

# Initial conditions in heavy ion collisions

## I – Gluon production by external sources

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**CERN and CEA/Saclay**



# General outline

Parton model

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Color Glass Condensate

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Bookkeeping

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Inclusive gluon spectrum

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Generating functional

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Surgery of retarded graphs

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- [Lecture I](#) : Gluon production by external sources
- [Lecture II](#) : Leading Order description (T. Lappi)
- [Lecture III](#) : Next to Leading Order, Factorization (T. Lappi)
- [Lecture IV](#) : Final state evolution, Thermalization



# Lecture I : Gluons from external sources

Parton model

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Surgery of retarded graphs

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- Parton model
- Color Glass Condensate
- Power counting and bookkeeping
- Inclusive gluon spectrum
- Generating functional
- Surgery of retarded graphs



## Parton model

- Parton model
- IR & Coll. divergences

Color Glass Condensate

Bookkeeping

Inclusive gluon spectrum

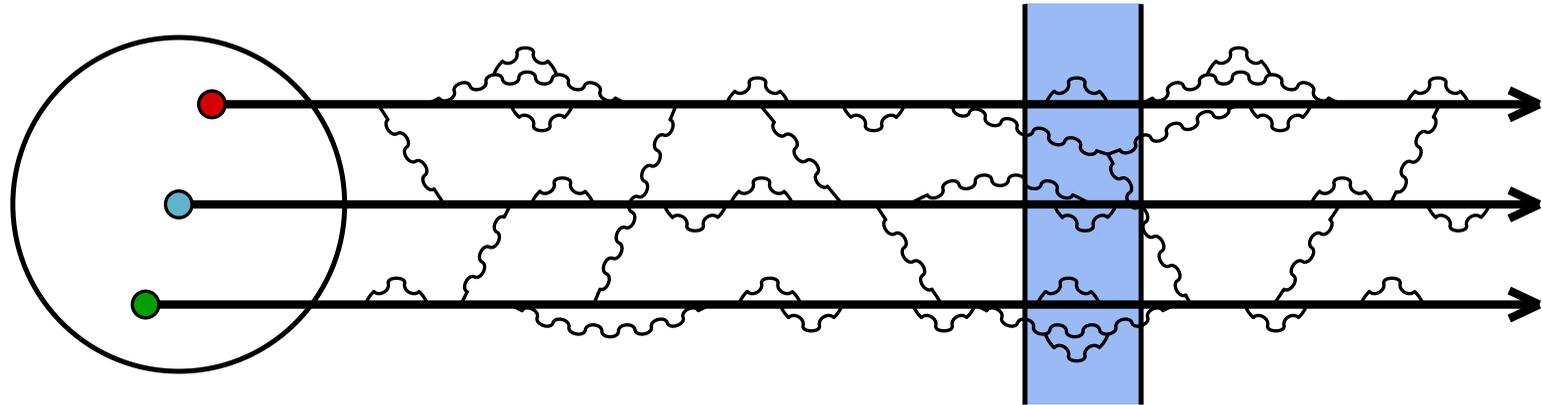
Generating functional

Surgery of retarded graphs

# Parton model

# Nucleon at rest

- Parton model
- Parton model
- IR & Coll. divergences
- Color Glass Condensate
- Bookkeeping
- Inclusive gluon spectrum
- Generating functional
- Surgery of retarded graphs



- A **nucleon at rest** is a very complicated object...
- Contains **fluctuations at all space-time scales** smaller than its own size
- Only the fluctuations that are longer lived than the external probe participate in the interaction process
- The only role of short lived fluctuations is to renormalize the masses and couplings
- Interactions are very complicated if the constituents of the nucleon have a non trivial dynamics over time-scales comparable to those of the probe

# Nucleon at high energy

Parton model

● Parton model

● IR & Coll. divergences

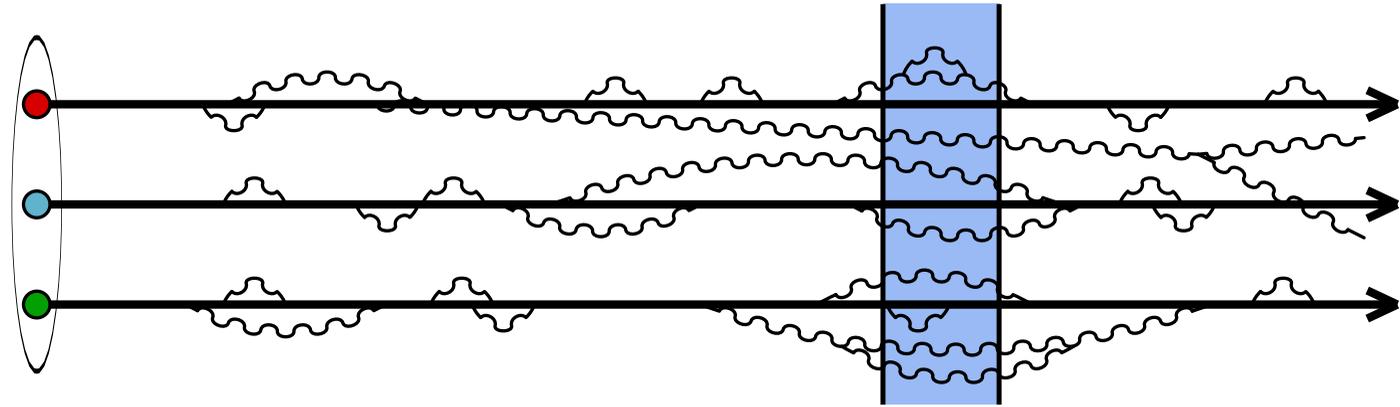
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Generating functional

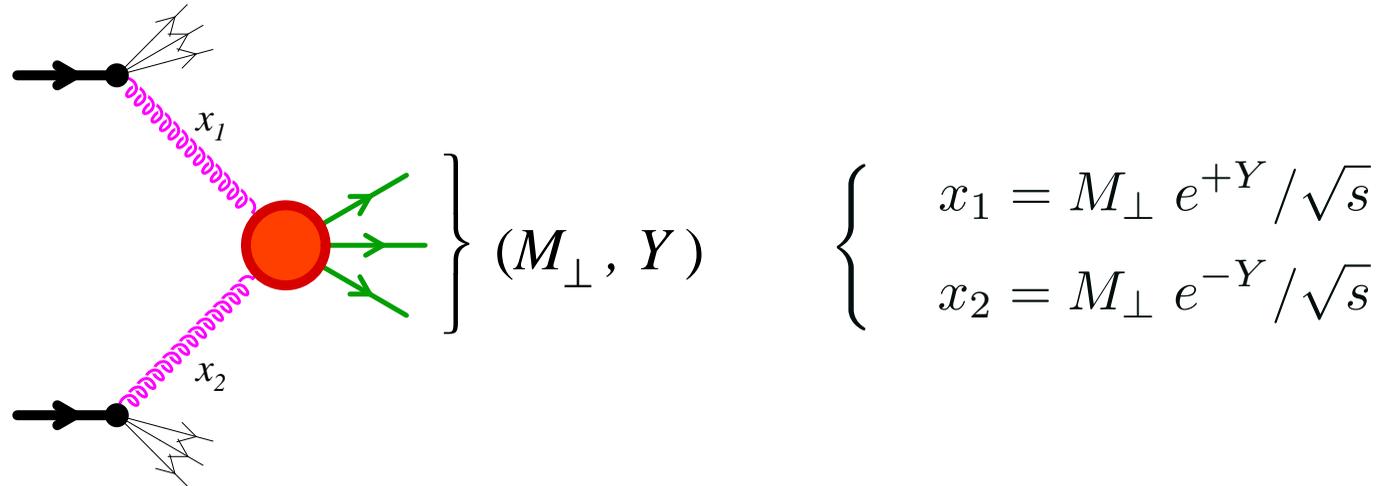
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- Dilation of all internal time-scales for a **high energy nucleon**
- Interactions among constituents now take place over time-scales that are longer than the characteristic time-scale of the probe  $\triangleright$  **the constituents behave as if they were free**
- Many fluctuations live long enough to be seen by the probe. The nucleon appears to **contain more gluons at high energy**
- Fast partons (fluctuations that were already visible before the boost) do not have any significant dynamics over the duration of the collision. They can be treated as static objects, that act as sources for the slower partons

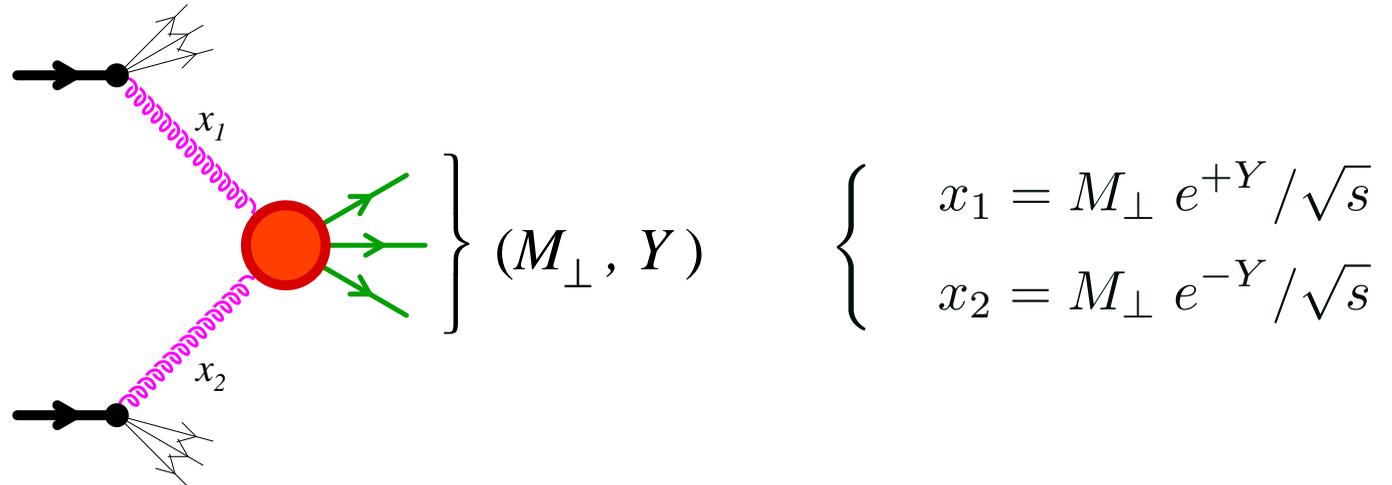
# Infrared and collinear divergences

- Calculation of some process at LO :

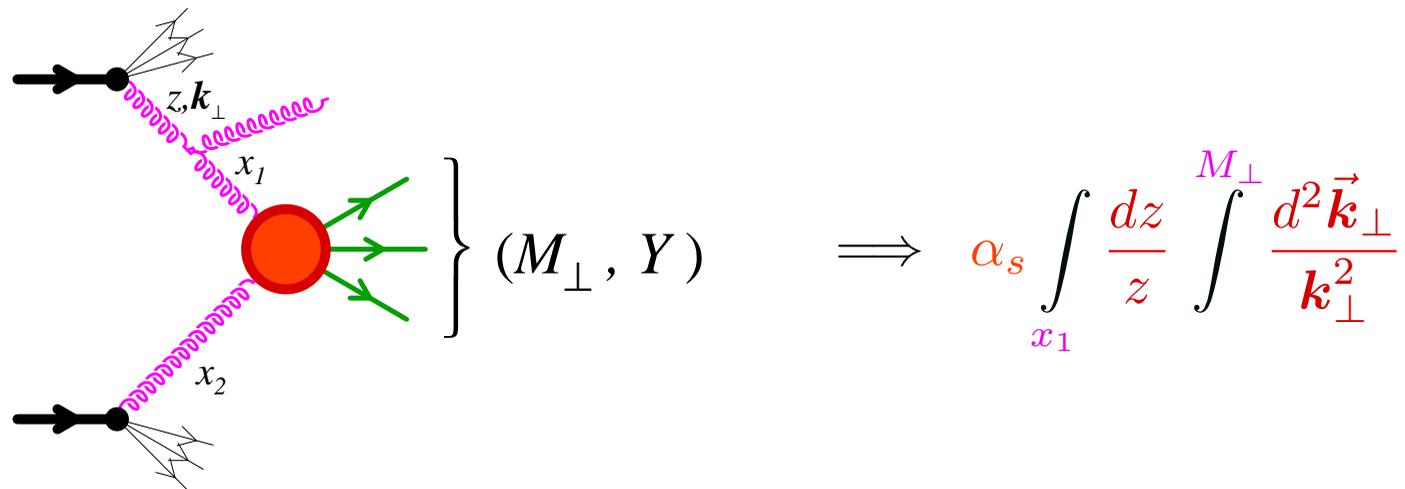


# Infrared and collinear divergences

- Calculation of some process at LO :



- Radiation of an extra gluon :





# Infrared and collinear divergences

Parton model

● Parton model

● IR & Coll. divergences

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- Large logs :  $\log(M_{\perp})$  or  $\log(1/x_1)$ , under certain conditions
  - ▷ these logs can compensate the additional  $\alpha_s$ , and void the naive application of perturbation theory
  - ▷ resummations are necessary
- Logs of  $M_{\perp} \implies$  DGLAP + Collinear factorization
  - ◆  $M_{\perp} \gg \Lambda_{QCD}$
  - ◆  $x_1, x_2$  are rather large
- Logs of  $1/x \implies$  BFKL +  $k_{\perp}$ -factorization
  - ◆  $M_{\perp}$  remains moderate
  - ◆  $x_1$  or  $x_2$  (or both) are small
- Physical interpretation :
  - ◆ The physical process can resolve the gluon splitting if  $M_{\perp} \gg k_{\perp}$
  - ◆ If  $x_1 \ll 1$ , the gluon that initiates the process is likely to result from bremsstrahlung from another parent gluon

