

# **Initial conditions in heavy ion collisions**

## **Lecture III: Next to leading order, factorization**

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## Outline

- Warmup: quark pair production
- Another warmup: the weak field limit and BFKL
- General NLO corrections
- JIMWLK factorization

## Recall: Weizsäcker-Williams color field, MV model

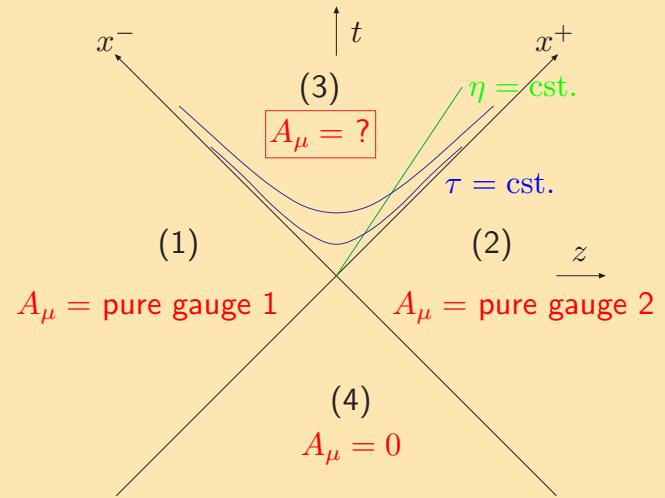
Separation of scales between small  $x$  and large  $x$ :

**classical field**

**color charge**

$$[D_\mu, F^{\mu\nu}] = J^\nu$$

$$J^\mu = \delta^{\mu+} \rho_{(1)}(\underline{x}) \delta(x^-) + \delta^{\mu-} \rho_{(2)}(\underline{x}) \delta(x^+)$$



Charge density  $\rho(\underline{x})$  : stochastic variable, distribution

$$W_y[\rho(\underline{x})]$$

E.g. MV model [1]:

$$W[\rho(\underline{x})] \sim \exp \left[ -\frac{1}{2} \int d^2 \underline{x} \rho^a(\underline{x}) \rho^a(\underline{x}) / g^2 \mu^2 \right]$$

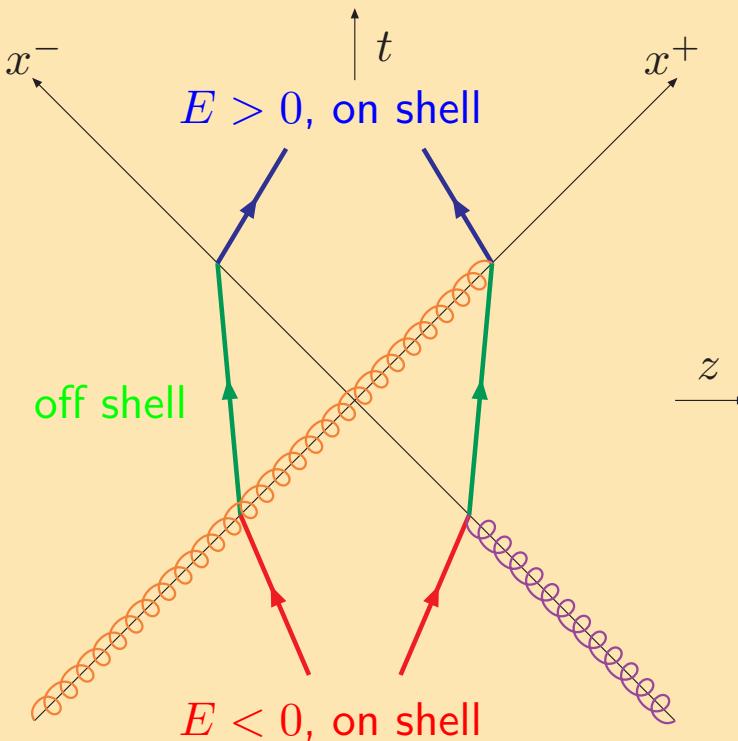
Evolution equation for  $y = \ln 1/x$ -dependence: **JIMWLK**.

[1] L. D. McLerran and R. Venugopalan, *Phys. Rev.* **D49** (1994) 2233 [hep-ph/9309289].

