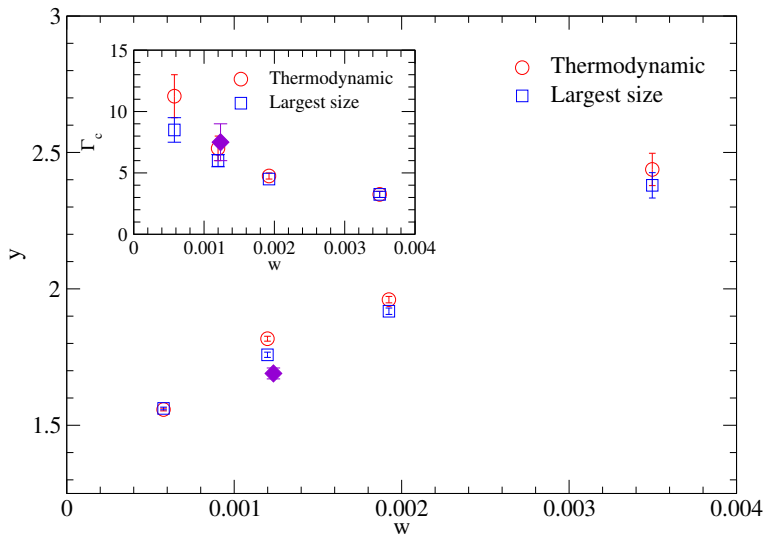
# Physics:

- ▶  $w$  depends on  $n_v$  *and* correlations between positions of vacancies
- ▶ Is crossover  $\Gamma_c$  and intermediate asymptotic exponent  $y$  “quasi-universal”?
- ▶ Operational definition of “Quasi-Universality”:  
Vary  $n_v$ , vary correlations.  
If resulting  $w$  is same, crossover  $\Gamma_c$  and  $y$  same...