# Infrared and collinear divergences

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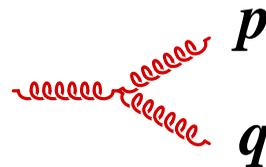
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- **Factorization** is the property that these logs **can be absorbed in the parton distributions** of the projectiles, and that these distributions are **universal** :
  - ◆ independent of the measured observable
  - ◆ independent of the other projectile
- Factorization is mostly a consequence of causality, because radiating a soft or collinear gluon takes a long time



$$\begin{aligned}
 t_f &\sim \frac{1}{|\vec{p} + \vec{q}| - p - q} \sim \frac{1}{\sqrt{(p+q)^2 + \underbrace{2pq(\cos\theta - 1)}_{\substack{\text{small in the IR} \\ \text{or collinear limits}}} - p - q} \\
 &\sim \frac{p+q}{pq(1 - \cos\theta)} \rightarrow +\infty
 \end{aligned}$$

▷ the processes responsible for the large logs must take place before or after –but not during– the collision



[Parton model](#)

**[Color Glass Condensate](#)**

- Gluon saturation
- CGC degrees of freedom
- Heavy Ion Collisions

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# Color Glass Condensate



# Criterion for gluon recombination

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● Gluon saturation

● CGC degrees of freedom

● Heavy Ion Collisions

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Gribov, Levin, Ryskin (1983)

- Number of gluons per unit area:

$$\rho \sim \frac{xG_A(x, Q^2)}{\pi R_A^2}$$

- Recombination cross-section:

$$\sigma_{gg \rightarrow g} \sim \frac{\alpha_s}{Q^2}$$

- Recombination happens if  $\rho\sigma_{gg \rightarrow g} \gtrsim 1$ , i.e.  $Q^2 \lesssim Q_s^2$ , with:

$$Q_s^2 \sim \frac{\alpha_s xG_A(x, Q_s^2)}{\pi R_A^2} \sim A^{1/3} \frac{1}{x^{0.3}}$$

# Saturation domain

Parton model

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● Gluon saturation

● CGC degrees of freedom

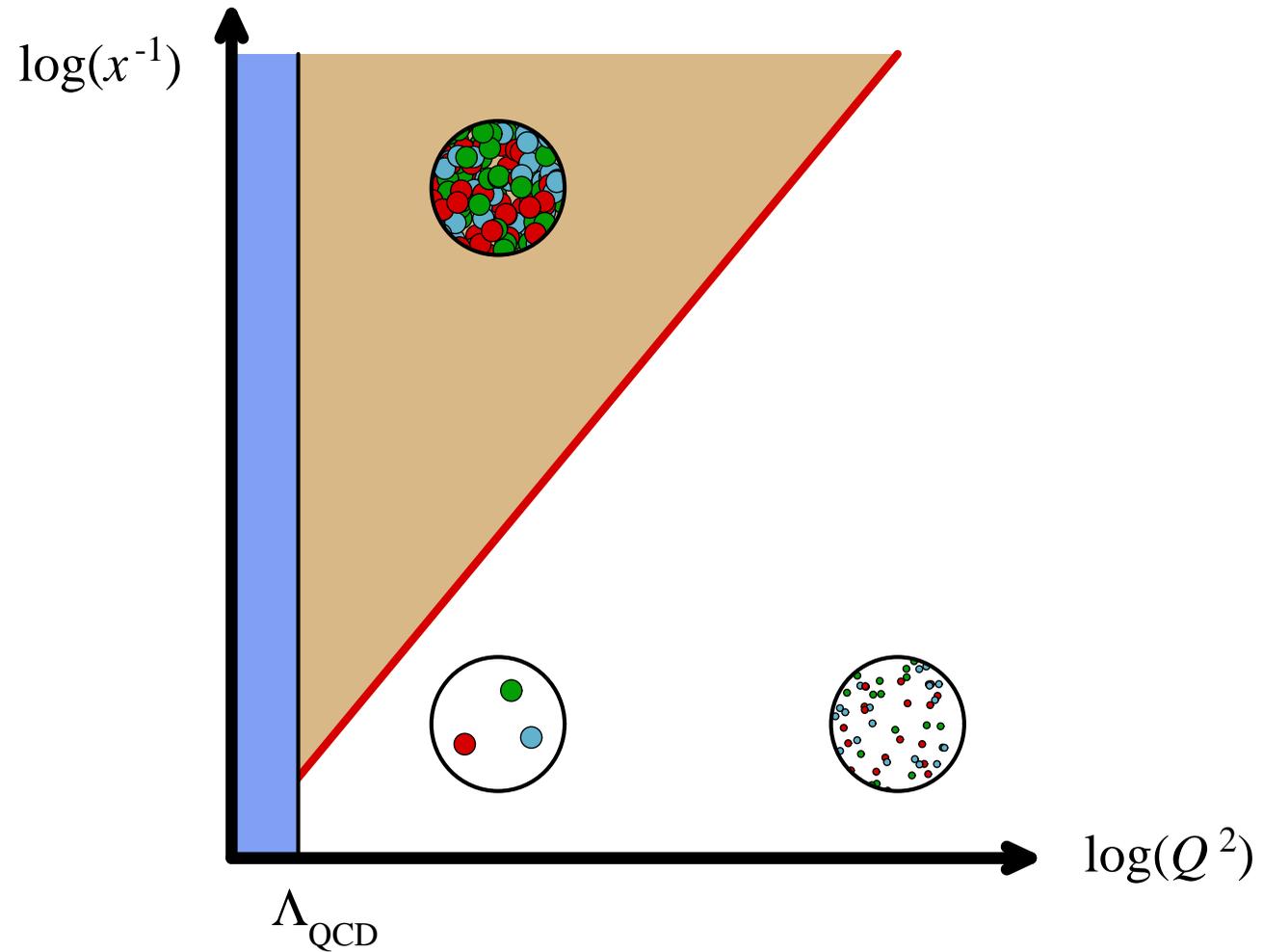
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# Saturation domain

Parton model

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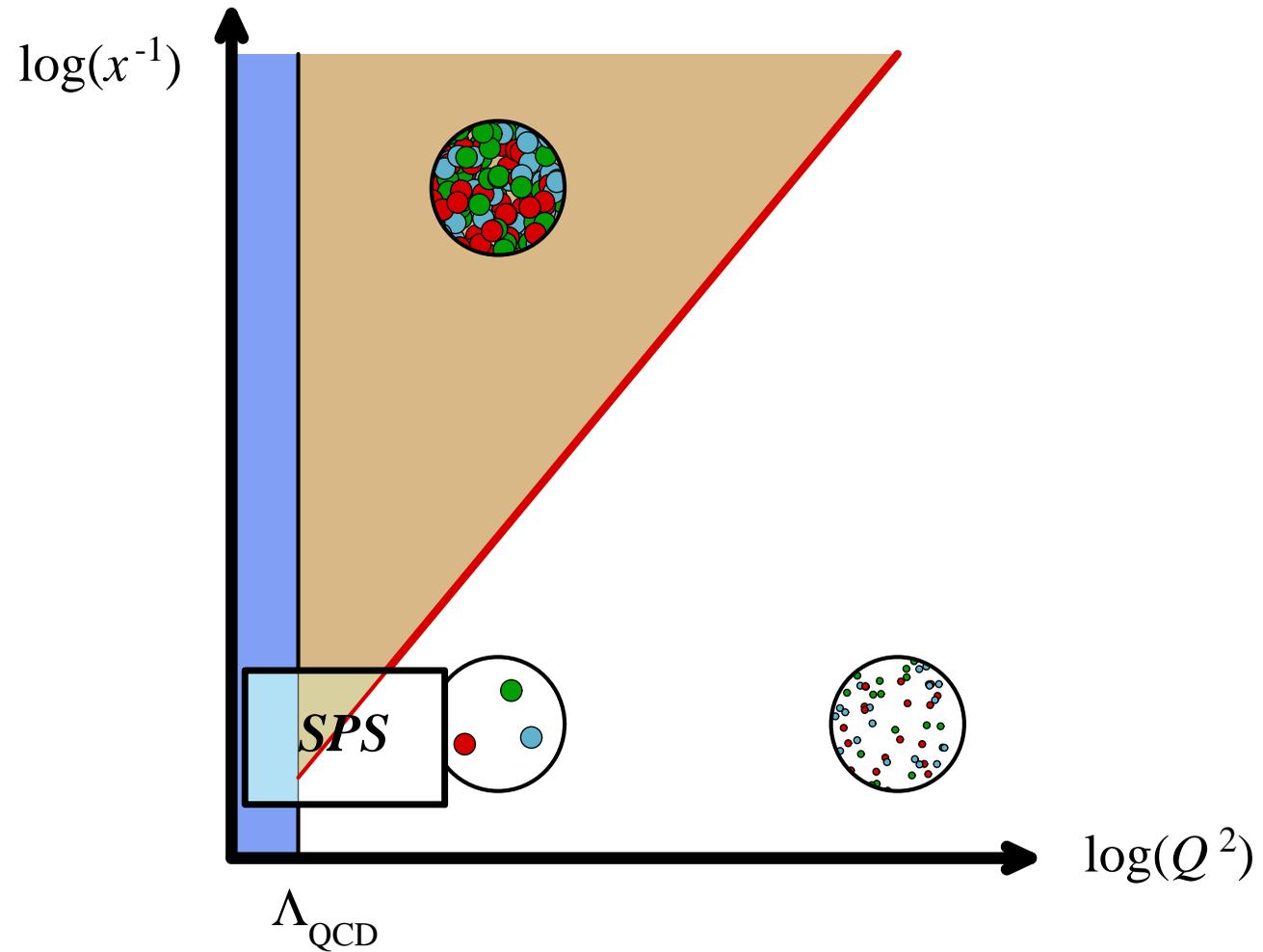
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# Saturation domain

Parton model

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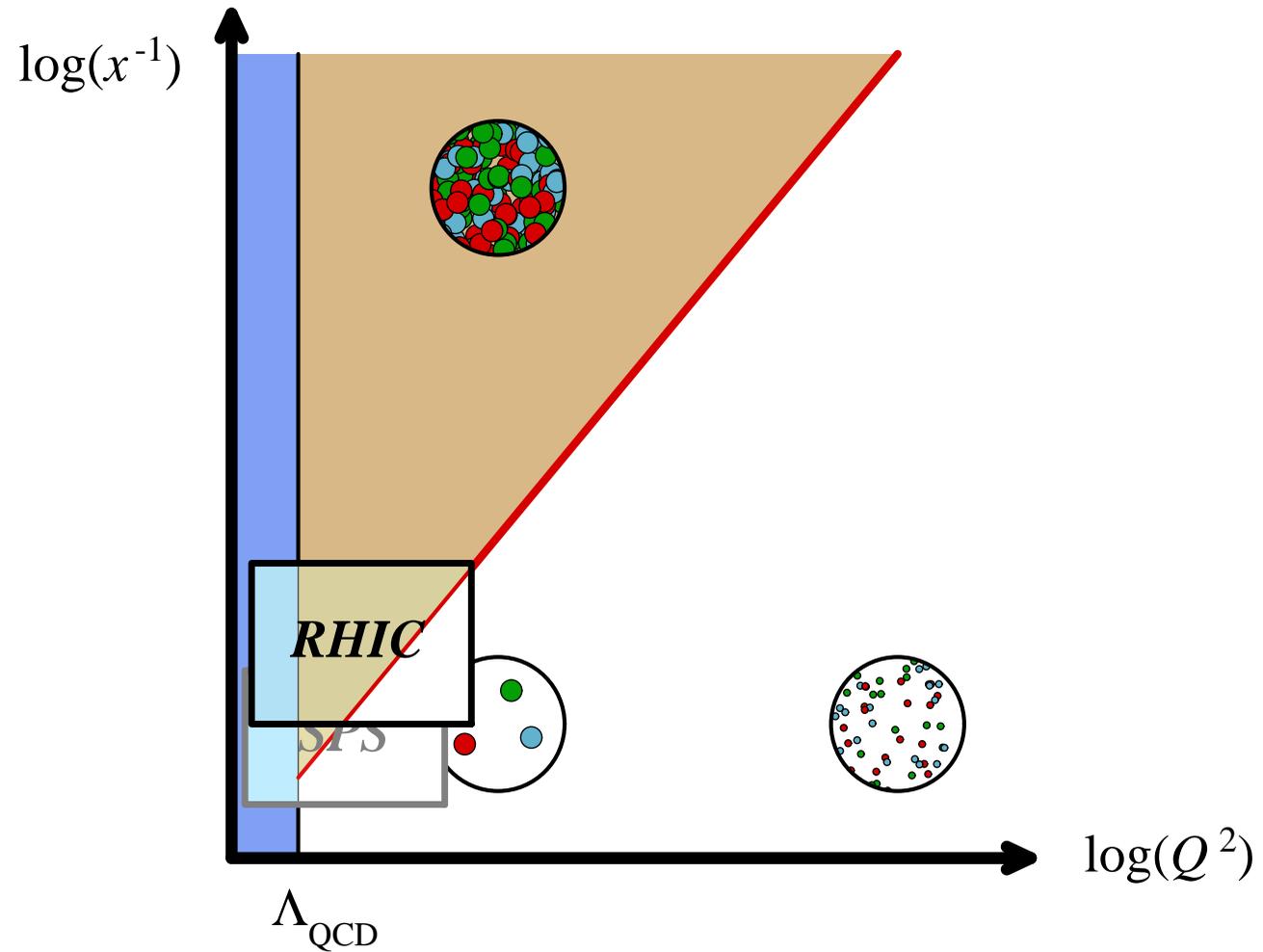
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# Saturation domain