## Dirac equation in external field

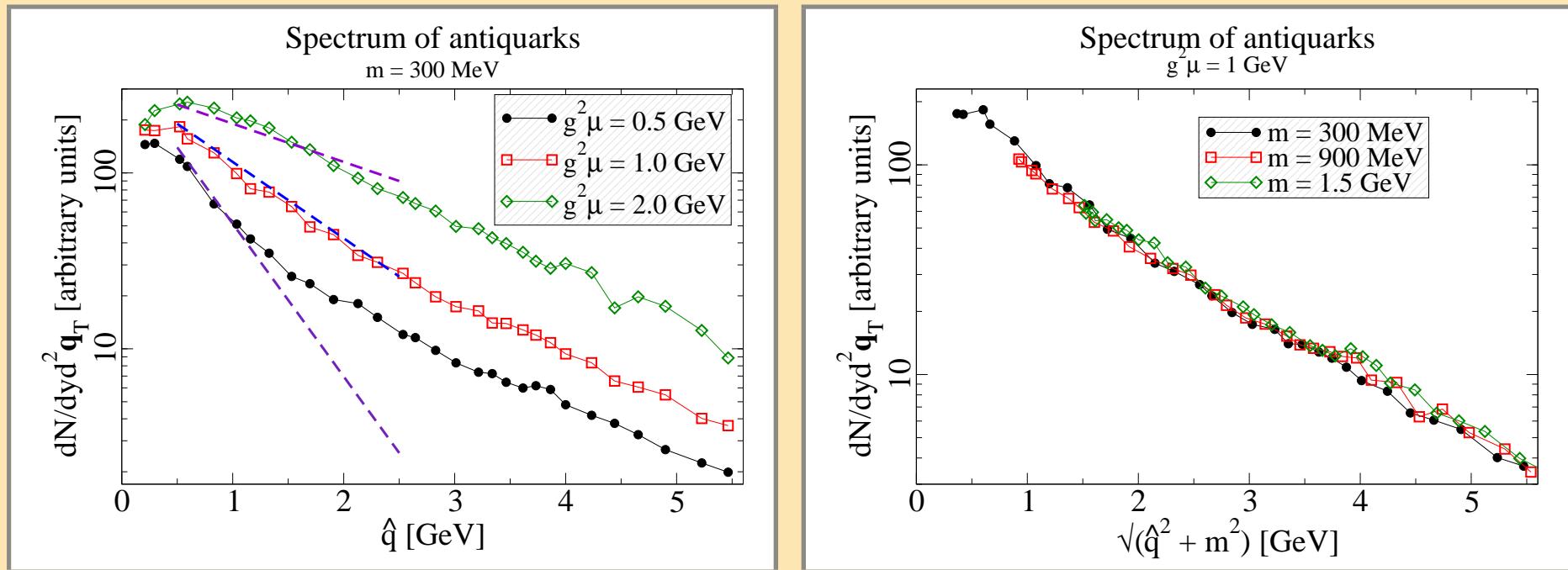
The QED calculation can be done analytically (see e.g. Baltz & McLerran [2] or Baltz, Gelis, McLerran & Peshier<sup>[3]</sup>).

- Initial condition: negative energy plane wave.
- Solution numerical for  $\tau > 0$ , because of interaction with the background field that is known only numerically.
- Final state: project to positive energy plane wave.
- Two separate “branches” of the solution.



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- [2] A. J. Baltz and L. D. McLerran, *Phys. Rev.* **C58** (1998) 1679 [[nucl-th/9804042](#)].  
[3] A. J. Baltz, F. Gelis, L. D. McLerran and A. Peshier, *Nucl. Phys.* **A695** (2001) 395 [[nucl-th/0101024](#)].  
[4] F. Gelis, K. Kajantie and T. Lappi, *Phys. Rev.* **C71** (2005) 024904 [[hep-ph/0409058](#)].