# Toy-model: Dilution by 4-triangles ( $n_v = 0.0049$ )

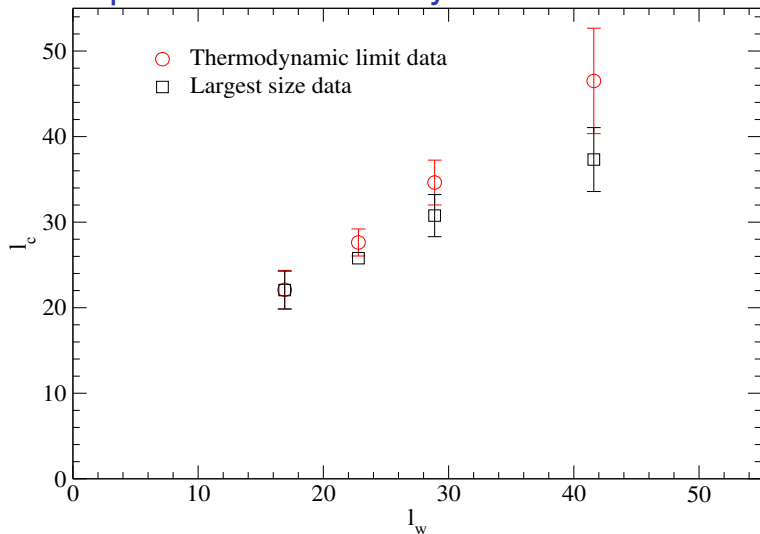


# Check crossover systematics



Filled symbol: 4-triangle diluted sample

## Another aspect of crossover systematics

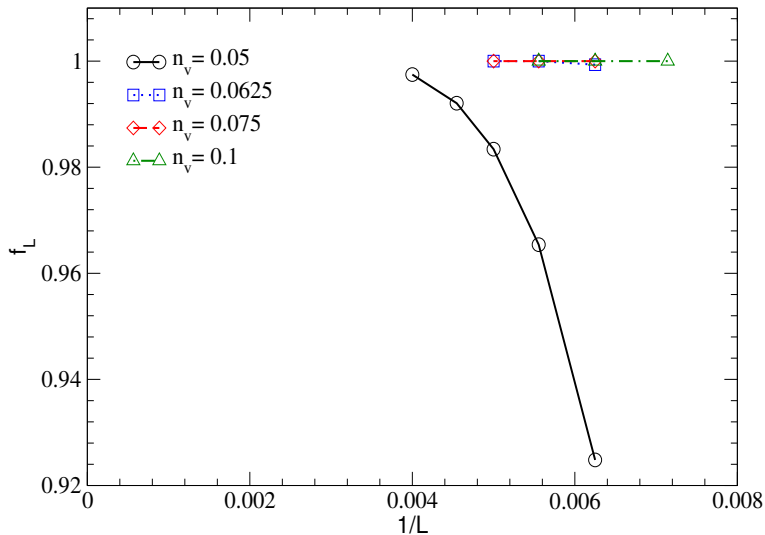


$$l_c \equiv 1/\sqrt{N(\Gamma_c)}$$

$$l_w \equiv 1/\sqrt{w}$$

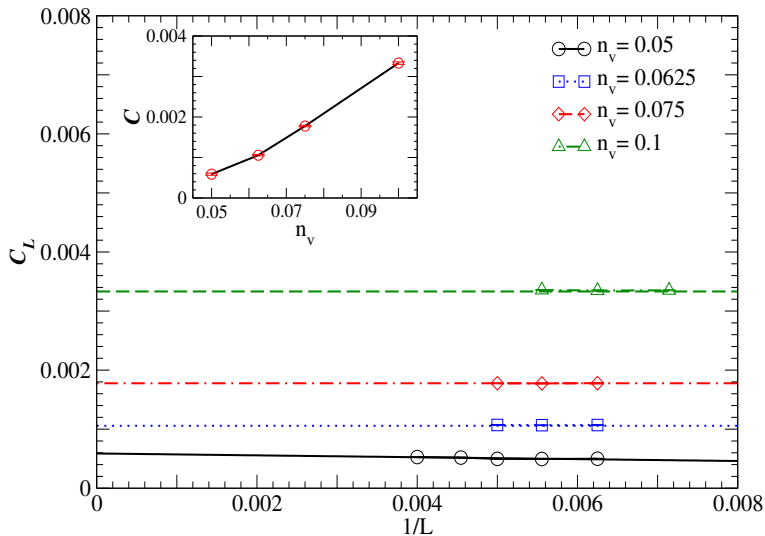
Caution: Not claiming  $l_c = l_w$ , only  $l_c \sim l_w$ .