Parton model

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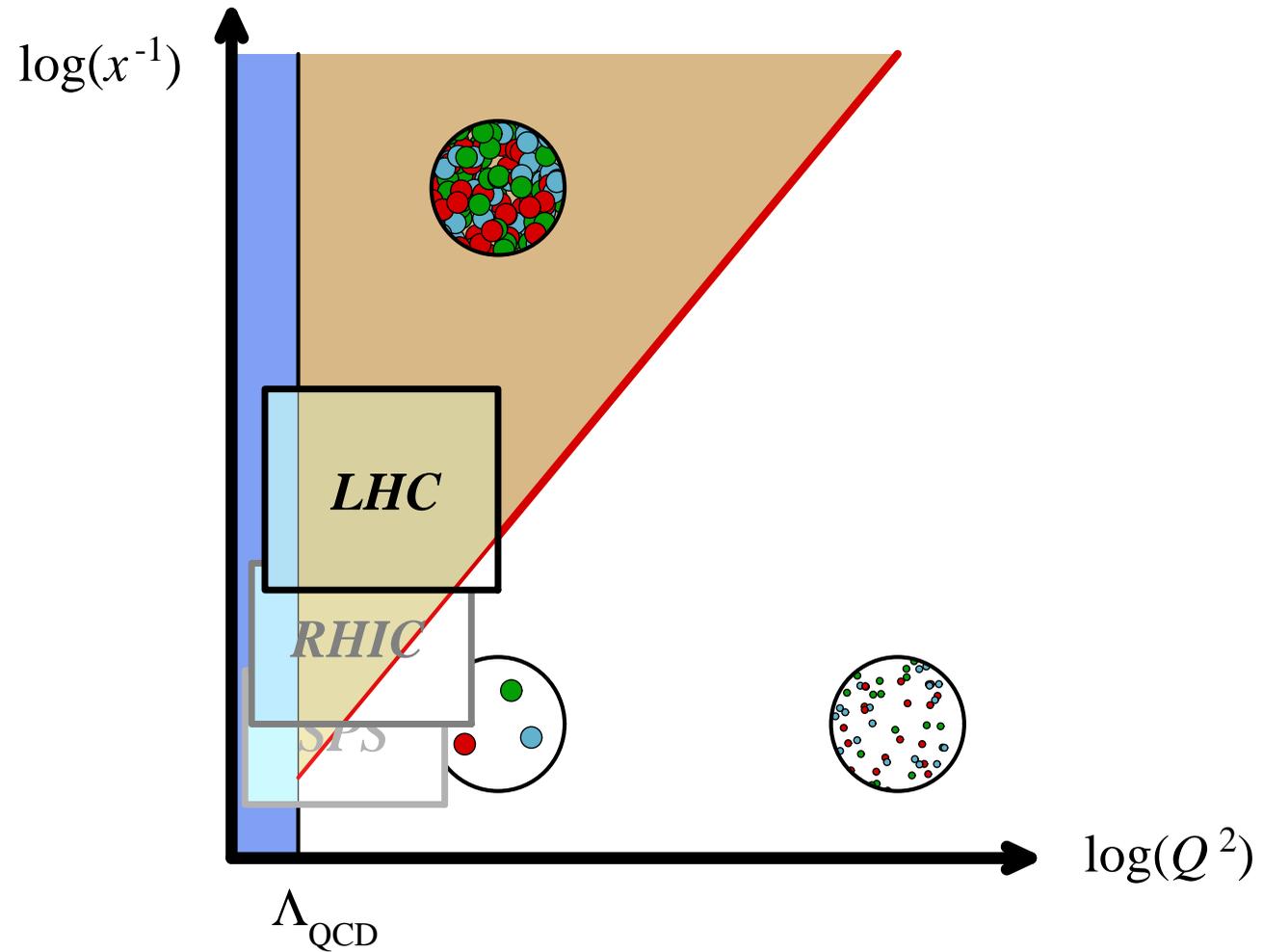
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# Saturation domain

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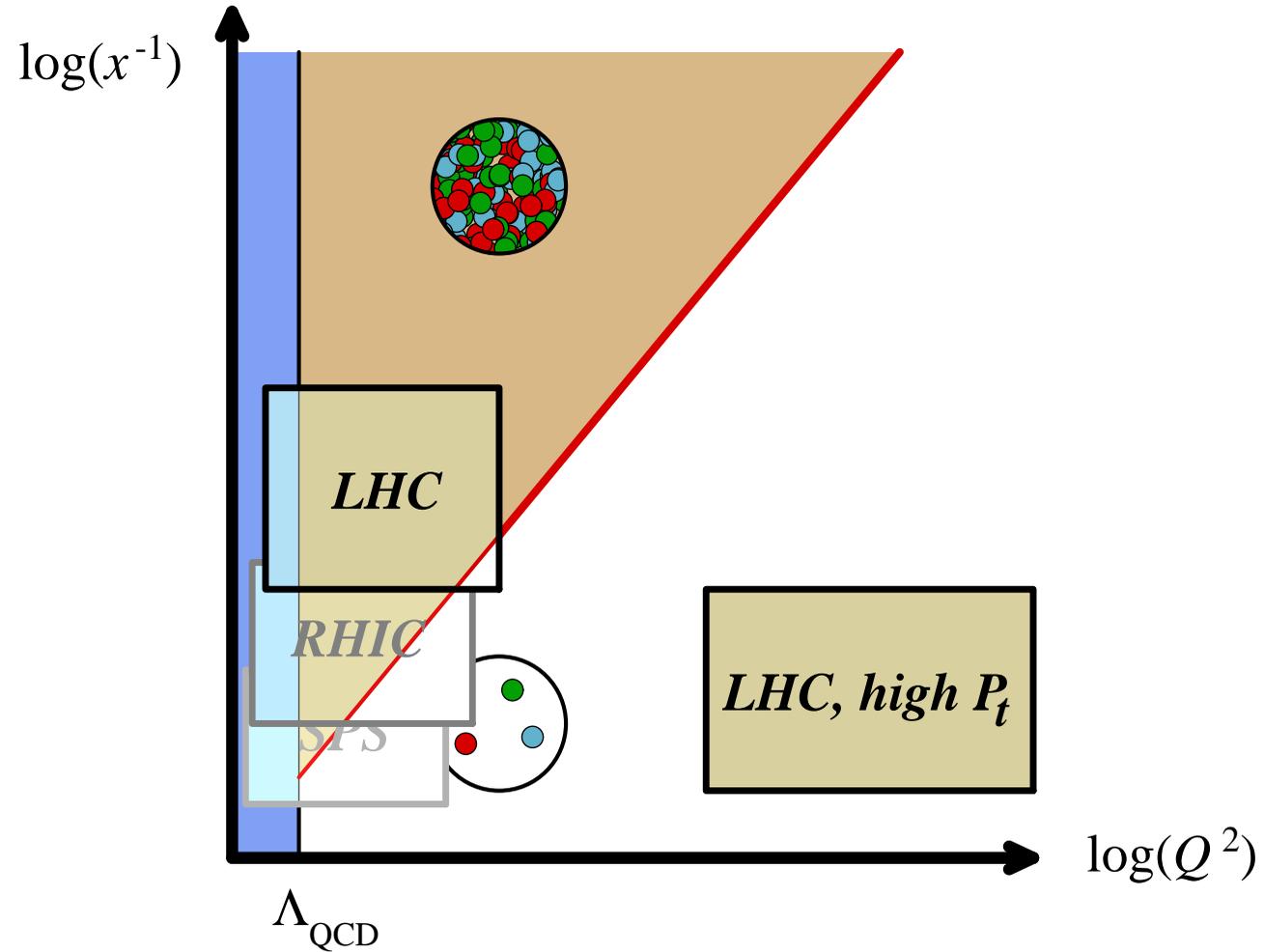
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# Multiple scatterings

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- The saturation criterion can also be seen as a condition for multiple scatterings

- The mean free path of a gluon in a nucleus is

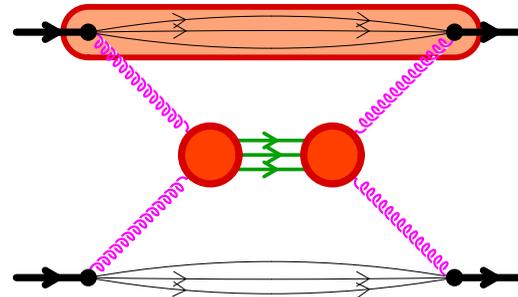
$$\lambda = \frac{1}{n\sigma_{gg \rightarrow g}}, \quad n \sim \frac{xG_A(x, Q^2)}{\frac{4}{3}\pi R_A^3}$$

- Multiple scatterings are important if  $\lambda$  becomes smaller than the size of the nucleus,  $\lambda \lesssim R_A$ , i.e.

$$Q^2 \lesssim \alpha_s \frac{xG_A(x, Q^2)}{\pi R_A^2} \sim Q_s^2$$

# Multiple scatterings

## ■ Single scattering :



▷ 2-point function in the projectile ▷ gluon number

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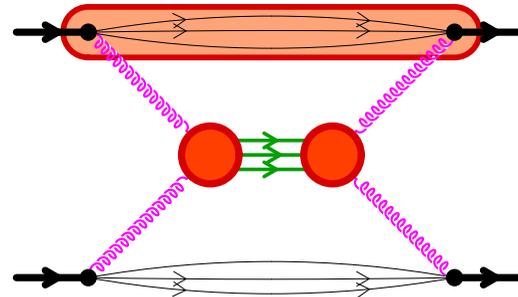
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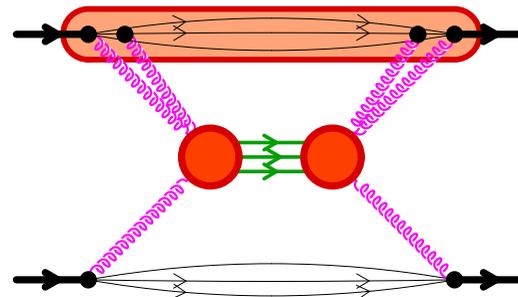
# Multiple scatterings

## ■ Single scattering :



▷ 2-point function in the projectile ▷ gluon number

## ■ Multiple scatterings :



▷ 4-point function in the projectile ▷ higher correlations



# CGC degrees of freedom

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● Gluon saturation

● CGC degrees of freedom

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- The fast partons (large  $x$ ) are frozen by time dilation
  - ▷ described as **static color sources** on the light-cone :

$$J_a^\mu = \delta^{\mu+} \delta(x^-) \rho_a(\vec{x}_\perp) \quad (x^- \equiv (t - z)/\sqrt{2})$$

- Slow partons (small  $x$ ) cannot be considered static over the time-scales of the collision process ▷ they must be treated as the usual gauge fields

Since they are radiated by the fast partons, they must be coupled to the current  $J_a^\mu$  by a term :  $A_\mu J^\mu$

- The color sources  $\rho_a$  are **random**, and described by a **distribution functional**  $W_Y[\rho]$ , with  $Y$  the rapidity that separates “soft” and “hard”
  - ▷  $W_Y[\rho]$  contains all the correlations needed to calculate multiple scatterings



# CGC evolution

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- Evolution equation (JIMWLK) :

$$\frac{\partial W_Y}{\partial Y} = \mathcal{H} W_Y$$

$$\mathcal{H} = \frac{1}{2} \int_{\vec{y}_\perp} \frac{\delta}{\delta \tilde{\mathcal{A}}_b^+(\epsilon, \vec{y}_\perp)} \eta_{ab}(\vec{x}_\perp, \vec{y}_\perp) \frac{\delta}{\delta \tilde{\mathcal{A}}_a^+(\epsilon, \vec{x}_\perp)}$$

where  $-\partial_\perp^2 \tilde{\mathcal{A}}^+(\epsilon, \vec{x}_\perp) = \rho(\epsilon, \vec{x}_\perp)$

- $\eta_{ab}$  is a non-linear functional of  $\rho$
- This evolution equation resums the powers of  $\alpha_s \ln(1/x)$  and of  $Q_s/p_\perp$  that arise in loop corrections
- This equation simplifies into the BFKL equation when the color density  $\rho$  is small (one can expand  $\eta_{ab}$  in  $\rho$ )