## (Anti)quark spectrum

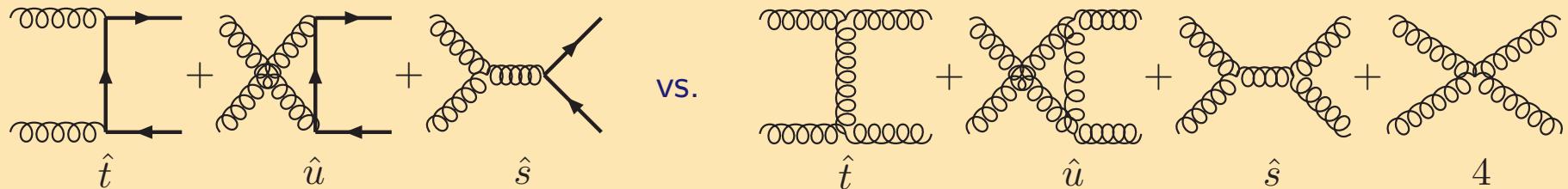


[5] F. Gelis, K. Kajantie and T. Lappi, *Phys. Rev. Lett.* **96** (2006) 032304 [[hep-ph/0508229](#)].

## So, what about gluon pairs?

So we can produce pairs of quarks, how about pairs of gluons?

$2 \rightarrow 2$  processes in weak field limit: diagrams:



$$s + u + t = 0$$

**High energy limit:**  $t \sim p_T^2 \sim \text{const}$ ,  $s \sim -u \rightarrow \infty$

$$\frac{d\sigma}{dt} \sim |M|^2 / s^2$$

Quarks:  $|M|^2 \sim (s/t)$ ,  $\frac{d\sigma}{dt} \sim 1/s$

Gluons:  $|M|^2 \sim (s/t)^2$ ,  $\frac{d\sigma}{dt} \sim 1$

**Spin of object in  $t$ -channel!** Graviton exchange diverges like  $s$ .

This is why high energy scattering is so close to unitarity limit (and quantum is so difficult).

## Reminder: weak field limit in covariant gauge

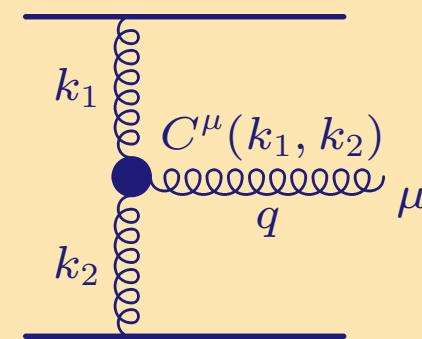
$$C(k_1, k_2) = \left( q^+ - \frac{\tilde{k}_1^2}{q^-}, \frac{\tilde{k}_2^2}{q^+} - q^-, \tilde{k}_2 - \tilde{k}_1 \right) \quad q^\mu C_\mu = 0 \quad C^\mu C_\mu = 4 \frac{\tilde{k}_1^2 \tilde{k}_2^2}{\tilde{q}^2}$$

$$A^\mu(q) = J_{(1)}^+(k_1) J_{(2)}^-(k_2) \frac{g^{+-}}{\tilde{k}_1^2} \frac{g^{+-}}{\tilde{k}_2^2} C^\mu(k_1, k_2),$$

- Many diagrams, but leading high energy magically simplifies into effective **Lipatov vertex**  $C^\mu$
- Only  $\pm$ -component propagating down the  $t$ -channel
- $C^\mu C_\mu$  kills half of the  $t$ -channel propagators

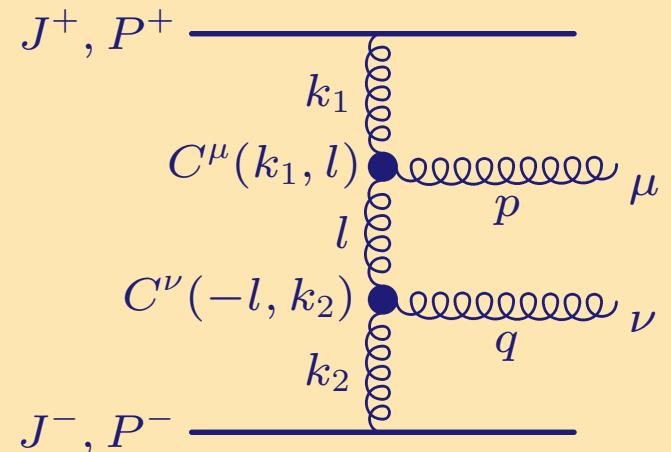
Result:

$$\frac{dN}{dy d^2\tilde{q}} \sim \int_{\tilde{k}_1, \tilde{k}_2} \overbrace{\frac{\phi_y(\tilde{k}_1)}{\tilde{k}_1^2}}^{\mathcal{O}(1/\alpha_s)} \frac{\phi_y(\tilde{k}_2)}{\tilde{k}_2^2} \delta^2(\tilde{q} - \tilde{k}_1 - \tilde{k}_2) \left[ \alpha_s \frac{\tilde{k}_1^2 \tilde{k}_2^2}{\tilde{q}^2} \right]$$



## Weak field limit: emit another gluon

- Emit another gluon from the ladder, with its own Lipatov vertex
- We're assuming rapidity ordering:  
 $P^+ \gg p^+ \gg q^+ \gg P_T^2/P^-$
- Remember  $\varphi(\tilde{\mathbf{k}}) \sim 1/\alpha_s$ , so this is  $\mathcal{O}(\alpha_s^0)$



$$\frac{dN}{dy_q d^2\mathbf{q} dy_p d^2\mathbf{p}} \sim \int_{\tilde{\mathbf{k}}_1, \tilde{\mathbf{k}}_2} \frac{\phi_y(\tilde{\mathbf{k}}_1)}{\tilde{\mathbf{k}}_1^2} \frac{\phi_y(\tilde{\mathbf{k}}_2)}{\tilde{\mathbf{k}}_2^2} \overbrace{\frac{\delta^2(\tilde{\mathbf{q}} + \tilde{\mathbf{p}} - \tilde{\mathbf{k}}_1 - \tilde{\mathbf{k}}_2)}{(\tilde{\mathbf{k}}_1 - \tilde{\mathbf{p}})^2 (\tilde{\mathbf{k}}_2 - \tilde{\mathbf{q}})^2}}^{l\text{-propagator}^2} \left[ \alpha_s^2 \frac{\overbrace{\tilde{\mathbf{k}}_1^2(\tilde{\mathbf{k}}_1 - \tilde{\mathbf{p}})^2}^{C^\mu C_\mu}}{\tilde{\mathbf{p}}^2} \frac{\overbrace{\tilde{\mathbf{k}}_2^2(\tilde{\mathbf{k}}_2 - \tilde{\mathbf{q}})^2}^{C^\nu C_\nu}}{\tilde{\mathbf{q}}^2} \right]$$

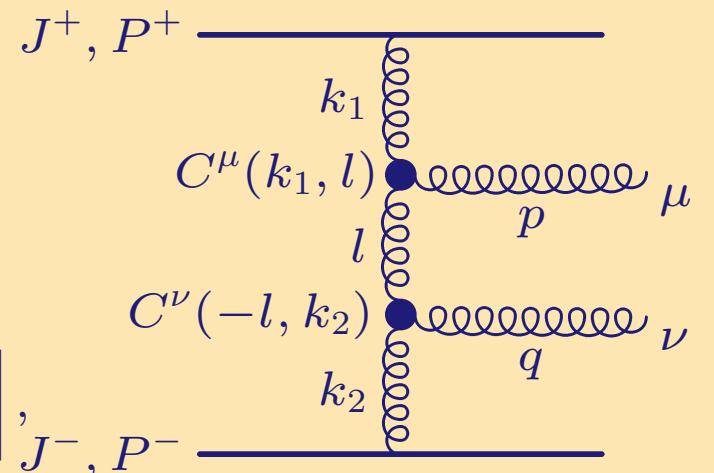
Suppose we want to integrate out  $p$ -gluon:  **$y_p$ -integral diverges.**

- Large  $p^+$  corresponds to large  $k_1^+$ , physically this is of course cut off by finite  $\sqrt{s}$ , but at large  $\sqrt{s}$  this is not the solution we're looking for.
- ▶ There is also a rapidity dependence in  $\varphi_y(\tilde{\mathbf{k}}_1)$ , this is where the divergence has to go.