# Kitaev: Zero modes

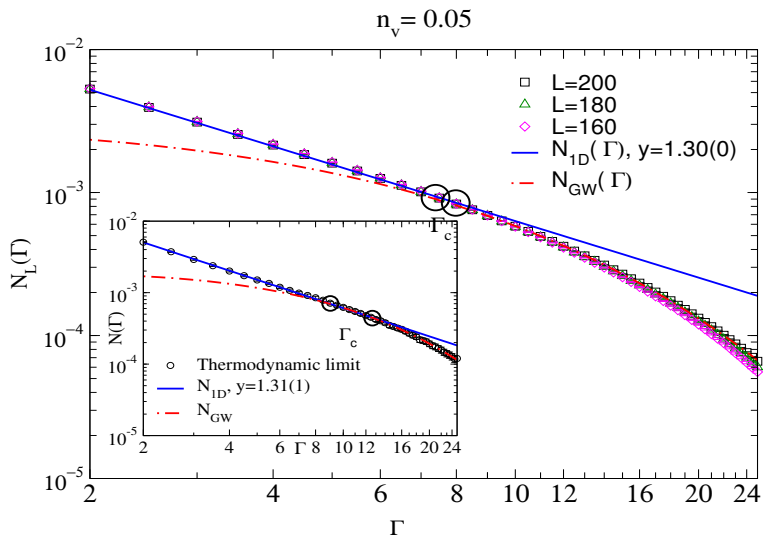




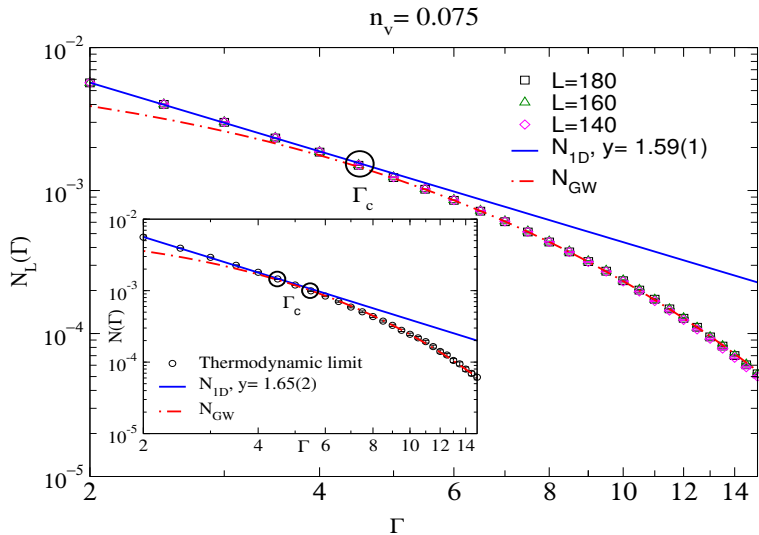
# Kitaev: Zero modes



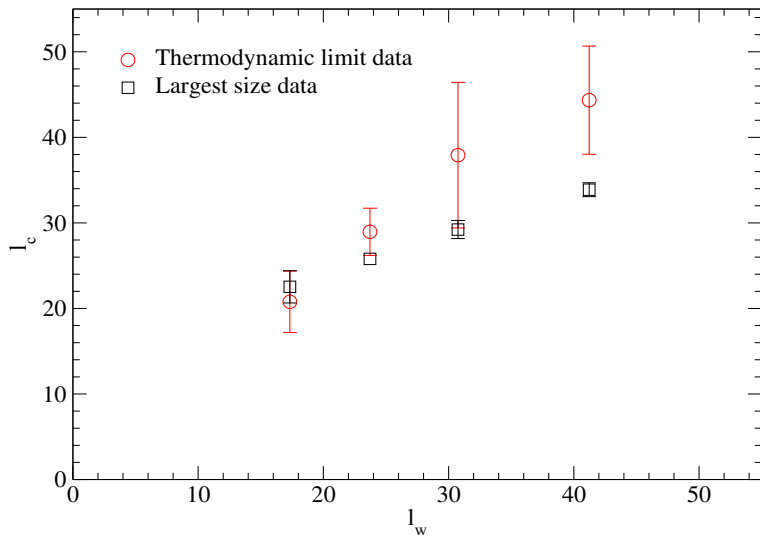
# Kitaev: $N(\Gamma)$



# Kitaev: $N(\Gamma)$



# Kitaev: Crossover systematics



# Comments on other work

Evers group:  $0 < y < 1$

(Hafner *et. al.* 2014)

Mirlin group prediction:  $y = 0.5$

(Ostrovsky *et. al.* 2014)

Willans-Chalker-Moessner (in gapped phase of Kitaev):  $y = 0.7$

# Acknowledgements

- ▶ Collaborators:
  - Graphene: Sambuddha Sanyal (ICTS-TIFR) and Olexei Motrunich (Caltech)
  - Revisiting Kitaev: Sambuddha Sanyal (ICTS-TIFR), John Chalker (Oxford) & Roderich Moessner (MPIPKS)
- ▶ Computational resources at TIFR and ICTS-TIFR