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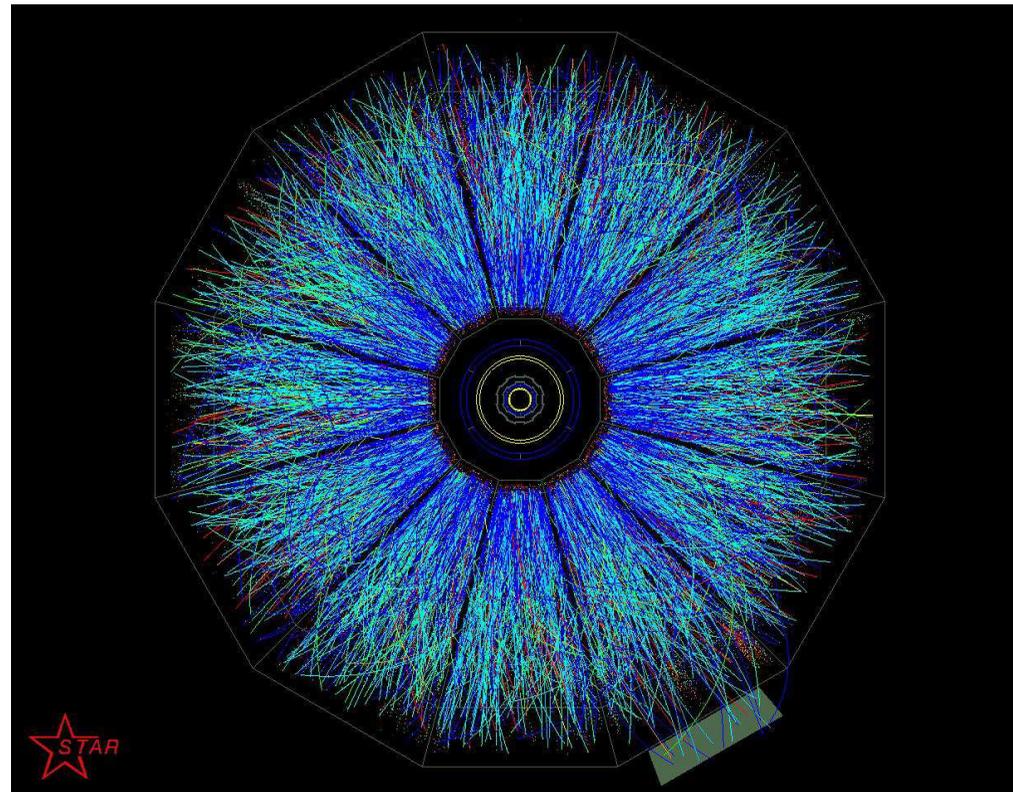
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- 99% of the multiplicity below  $p_{\perp} \sim 2 \text{ GeV}$
- $Q_s^2$  might be as large as  $10 \text{ GeV}^2$  at the LHC ( $\sqrt{s} = 5.5 \text{ TeV}$ )
  - ▷ saturation and multiple scatterings presumably important

# Heavy Ion Collisions

Parton model

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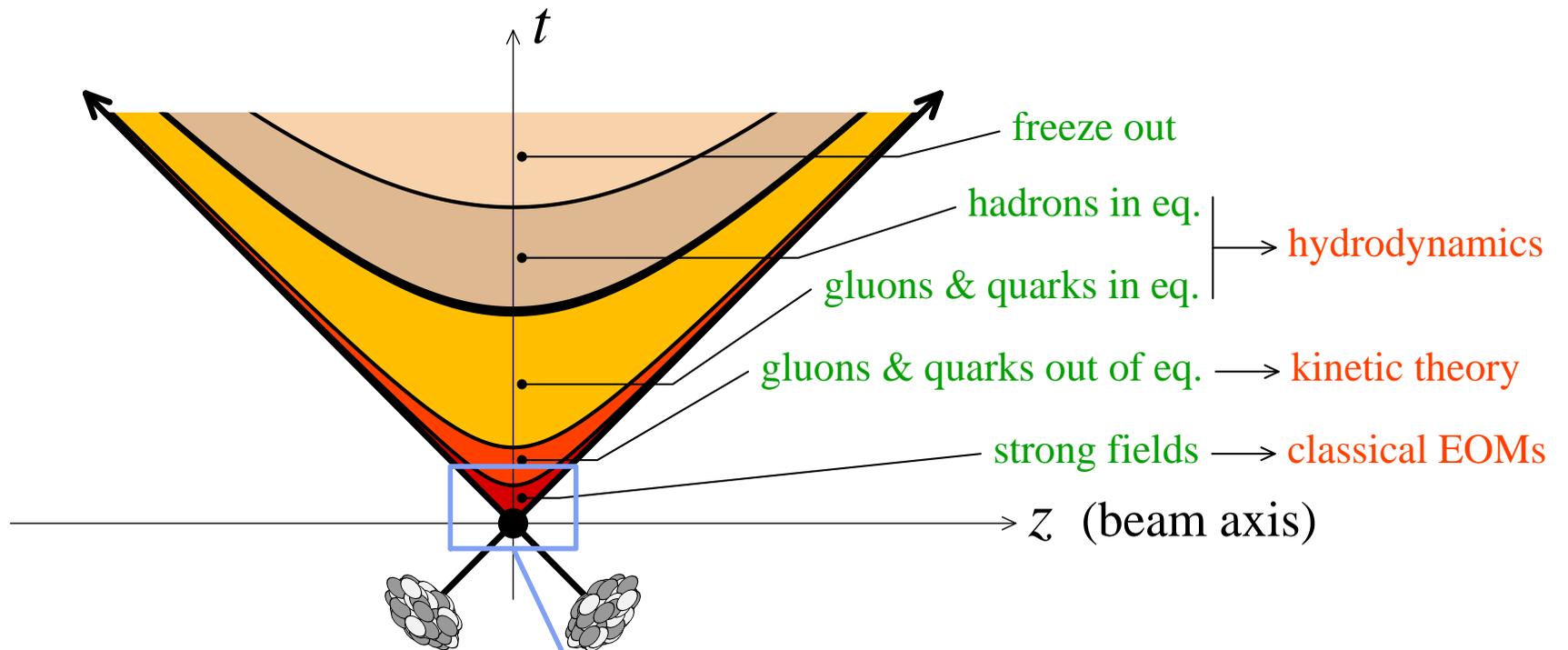
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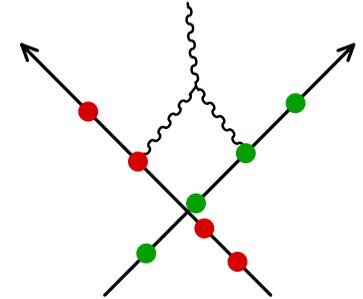
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- calculate the initial production of semi-hard particles
- match to kinetic theory or hydrodynamics

- For nucleus-nucleus collisions, there are two strong sources that contribute to the color current :

$$J^\mu \equiv \delta^{\mu+} \delta(x^-) \rho_1(\vec{x}_\perp) + \delta^{\mu-} \delta(x^+) \rho_2(\vec{x}_\perp)$$



- Average over the sources  $\rho_1, \rho_2$

$$\langle \mathcal{O} \rangle_Y = \int [D\rho_1] [D\rho_2] W_{Y_{\text{beam}}-Y}[\rho_1] W_{Y+Y_{\text{beam}}}[\rho_2] \mathcal{O}[\rho_1, \rho_2]$$

- How to compute  $\mathcal{O}[\rho_1, \rho_2]$  in the saturation regime ?
- Can this factorization formula be justified ?
- For which observables does it work ?



$$\mathcal{L} = -\frac{1}{2} \text{tr} F_{\mu\nu} F^{\mu\nu} + \underbrace{(J_1^\mu + J_2^\mu)}_{J^\mu} A_\mu$$

- Given the sources  $\rho_{1,2}$  in each projectile, how do we calculate observables? Is there some kind of perturbative expansion?
- Loop corrections and factorization?

# Initial particle production

Parton model

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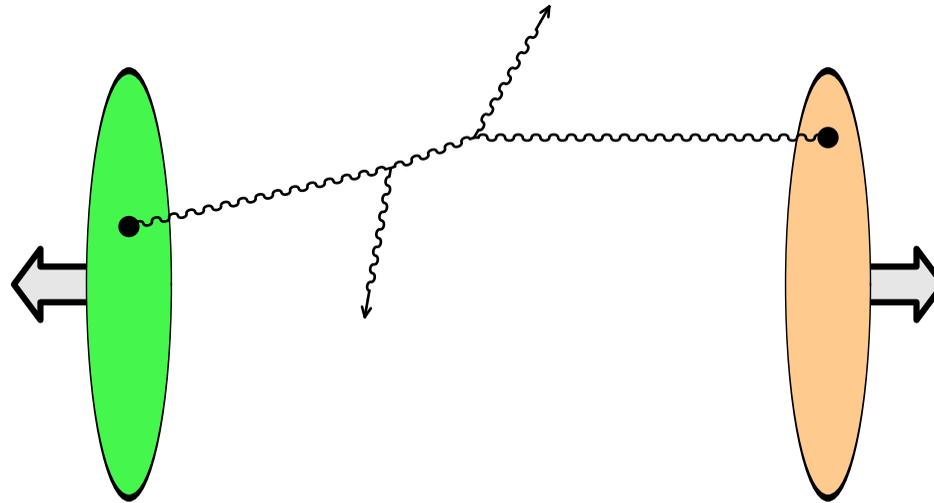
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- Dilute regime : one parton in each projectile interact

# Initial particle production

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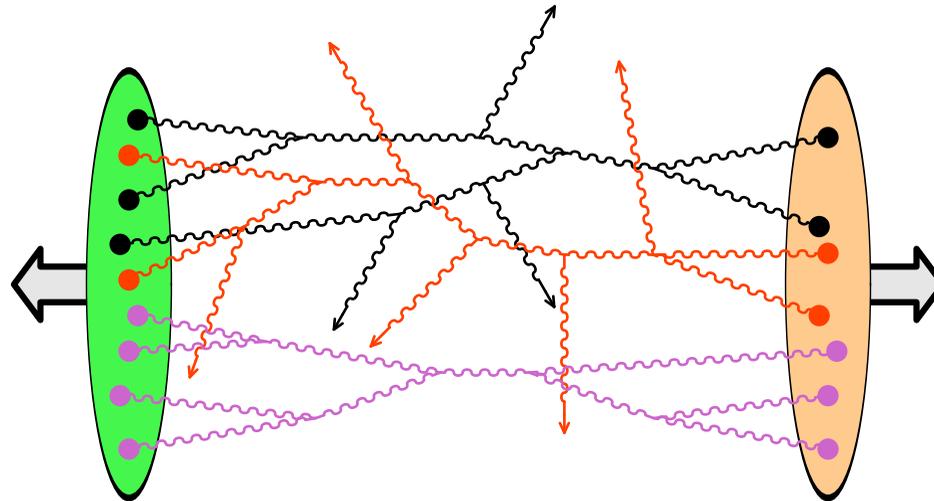
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- Dilute regime : one parton in each projectile interact
- Dense regime : multiparton processes become crucial  
(+ pileup of many partonic scatterings in each AA collision)



# Goals

Parton model

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- Gluon saturation
- CGC degrees of freedom

● Heavy Ion Collisions

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Inclusive gluon spectrum

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- Why can the inclusive gluon spectrum be obtained from **classical solutions of Yang-Mills equations** ?
- Why are the boundary conditions **retarded** ? What would it mean to choose different boundary conditions ?
- Is this a controlled approximation, i.e. the first term in a more systematic expansion ?
- Is it possible to go beyond this computation, and study the **1-loop corrections** ?  $\text{Logs}(1/x)$  and **factorization** ?
- What are the final state interactions? Do they lead to **local thermalization** ?



Parton model

Color Glass Condensate

**Bookkeeping**

- Power counting
- Vacuum diagrams
- Bookkeeping

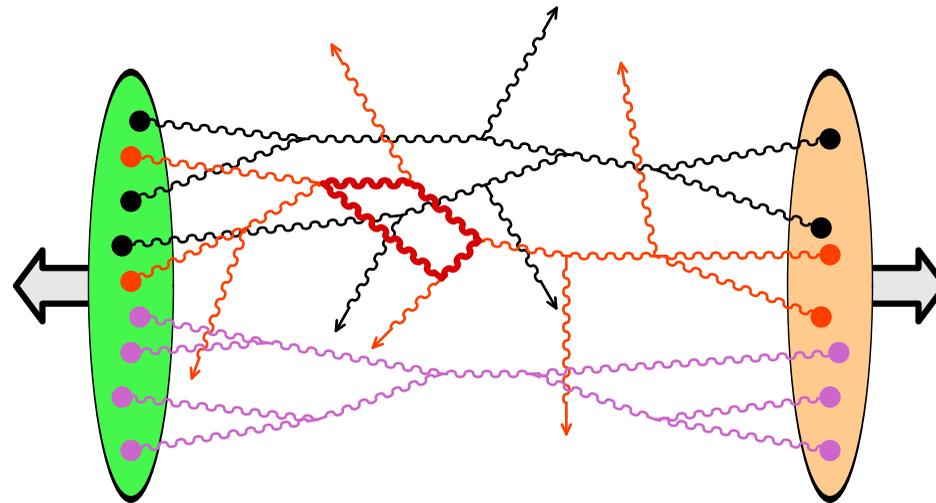
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# Power counting and Bookkeeping

# Power counting



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Bookkeeping

● Power counting

● Vacuum diagrams

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# Power counting

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● Power counting

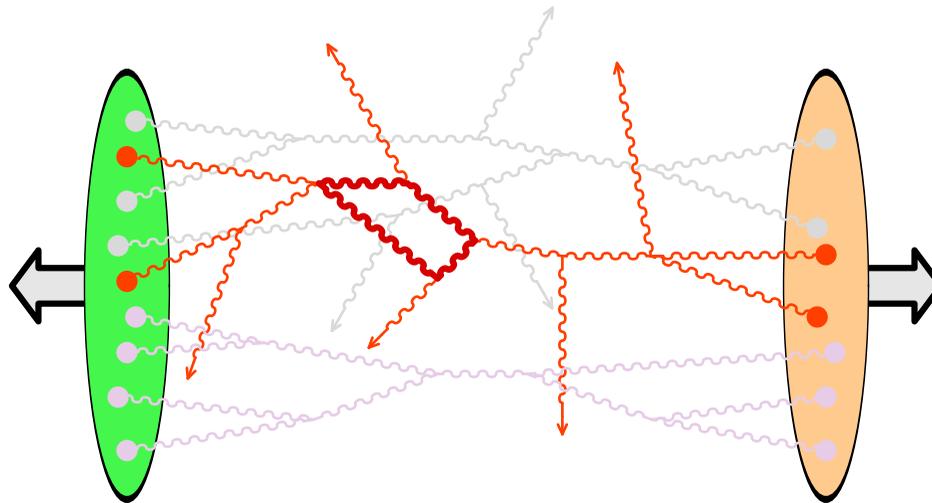
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- In the **saturated regime**, the sources are of order  $1/g$  (because  $\langle \rho\rho \rangle \sim$  occupation number  $\sim 1/\alpha_s$ )
- The order of each **connected diagram** is given by :

$$\frac{1}{g^2} g^{\# \text{ produced gluons}} g^{2(\# \text{ loops})}$$

- The total order of a graph is the product of the orders of its disconnected subdiagrams