## Pair production and real part of BFKL

Regulate divergence with a cutoff  $Y$  and combine two leading terms

$$\frac{dN}{dy d^2\mathbf{q}} \sim \alpha_s \int_{\mathbf{k}_2} \frac{\phi_y(\mathbf{k}_2)}{\mathbf{q}^2} \left[ \int_{\mathbf{k}_1} \delta^2(\mathbf{q} - \mathbf{k}_1 - \mathbf{k}_2) \phi_Y(\mathbf{k}_1) + \frac{N_c \alpha_s}{\pi^2} \int_0^Y dy_p \int_{\mathbf{p}, \mathbf{k}_1} \delta^2(\mathbf{p} + \mathbf{q} - \mathbf{k}_1 - \mathbf{k}_2) \frac{\phi_Y(\mathbf{k}_1)}{\mathbf{p}^2} \right],$$



There is no  $Y$ -dependence if

$$\partial_Y \phi_Y(\mathbf{q} - \mathbf{k}_2) = -\frac{N_c \alpha_s}{\pi^2} \int_{\mathbf{k}_1} \frac{\phi_Y(\mathbf{k}_1)}{(\mathbf{q} - \mathbf{k}_1 - \mathbf{k}_2)^2}$$

This is the real part of the BFKL equation – sign because evolution is in the negative  $Y$  direction.  
cf. Cyrille

Then there are loop corrections, which in the same way factorize into the virtual term of BFKL.

**Physics is independent of the cutoff, to appropriate order in  $\alpha_s$**

## NLO corrections, factorization: JIMWLK

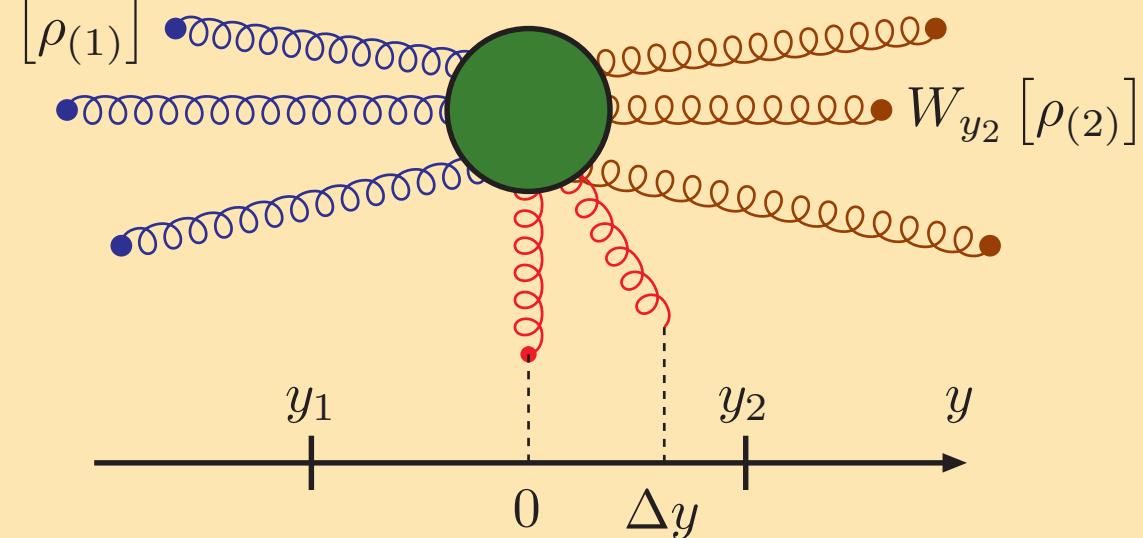
Restrict  $y_1 < \Delta y < y_2$

Physics indep. of  $y_1, y_2$   
(to appropriate order in  $\alpha_s$ ).

$$\nabla_T^2 \mathcal{A}^+(\mathbf{y}) = -g\rho(\mathbf{y})$$

$$U(\tilde{\mathbf{x}}) = \text{P} e^{i \int dy^- \mathcal{A}^+(\tilde{\mathbf{x}}, y^-)}$$