# Vacuum diagrams

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● Power counting

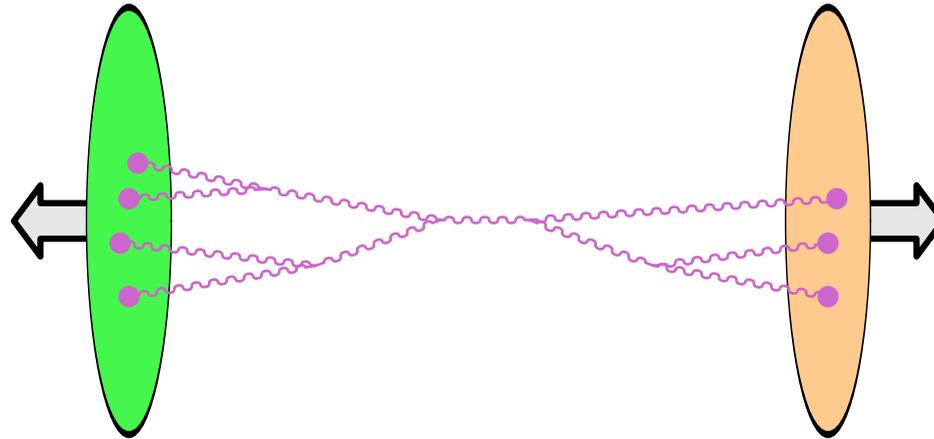
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- Vacuum diagrams do not produce any gluon. They are contributions to the vacuum to vacuum amplitude  $\langle 0_{\text{out}} | 0_{\text{in}} \rangle$
- The order of a **connected vacuum diagram** is given by :

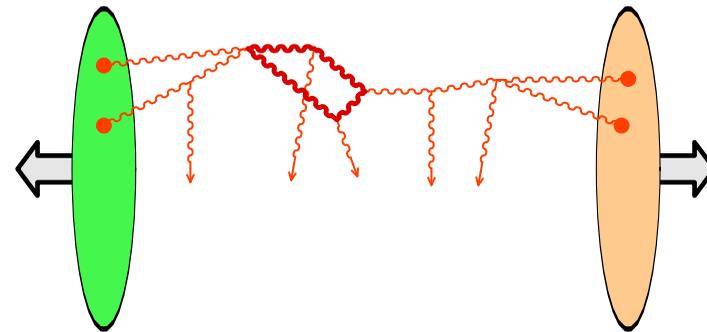
$$g^{-2} g^{2(\# \text{ loops})}$$

- Relation between connected and non connected vacuum diagrams :

$$\sum \left( \begin{array}{c} \text{all the vacuum} \\ \text{diagrams} \end{array} \right) = \exp \left\{ \sum \left( \begin{array}{c} \text{simply connected} \\ \text{vacuum diagrams} \end{array} \right) \right\} = e^{iV[j]}$$



# Bookkeeping



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● Power counting

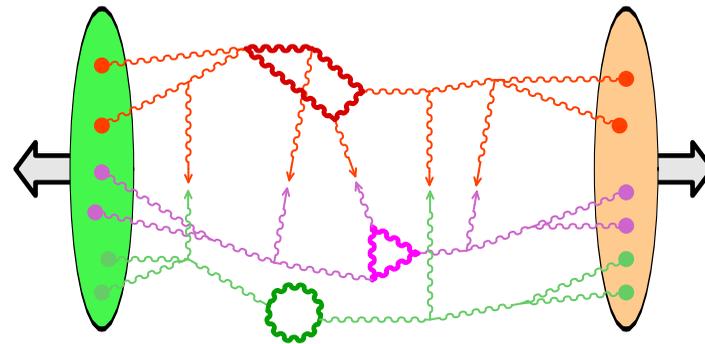
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- Consider **squared amplitudes** (including interference terms) rather than the amplitudes themselves

# Bookkeeping

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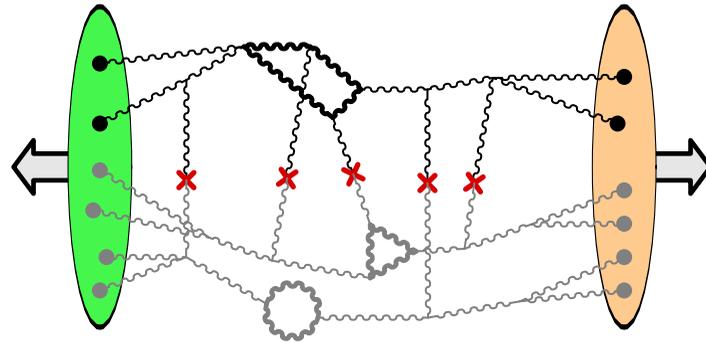
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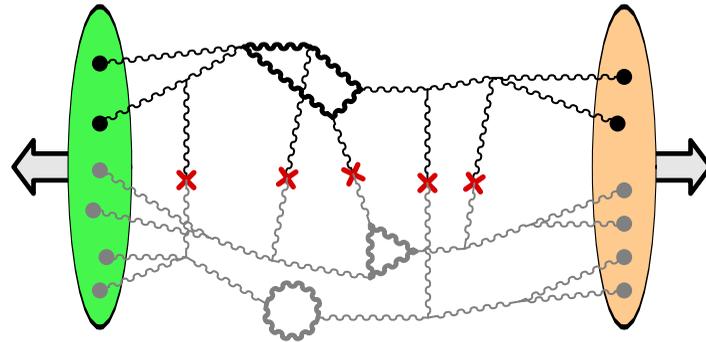
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- Consider **squared amplitudes** (including interference terms) rather than the amplitudes themselves
- See them as **cuts through vacuum diagrams**  
cut propagator :  $2\pi\theta(-p^0)\delta(p^2)$



- Consider **squared amplitudes** (including interference terms) rather than the amplitudes themselves
- See them as **cuts through vacuum diagrams**  
cut propagator :  $2\pi\theta(-p^0)\delta(p^2)$
- The sum of the vacuum diagrams,  $\exp(iV[j])$ , is the generating functional for time-ordered products of fields :

$$\langle 0_{\text{out}} | T A(x_1) \cdots A(x_n) | 0_{\text{in}} \rangle = \frac{\delta}{\delta j(x_1)} \cdots \frac{\delta}{\delta j(x_n)} e^{iV[j]}$$

- The probability of producing exactly  $n$  particles is :

$$P_n = \frac{1}{n!} \int \frac{d^3 \vec{p}_1}{(2\pi)^3 2E_1} \cdots \frac{d^3 \vec{p}_n}{(2\pi)^3 2E_n} \left| \langle \vec{p}_1 \cdots \vec{p}_n \text{ out} | 0_{\text{in}} \rangle \right|^2$$

- There is an operator  $\mathcal{C}$  such that

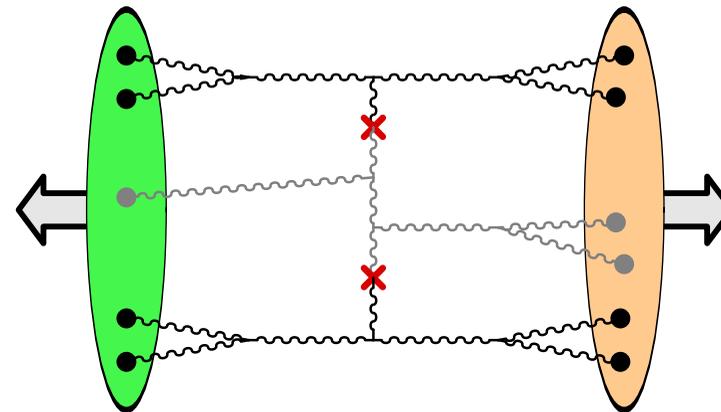
- ◆  $P_n$  is given by  $P_n = \frac{1}{n!} \mathcal{C}^n e^{iV[j_+]} e^{-iV^*[j_-]} \Big|_{j_+ = j_- = j}$

$$\text{with } \begin{cases} \mathcal{C} \equiv \int_{x,y} G_{+-}^0(x,y) \square_x \square_y \frac{\delta}{\delta j_+(x)} \frac{\delta}{\delta j_-(y)} \\ G_{+-}^0(x,y) \equiv \int \frac{d^4 p}{(2\pi)^4} e^{-ip \cdot (x-y)} 2\pi \theta(-p^0) \delta(p^2) \end{cases}$$

- Reminder :

$$\mathcal{C} \equiv \int_{x,y} G_{+-}^0(x,y) \square_x \square_y \frac{\delta}{\delta j_+(x)} \frac{\delta}{\delta j_-(y)}$$

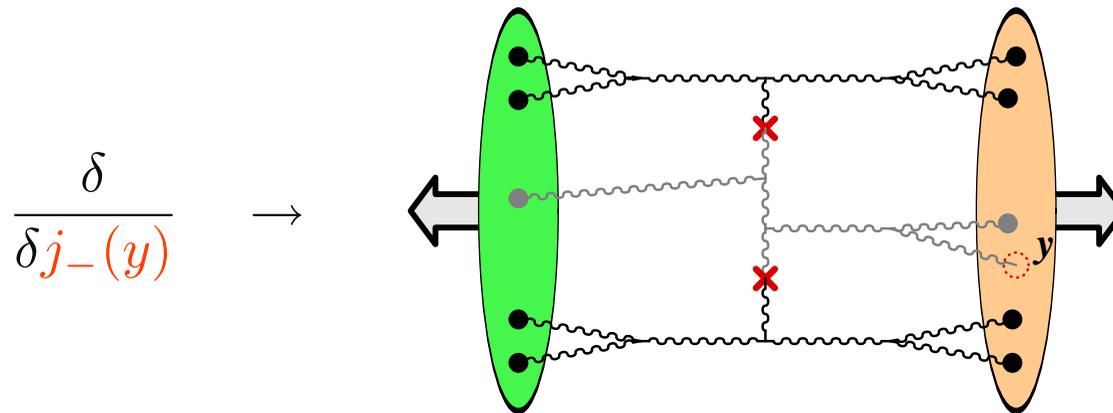
- Consider a generic cut vacuum diagram :



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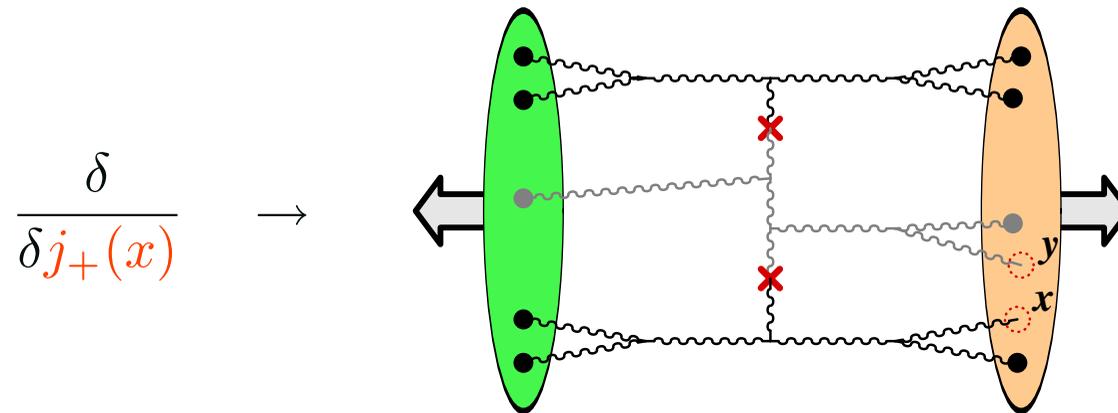
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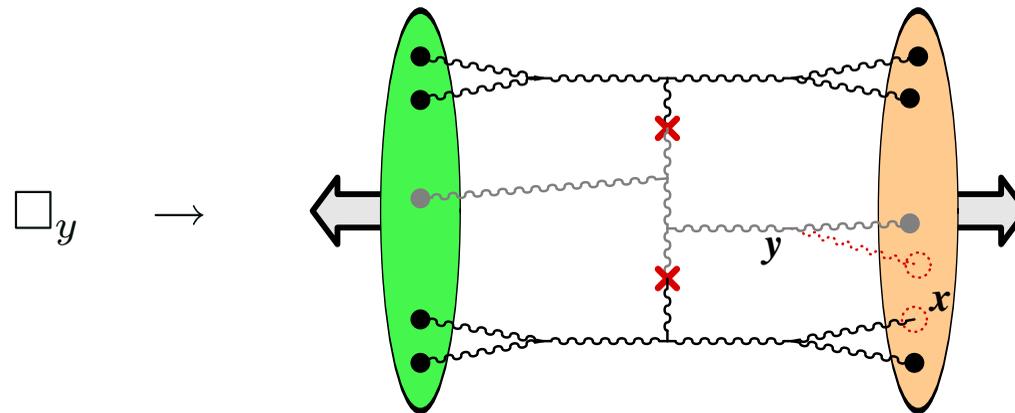


$$\frac{\delta}{\delta j_+(x)}$$

■ Reminder :

$$c \equiv \int_{x,y} G_{+-}^0(x,y) \square_x \square_y \frac{\delta}{\delta j_+(x)} \frac{\delta}{\delta j_-(y)}$$

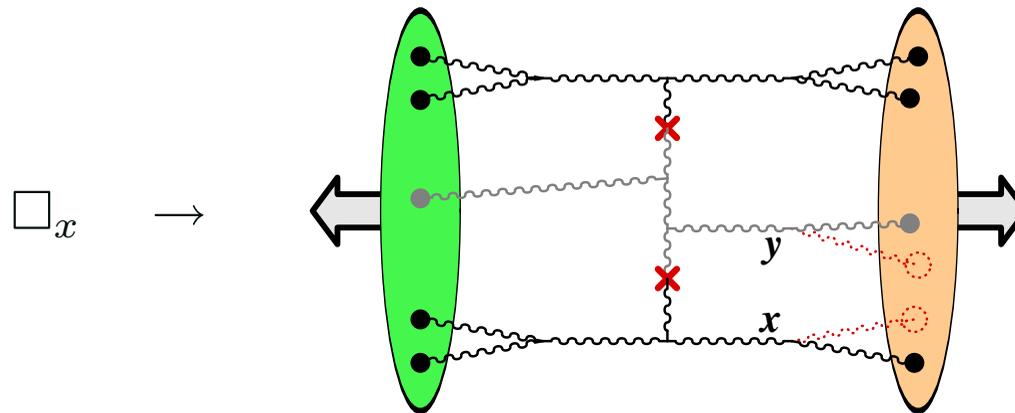
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■ Reminder :

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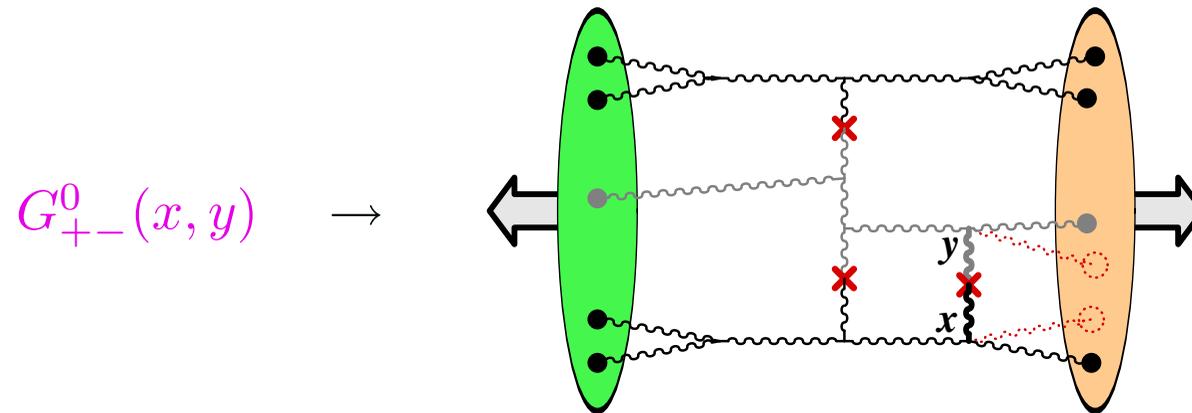


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- Power counting
- Vacuum diagrams
- **Bookkeeping**
- Inclusive gluon spectrum
- Generating functional
- Surgery of retarded graphs

■ Reminder :

$$\mathcal{C} \equiv \int_{x,y} G_{+-}^0(x,y) \square_x \square_y \frac{\delta}{\delta j_+(x)} \frac{\delta}{\delta j_-(y)}$$

■ Consider a generic cut vacuum diagram :



▷ the operator  $\mathcal{C}$  removes two sources (one in the amplitude and one in the complex conjugated amplitude), and creates a new cut propagator



# Bookkeeping

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Bookkeeping

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● Vacuum diagrams

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- The sum of all the **cut vacuum diagrams**, with sources  $j_+$  on one side of the cut and  $j_-$  on the other side, can be written as :

$$\sum \left( \begin{array}{c} \text{all the cut} \\ \text{vacuum diagrams} \end{array} \right) = e^{\mathcal{C}} e^{iV[j_+]} e^{-iV^*[j_-]}$$

- If we set  $j_+ = j_- = j$ , then this is  $\sum_n P_n = 1$

(this property is a direct consequence of the “largest time equation” in Cutkosky’s cutting rules)

- The operator  $\mathcal{C}$  can be used to derive many useful formulas :

$$F(z) = \sum_{n=0}^{+\infty} z^n P_n = e^{z\mathcal{C}} e^{iV[j_+]} e^{-iV^*[j_-]} \Big|_{j_+=j_-=j}$$

- ▷ sum of all cut vacuum graphs, where each cut is weighted by  $z$

$$\overline{N} = F'(1) = \mathcal{C} e^{\mathcal{C}} e^{iV[j_+]} e^{-iV^*[j_-]} \Big|_{j_+=j_-=j}$$

$$\overline{N(N-1)} = F''(1) = \mathcal{C}^2 e^{\mathcal{C}} e^{iV[j_+]} e^{-iV^*[j_-]} \Big|_{j_+=j_-=j}$$

- Benefits :

- ◆ The tracking of infinite sets of Feynman diagrams has been replaced by simple algebraic manipulations
- ◆ The use of the identity  $e^{\mathcal{C}} e^{iV[j_+]} e^{-iV^*[j_-]} \Big|_{j_+=j_-} = 1$  renders automatic an important cancellation that would be hard to see at the level of diagrams (somewhat related to AGK)



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# Inclusive gluon spectrum

# Gluon multiplicity at LO

- It is easy to express the average multiplicity as :

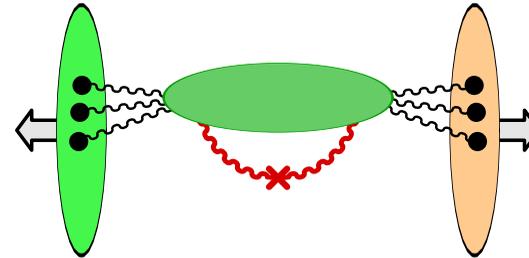
$$\bar{N} = \sum_n n P_n = \mathcal{C} \left\{ \underbrace{e^{\mathcal{C}} e^{iV[j_+]} e^{-iV^*[j_-]}}_{j_+=j_-=j} \right\}$$

sum of all the cut vacuum diagrams :  $e^{iW[j_+,j_-]}$

- There are **two types of terms** :

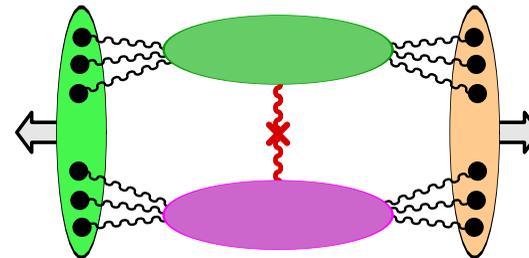
- ◆  $\mathcal{C}$  picks two sources in the same connected cut diagram

$$\frac{\delta^2 iW}{\delta j_+(x) \delta j_-(y)} \rightarrow$$



- ◆  $\mathcal{C}$  picks two sources in two distinct connected cut diagrams

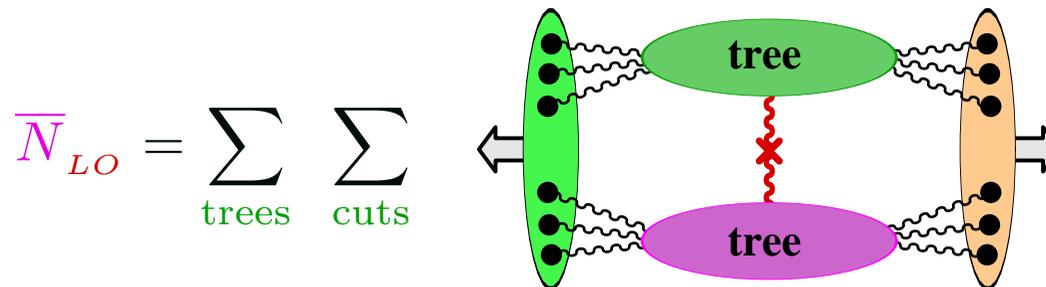
$$\frac{\delta iW}{\delta j_+(x)} \frac{\delta iW}{\delta j_-(y)} \rightarrow$$



# Gluon multiplicity at LO

- At LO, only tree diagrams contribute
  - ▷ the first type of topologies can be neglected (they have at least one loop)

- In each blob, we must sum over all the tree diagrams, and over all the possible cuts :



- Reminder : at the end, the sources on both sides of the cut must be set equal :

$$j_+ = j_-$$



# Sum over the cuts

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- In the previous diagrams, one must sum over all the possible ways of cutting lines inside the blobs
- This can be achieved via **Cutkosky's cutting rules** :
  - ◆ A vertex is  $-ig$  on one side of the cut, and  $+ig$  on the other side
  - ◆ There are four propagators, depending on the location w.r.t. the cut of the vertices they connect :

$$G_{++}^0(p) = i/(p^2 - m^2 + i\epsilon) \quad (\text{standard Feynman propagator})$$

$$G_{--}^0(p) = -i/(p^2 - m^2 - i\epsilon) \quad (\text{complex conjugate of } G_{++}^0(p))$$

$$G_{+-}^0(p) = 2\pi\theta(-p^0)\delta(p^2 - m^2)$$

$$G_{-+}^0(p) = 2\pi\theta(p^0)\delta(p^2 - m^2)$$

- ◆ At each vertex of a given diagram, sum over the types  $+$  and  $-$  ( $2^n$  terms for a diagram with  $n$  vertices)

# Expression in terms of classical fields

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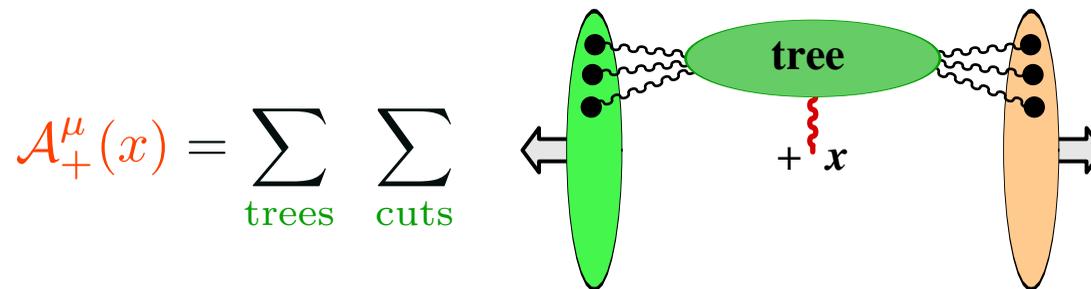
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- The gluon spectrum at LO is given by :

$$\frac{d\bar{N}_{LO}}{dY d^2\vec{p}_\perp} = \frac{1}{16\pi^3} \int_{x,y} e^{ip \cdot (x-y)} \square_x \square_y \sum_\lambda \epsilon_\mu^{(\lambda)} \epsilon_\nu^{(\lambda)} \mathcal{A}_+^\mu(x) \mathcal{A}_-^\nu(y)$$

- $\mathcal{A}_\pm^\mu(x)$  are sums of cut tree diagrams ending at the point  $x$  :



- This sum of graphs is given by an integral equation :  
(written here in a scalar theory for simplicity)

$$\mathcal{A}_+(x) = \int d^4y \left[ G_{++}^0(x, y) \left[ j(y) - U'(\mathcal{A}_+(y)) \right] - G_{+-}^0(x, y) \left[ j(y) - U'(\mathcal{A}_-(y)) \right] \right]$$

(there is a similar equation for  $\mathcal{A}_-$ )



# Boundary conditions

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- $\mathcal{A}_\pm$  is a solution of the classical EOM ( $\square \mathcal{A} + U'(\mathcal{A}) = j$ )
- However, this fact alone does not determine  $\mathcal{A}_+^\mu(x)$  uniquely
  - ▷ we need the boundary conditions
- Any solution of the EOM can be written as :

$$\mathcal{A}_+(x) = \int d^4y \left[ G_{++}^0(x, y) \left[ j(y) - U'(\mathcal{A}_+(y)) \right] - G_{+-}^0(x, y) \left[ j(y) - U'(\mathcal{A}_-(y)) \right] \right] \\ + \int d^3\vec{y} \left[ G_{++}^0(x, y) \overleftrightarrow{\partial}_y^0 \mathcal{A}_+(y) - G_{+-}^0(x, y) \overleftrightarrow{\partial}_y^0 \mathcal{A}_-(y) \right]_{-\infty}^{+\infty}$$

where  $\overleftrightarrow{\partial}_y^0 \equiv \overrightarrow{\partial}_y^0 - \overleftarrow{\partial}_y^0$  (Green's formula)

- The first line is identical to the integral equation for  $\mathcal{A}_+$ 
  - ▷ the boundary term on the second line must be zero :

$$\int d^3\vec{y} \left[ G_{++}^0(x, y) \overleftrightarrow{\partial}_y^0 \mathcal{A}_+(y) - G_{+-}^0(x, y) \overleftrightarrow{\partial}_y^0 \mathcal{A}_-(y) \right]_{-\infty}^{+\infty} = 0$$