Sources  $W$  evolve with  
**JIMWLK Hamiltonian:**



$$\mathcal{H} \equiv \frac{1}{2} \int d^2 \tilde{\mathbf{x}} d^2 \tilde{\mathbf{y}} \frac{\delta}{\delta \tilde{\mathcal{A}}_c^+(\mathbf{y})} \eta^{bc}(\tilde{\mathbf{x}}, \tilde{\mathbf{y}}) \frac{\delta}{\delta \tilde{\mathcal{A}}_b^+(\tilde{\mathbf{x}})}$$

$$\begin{aligned} \eta^{bc}(\tilde{\mathbf{x}}, \tilde{\mathbf{y}}) &= \frac{1}{\pi} \int \frac{d^2 \tilde{\mathbf{u}}}{(2\pi)^2} \frac{(\tilde{\mathbf{x}} - \tilde{\mathbf{u}}) \cdot (\tilde{\mathbf{y}} - \tilde{\mathbf{u}})}{(\tilde{\mathbf{x}} - \tilde{\mathbf{u}})^2 (\tilde{\mathbf{y}} - \tilde{\mathbf{u}})^2} \\ &\times \left[ U(\tilde{\mathbf{x}}) U^\dagger(\tilde{\mathbf{y}}) - U(\tilde{\mathbf{x}}) U^\dagger(\tilde{\mathbf{u}}) - U(\tilde{\mathbf{u}}) U^\dagger(\tilde{\mathbf{y}}) + 1 \right]_{bc} \end{aligned}$$

## JIMWLK factorization

I am not going through the derivation, in:

- F. Gelis, T. L. and R. Venugopalan, “High energy factorization in nucleus-nucleus collisions,” arXiv:0804.2630 [hep-ph] (PRD tbp).
- F. Gelis, T. L. and R. Venugopalan, “High energy factorization in nucleus-nucleus collisions II — Multigluon correlations,” arXiv:0807.1306 [hep-ph] (PRD tbp).

and very much based on the work in:

- F. Gelis and R. Venugopalan, “Particle production in field theories coupled to strong external sources,” Nucl. Phys. A **776** (2006) 135 [arXiv:hep-ph/0601209].
- F. Gelis and R. Venugopalan, “Particle production in field theories coupled to strong external sources. II: Generating functions,” Nucl. Phys. A **779** (2006) 177 [arXiv:hep-ph/0605246].

I will, instead, try to describe a few ingredients that go into the calculation

- Schwinger-Keldysh, retarded propagation
- Propagators as functional derivatives w.r.t. the initial condition

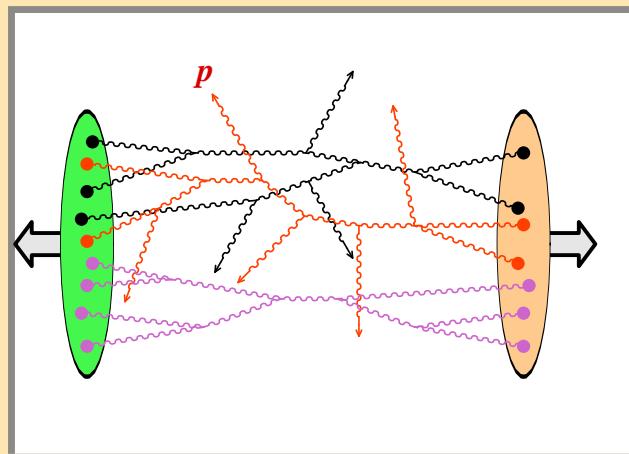
## Gluon multiplicity as cut vacuum graphs

$$J^\mu \sim 1/g$$

Particle production with strong external sources Gelis, Venugopalan [6], compute multiplicity