# Boundary conditions

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- Note : these boundary conditions are non local in space
- Because the propagators  $G_{\pm\pm}^0$  are linear combinations of plane waves, things become simpler when written for the Fourier modes of the fields :

$$\mathcal{A}_\epsilon(x) \equiv \int \frac{d^3\vec{p}}{(2\pi)^3 2E_p} \left[ a_\epsilon^{(+)}(x_0, \vec{p}) e^{-ip \cdot x} + a_\epsilon^{(-)}(x_0, \vec{p}) e^{+ip \cdot x} \right]$$

- The boundary conditions can be rewritten as :

$$a_+^{(+)}(-\infty, \vec{p}) = a_-^{(-)}(-\infty, \vec{p}) = 0$$

$$a_-^{(+)}(+\infty, \vec{p}) = a_+^{(+)}(+\infty, \vec{p})$$

$$a_+^{(-)}(+\infty, \vec{p}) = a_-^{(-)}(+\infty, \vec{p})$$

- These conditions imply  $\mathcal{A}_+ = \mathcal{A}_- = \mathcal{A}$ , and  $\mathcal{A}(x^0 = -\infty) = 0$



# Classical fields and tree diagrams

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- The link between classical solutions and tree diagrams can also be obtained by a more pedestrian method

- **Proof for a scalar theory:** The classical EOM reads

$$(\square + m^2) \varphi(x) + \frac{g}{2} \varphi^2(x) = j(x)$$

- Write the Green's formula for the **retarded** solution that obeys  $\varphi(x) = 0$  at  $x^0 = -\infty$  :

$$\varphi(x) = \int d^4 y G_R^0(x - y) \left[ j(y) - \frac{g}{2} \varphi^2(y) \right]$$

where  $G_R^0(x - y)$  is the free retarded propagator

# Retarded classical solution

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- One can construct the solution iteratively, by using in the r.h.s. the solution found in the previous orders

- Order  $g^0$  :

$$\varphi_{(0)}(x) = \int d^4 y G_R^0(x-y) j(y)$$

- Order  $g^1$  :

$$\varphi_{(0)}(x) + \varphi_{(1)}(x) = \int d^4 y G_R^0(x-y) \left[ j(y) - \frac{g}{2} \varphi_{(0)}^2(y) \right]$$

i.e.

$$\varphi_{(1)}(x) = -\frac{g}{2} \int d^4 y G_R^0(x-y) \left[ \int d^4 z G_R^0(y-z) j(z) \right]^2$$



# Retarded classical solution

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- The diagrammatic expansion of this classical solution is :



# Retarded classical solution

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● First moment at LO

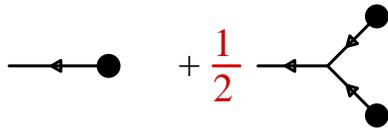
● Relation to classical fields

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- The diagrammatic expansion of this classical solution is :



# Retarded classical solution

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● First moment at LO

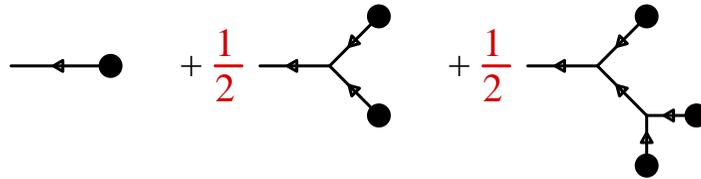
● Relation to classical fields

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- The diagrammatic expansion of this classical solution is :



# Retarded classical solution

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● First moment at LO

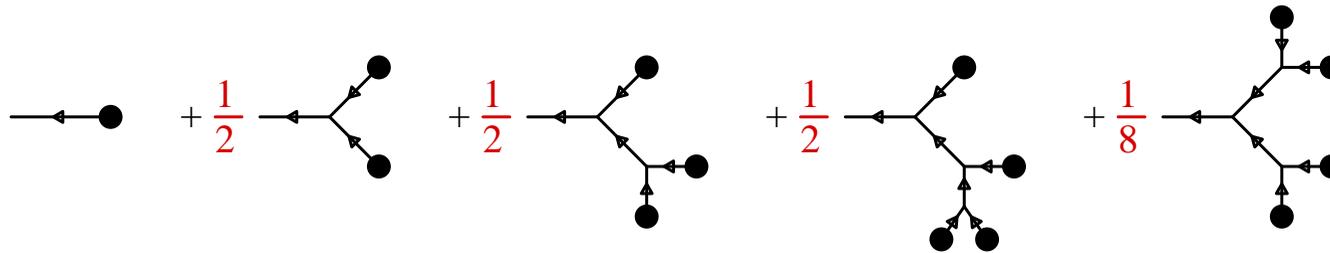
● Relation to classical fields

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- The diagrammatic expansion of this classical solution is :



# Retarded classical solution

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● First moment at LO

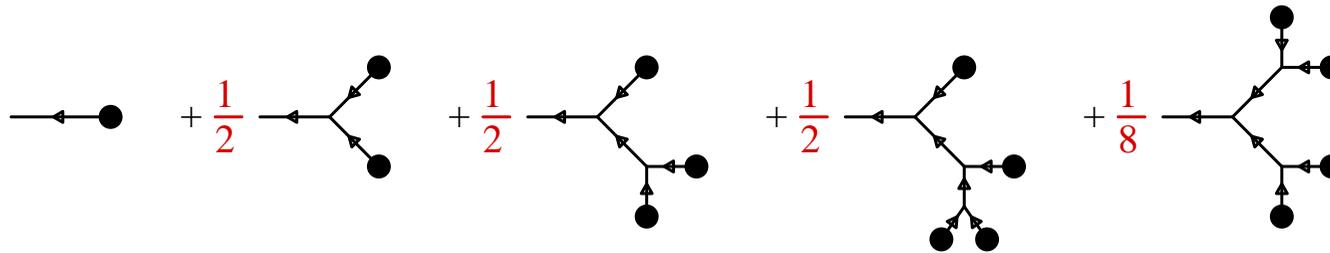
● Relation to classical fields

● Initial fields

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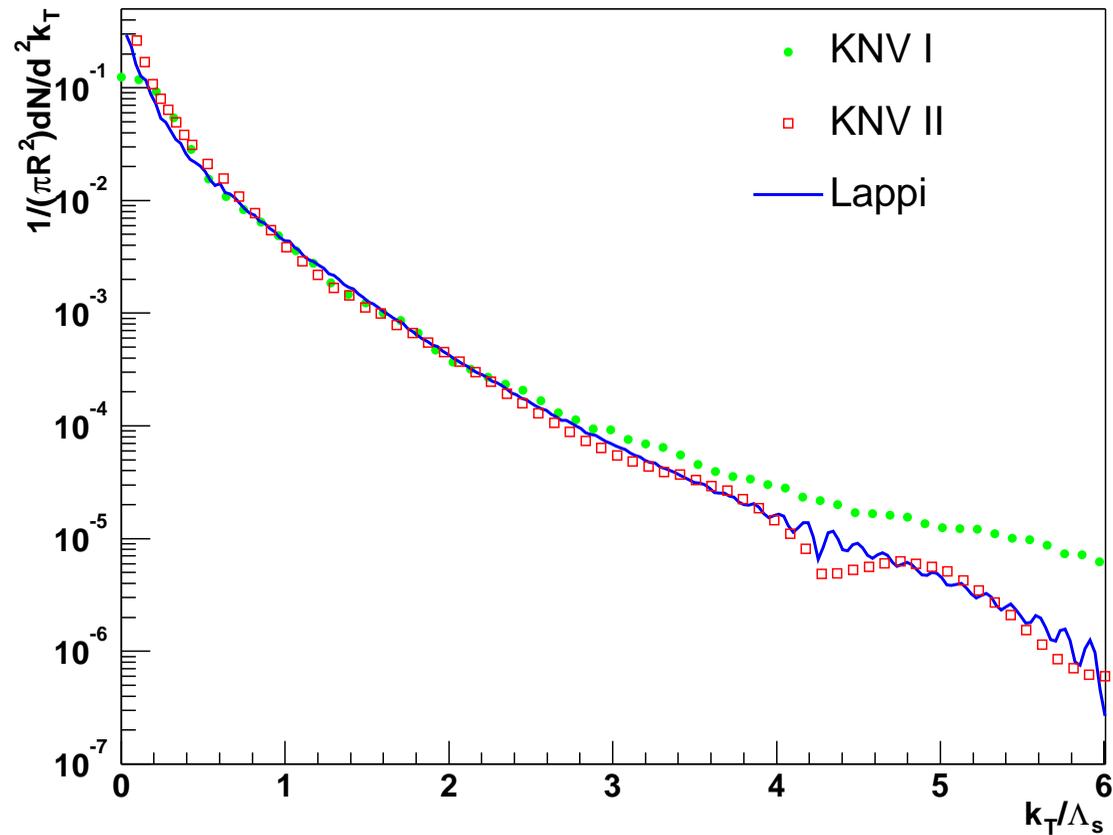
- The diagrammatic expansion of this classical solution is :



- The retarded classical solution is given by the **sum of all the tree diagrams with retarded propagators**

# Gluon spectrum at LO

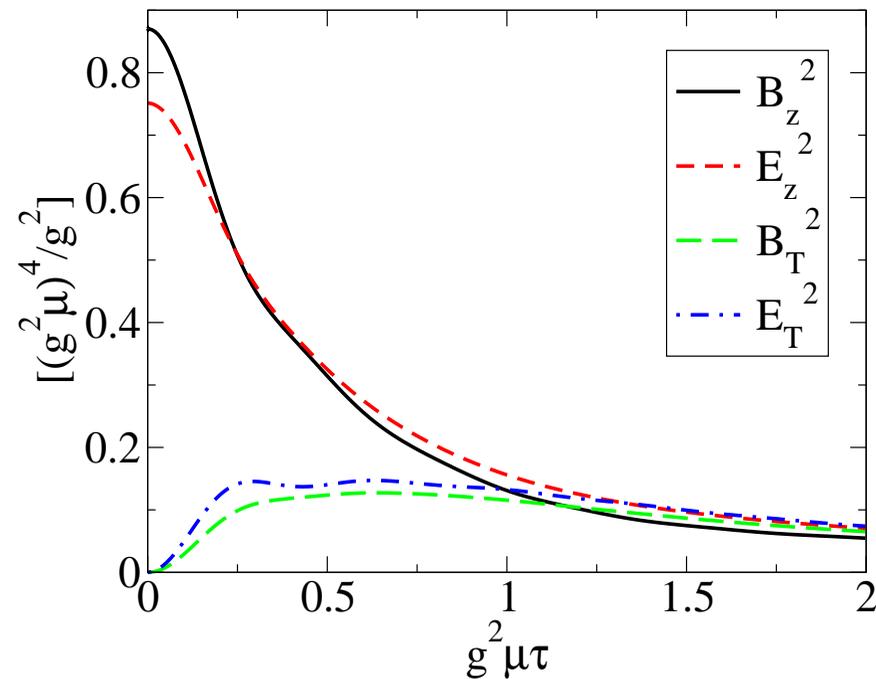
Krasnitz, Nara, Venugopalan (1999 – 2001), Lappi (2003)



- Important **softening at small  $k_{\perp}$**  compared to pQCD (**saturation**)
- See the following lecture by T. Lappi for many more details

Lappi, McLerran (2006)

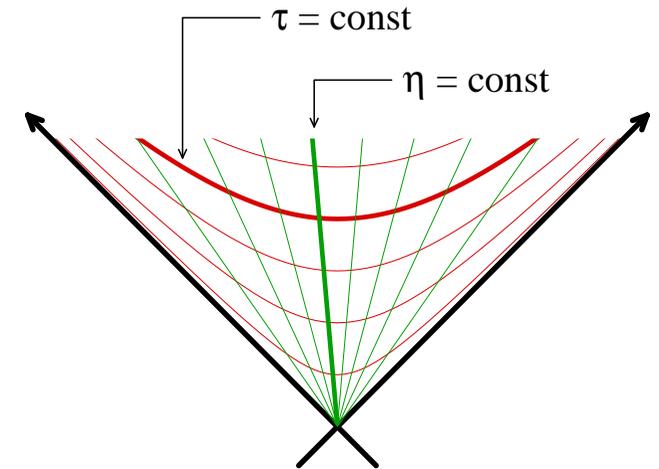
- Before the collision, the chromo- $\vec{E}$  and  $\vec{B}$  fields are localized in two sheets transverse to the beam axis
- Immediately after the collision, the chromo- $\vec{E}$  and  $\vec{B}$  fields have become longitudinal :



- First moment at LO
- Relation to classical fields
- Initial fields

■ Gauge condition :  $x^+ \mathcal{A}^- + x^- \mathcal{A}^+ = 0$

$$\Rightarrow \mathcal{A}^\pm(x) = \pm x^\pm \beta(\tau, \eta, \vec{x}_\perp)$$



■ Initial values at  $\tau = 0^+$  :  $\mathcal{A}^i(0^+, \eta, \vec{x}_\perp)$  and  $\beta(0^+, \eta, \vec{x}_\perp)$  do not depend on the rapidity  $\eta$

▷  $\mathcal{A}^i$  and  $\beta$  remain independent of  $\eta$  at all times (invariance under boosts in the  $z$  direction)

▷ numerical resolution performed in  $1 + 2$  dimensions



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- Definition
- Diagrammatic expansion
- $F[z]$  at Leading Order