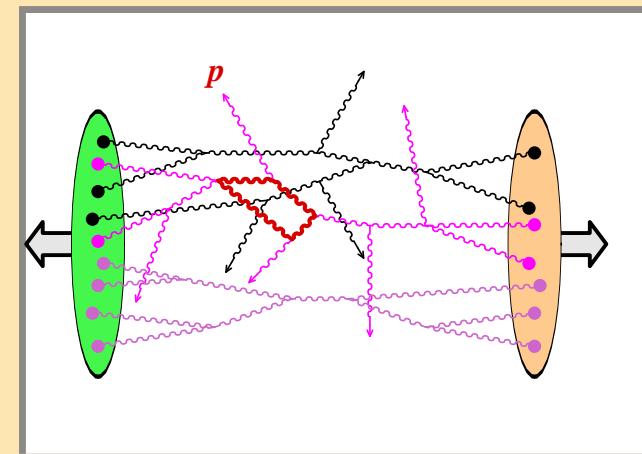
$$\frac{dN}{d^3\vec{p}} \sim \sum_{n=0}^{\infty} \frac{1}{n!} \int \left[ d^3\vec{p}_1 \cdots d^3\vec{p}_n \right] |\langle \vec{p} \cdot \vec{p}_1 \cdots \vec{p}_n | 0 \rangle|^2$$

All insertions of source at same order



◀ LO: tree diagrams

NLO: 1 loop ▶



Integrate phase space of additional gluons.

[6] F. Gelis and R. Venugopalan, *Nucl. Phys.* **A776** (2006) 135 [hep-ph/0601209].

## A small word on $i\varepsilon$

$$\frac{dN}{d^3\vec{p}} \sim \int_{\vec{x}\vec{y}} e^{i\vec{p}\cdot(\vec{x}-\vec{y})} \underbrace{\dots}_{\text{proj.}} \underbrace{\left[ A^\mu(t, \vec{x}) A^\nu(t, \vec{y}) \right]}_{\text{no } T\text{-product!}} \Big|_{t \rightarrow \infty}$$

We are computing multiplicities from theory with sources, not scattering amplitudes

$$P_1 = \left| \langle 0_{\text{out}} | a_{\text{out}}^\dagger | 0_{\text{in}} \rangle \right|^2$$

Time-ordered, i.e. Feynman propagator,  
cross sections, analytical s-matrix, crossing  
symmetry

Usual perturbative calculation

See e.g. Baltz, Gelis; McLerran [3] for discussion in fermion pair context

$$\langle n \rangle = \langle 0_{\text{in}} | a_{\text{out}}^\dagger a_{\text{out}} | 0_{\text{in}} \rangle$$

No time ordering. Use Schwinger-Keldysh  
formalism, leads to retarded propagators.

Could be numerically doable in real time.

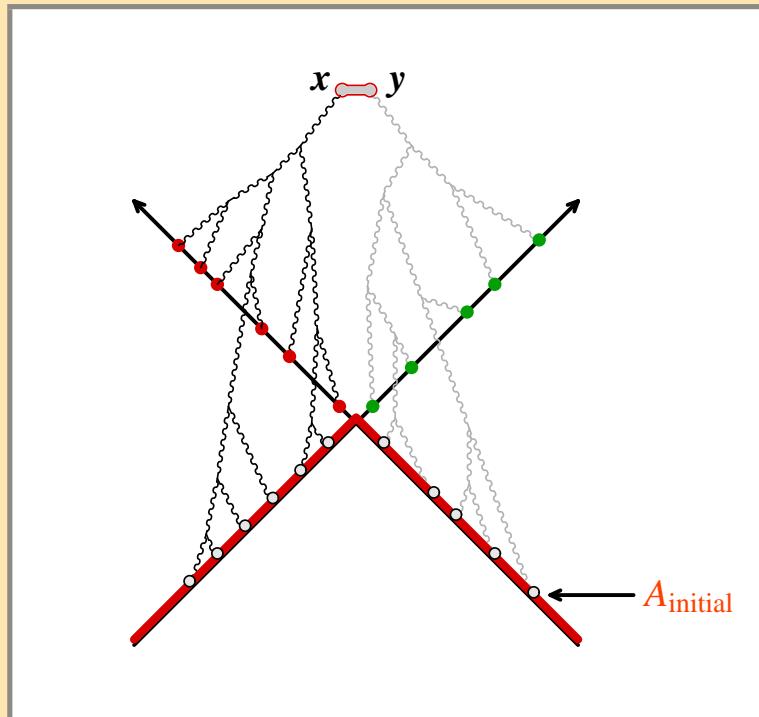
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[3] A. J. Baltz, F. Gelis, L. D. McLerran and A. Peshier, *Nucl. Phys.* **A695** (2001) 395 [nucl-th/0101024].