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# Generating functional



# Definition

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● Definition

● Diagrammatic expansion

●  $F[z]$  at Leading Order

Surgery of retarded graphs

- Consider a function  $z(\vec{p})$ , and define the functional

$$F[z] \equiv \frac{1}{n!} \sum_{n=0}^{+\infty} \int d\Phi_1 \cdots d\Phi_n z(\vec{p}_1) \cdots z(\vec{p}_n) \left| \langle \vec{p}_1 \cdots \vec{p}_n \text{out} | 0_{\text{in}} \rangle \right|^2$$

- Any physical quantity can be obtained from  $F[z]$ 
  - ◆ Single inclusive spectrum :

$$\frac{d\bar{N}}{d^3\vec{p}} = \left. \frac{\delta F[z]}{\delta z(\vec{p})} \right|_{z=1}$$

- ◆ Double inclusive spectrum (correlated part) :

$$\mathcal{C}(\vec{p}_1, \vec{p}_2) = \left. \frac{\delta^2 F[z]}{\delta z(\vec{p}_1) \delta z(\vec{p}_2)} - \frac{\delta F[z]}{\delta z(\vec{p}_1)} \frac{\delta F[z]}{\delta z(\vec{p}_2)} \right|_{z=1}$$

# Diagrammatic expansion

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● Diagrammatic expansion

●  $F[z]$  at Leading Order

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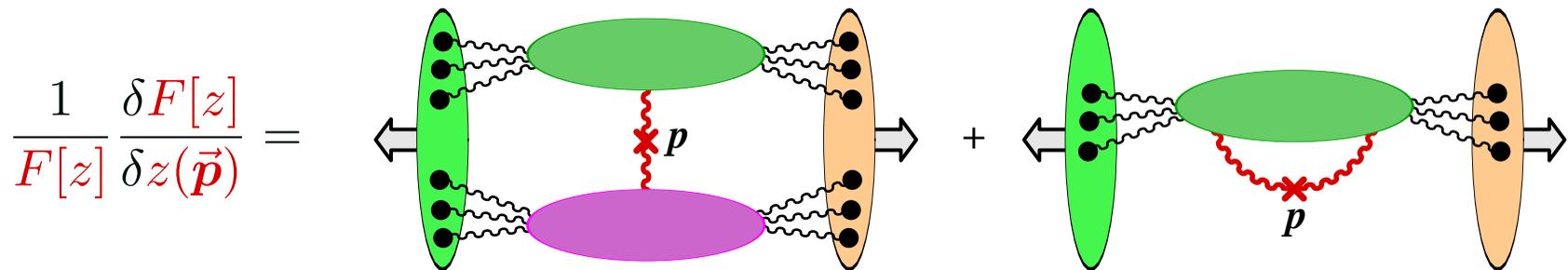
■ Write :

$$C = \int \frac{d^3 \vec{p}}{(2\pi)^3 2E_p} \underbrace{\int_{x,y} e^{ip \cdot (x-y)} \square_x \square_y \frac{\delta}{\delta j_+(x)} \frac{\delta}{\delta j_-(y)}}_{C_p}$$

■ The functional  $F[z]$  can be written as :

$$F[z] = \exp \left\{ \int \frac{d^3 \vec{p}}{(2\pi)^3 2E_p} z(\vec{p}) C_p \right\} e^{iV[j_+]} e^{-iV^*[j_-]} \Big|_{j_+ = j_-}$$

■ By analogy with  $\bar{N}$ , we have :



Note : cut propagators are modified :  $G_{+-}^0(p) \rightarrow z(\vec{p}) G_{+-}^0(p)$

# F[z] at Leading Order

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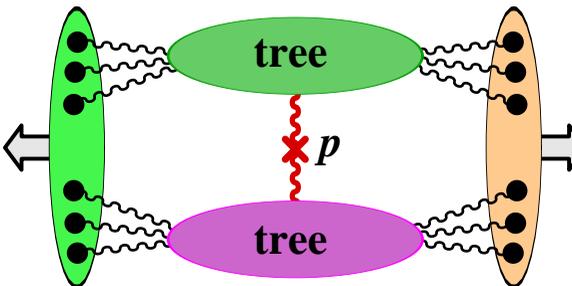
● Definition

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● F[z] at Leading Order

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- At leading order, this is given by the same topologies of diagrams as those involved in  $\overline{N}$  :

$$\frac{1}{F[z]} \frac{\delta F[z]}{\delta z(\vec{p})} = \sum_{\text{trees}} \sum_{\text{cuts}} \text{Diagram}$$


but the internal cut propagators are multiplied by  $z(\vec{p})$

- One can also write it in terms of two “fields”  $\mathcal{A}_{\pm}(x)$  as :

$$\frac{1}{F[z]} \frac{\delta F[z]}{\delta z(\vec{p})} = \int_{x,y} e^{ip \cdot (x-y)} \dots \mathcal{A}_+^{\mu}(x) \mathcal{A}_-^{\nu}(y)$$

Note : this formula is formally identical to the formula for the inclusive spectrum, but the fields  $\mathcal{A}_{\pm}$  it contains are different

# F[z] at Leading Order

- $\mathcal{A}_+^\mu(x)$  is the sum of cut tree diagrams ending at the point  $x$  :

$$\mathcal{A}_+^\mu(x) = \sum_{\text{trees}} \sum_{\text{cuts}}$$

- $\mathcal{A}_\pm$  is a solution of the classical EOMs
- It obeys the boundary condition :

$$\int d^3\vec{y} \left[ G_{++}^0(x, y) \overleftrightarrow{\partial}_y^0 \mathcal{A}_+(y) - G_{+-}^0(x, y) \overleftrightarrow{\partial}_y^0 \mathcal{A}_-(y) \right]_{-\infty}^{+\infty} = 0$$

Note : although everything is formally identical to the case of the single inclusive spectrum, the boundary conditions are quite different because all the cut propagators are now multiplied by  $z(p)$



# F[z] at Leading Order

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- The boundary conditions can be rewritten in terms of the Fourier coefficients as :

$$a_+^{(+)}(-\infty, \vec{p}) = a_-^{(-)}(-\infty, \vec{p}) = 0$$

$$a_-^{(+)}(+\infty, \vec{p}) = z(\vec{p}) a_+^{(+)}(+\infty, \vec{p})$$

$$a_+^{(-)}(+\infty, \vec{p}) = z(\vec{p}) a_-^{(-)}(+\infty, \vec{p})$$

- The function  $z(\vec{p})$  enters only via the boundary conditions
- The only difference at leading order between inclusive quantities and more exclusive ones comes from the boundary conditions
- These boundary conditions are not retarded
  - ▷ very difficult to solve numerically



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**Surgery of retarded graphs**

- Inclusive observables
- Green's formula
- Small fluctuations
- Loop corrections

# Surgery of retarded graphs

# Inclusive observables

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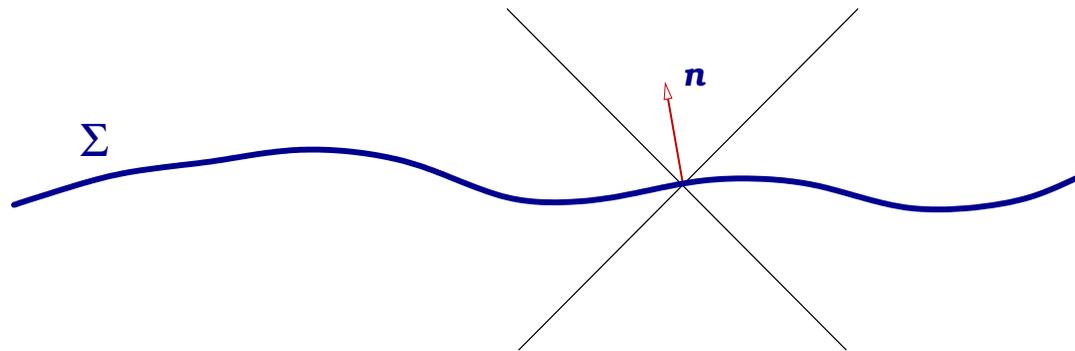
● Inclusive observables

● Green's formula

● Small fluctuations

● Loop corrections

- Inclusive observables share two interesting properties :
  - ◆ at LO, they are expressible in terms of retarded fields
  - ◆ they depend only on the  $t \rightarrow +\infty$  limit of these fields
  
- Retarded fields are fully determined by their value on some arbitrary (locally space-like) initial surface :





# Green's formula for classical fields

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● Inclusive observables

● Green's formula

● Small fluctuations

● Loop corrections

- The formal relationship between the value of a retarded classical field and its value on the initial surface is provided by a **Green's formula** :

$$\mathcal{A}(x) = \int_{\Sigma^+} d^4y G_R^0(x, y) [j(y) - U'(\mathcal{A}(y))] + \int_{\Sigma} d_{\Sigma}^3 \vec{u} G_R^0(x, u) [n \cdot \overleftrightarrow{\partial}_u] \mathcal{A}(u)$$

$\Sigma^+$	domain above the surface $\Sigma$
$d_{\Sigma}^3 \vec{y}$	measure on the surface $\Sigma$
$n^{\mu}$	vector normal to $\Sigma$ at the point $y$
$U(\mathcal{A}(y))$	interaction potential of the fields
$G_R^0(x, y)$	free retarded propagator



# Small fluctuations over the classical field

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● Inclusive observables

● Green's formula

● **Small fluctuations**

● Loop corrections

- Consider a small fluctuation  $a(x)$  propagating over  $\mathcal{A}(x)$ . Its EOM is :

$$\left[ \square_x + U''(\mathcal{A}(x)) \right] a(x) = 0$$

- If it also obeys retarded boundary conditions, one has the following Green's formula :

$$\begin{aligned} \mathcal{A}(x) &= \int_{\Sigma^+} d^4y G_R^0(x, y) \left[ -U''(\mathcal{A}(y))a(y) \right] \\ &+ \int_{\Sigma} d^3\vec{u} G_R^0(x, u) \left[ n \cdot \overleftrightarrow{\partial}_u \right] a(u) \end{aligned}$$

- Apply a **linear** differential operator  $T$  on  $\mathcal{A}$ 's Green's formula :

$$\begin{aligned} T\mathcal{A}(x) &= \int_{\Sigma^+} d^4y G_R^0(x, y) \left[ -U''(\mathcal{A}(y))(T\mathcal{A}(y)) \right] \\ &\quad + T \int_{\Sigma} d^3_{\Sigma} \vec{u} G_R^0(x, u) \left[ n \cdot \overleftrightarrow{\partial}_u \right] \mathcal{A}(u) \end{aligned}$$

- ▷ we can identify  $a(x) = T\mathcal{A}(x)$  provided that

$$T \int_{\Sigma} d^3_{\Sigma} \vec{u} G_R^0(x, u) \left[ n \cdot \overleftrightarrow{\partial}_u \right] \mathcal{A}(u) = \int_{\Sigma} d^3_{\Sigma} \vec{u} G_R^0(x, u) \left[ n \cdot \overleftrightarrow{\partial}_u \right] a(u)$$

- This is fulfilled if

$$T \equiv \int_{\Sigma} d^3_{\Sigma} \vec{u} \left[ \underbrace{a(u) \frac{\delta}{\delta \mathcal{A}(u)} + (n \cdot \partial a(u)) \frac{\delta}{\delta (n \cdot \partial \mathcal{A}(u))}}_{a \cdot \mathbb{T}_u} \right]$$

- ▷  $\mathbb{T}_u$  is the generator of shifts of the field at the point  $\vec{u}$  on  $\Sigma$



# Useful trick

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- Translation operator for the initial field on  $\Sigma$  :

$$\exp \int_{\Sigma} d^3 \vec{u} a(\vec{u}) \cdot \mathbb{T}_u$$

- Action of a functional  $F[\mathbb{T}_u]$  on a functional  $G[\mathcal{A}]$  :

- ◆  $G[\mathcal{A}]$  can be seen as a functional of the initial field on  $\Sigma$ , thanks to the retarded boundary conditions :

$$G[\mathcal{A}] \rightarrow G[\mathcal{A}_{\Sigma}]$$

- ◆ Introduce the “Laplace transform” of  $F[\mathbb{T}_u]$  :

$$F[\mathbb{T}_u] \equiv \int [Da(\vec{u})] F[a(\vec{u})] \exp \int_{\Sigma} d^3 \vec{u} a(\vec{u}) \cdot \mathbb{T}_u$$

- ◆ Then, one gets :

$$F[\mathbb{T}_u] G[\mathcal{A}_{\Sigma}] = \int [Da(\vec{u})] F[a(\vec{u})] G[\mathcal{A}_{\Sigma} + a]$$

# Loop corrections over the classical field

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- Inclusive observables
- Green's formula
- Small fluctuations
- Loop corrections

- The loop corrections involved in the NLO corrections of the inclusive gluon spectrum can be written in terms of the operator  $\mathbb{T}_u$  :

$$\Sigma \text{ (loop diagram)} = \int_{\Sigma} d^3_{\Sigma} \vec{u} d^3_{\Sigma} \vec{v} \left[ (a \cdot \mathbb{T}_u) \mathcal{A}(x) \right] \left[ (a^* \cdot \mathbb{T}_v) \mathcal{A}(y) \right]$$

$$\Sigma \text{ (loop diagram)} = \int_{\Sigma} d^3_{\Sigma} \vec{u} d^3_{\Sigma} \vec{v} (a \cdot \mathbb{T}_u) (a^* \cdot \mathbb{T}_v) \mathcal{A}(x)$$

- The main reason why these formulas are extremely useful is that, even though we do not know  $\mathcal{A}(x)$  analytically, we can calculate the fluctuation  $a(x)$  on the surface  $\Sigma$