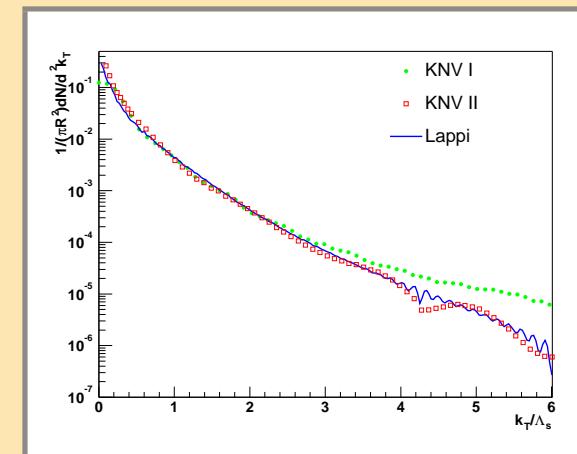
## LO is classical field

Leading order multiplicity from **retarded** solution of classical field equations.

$$\frac{dN}{d^3\vec{p}} \Big|_{\text{LO}} = \int_{\vec{x}\vec{y}} e^{i\vec{p} \cdot (\vec{x} - \vec{y})} (\dots) \left[ \mathcal{A}^\mu(t, \vec{x}) \mathcal{A}^\nu(t, \vec{y}) \right] \Big|_{t \rightarrow \infty}$$



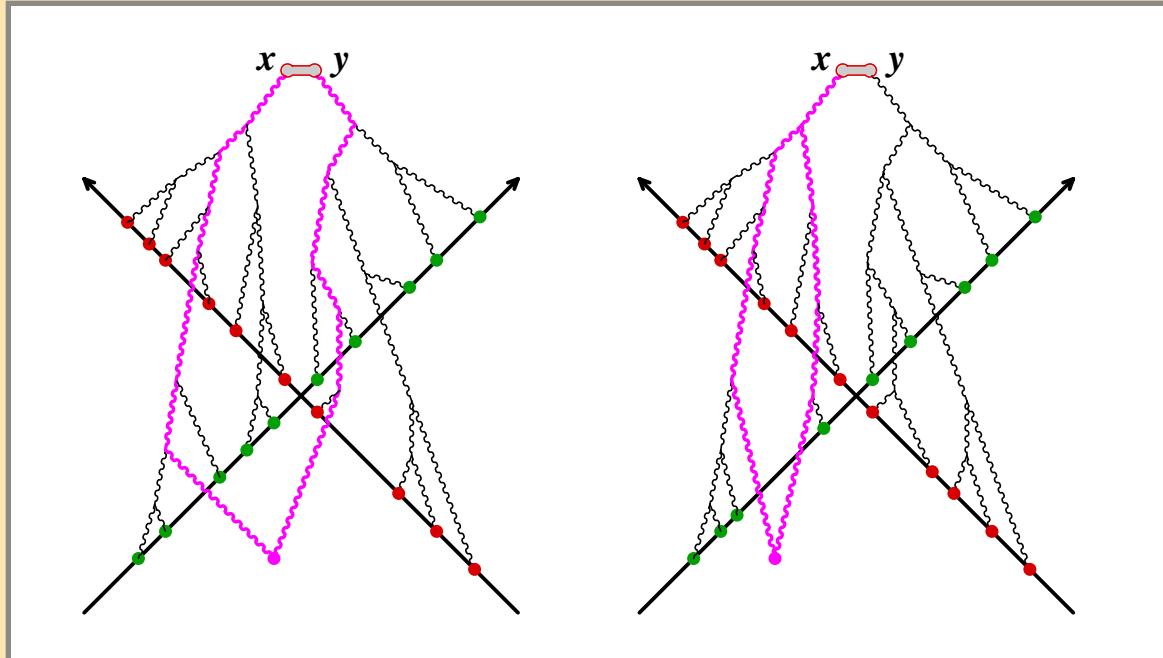
Gluon spectrum from numerical computation



View multiplicity as functional of classical field on initial surface.

## NLO is 1 loop

“real”,  
pair production

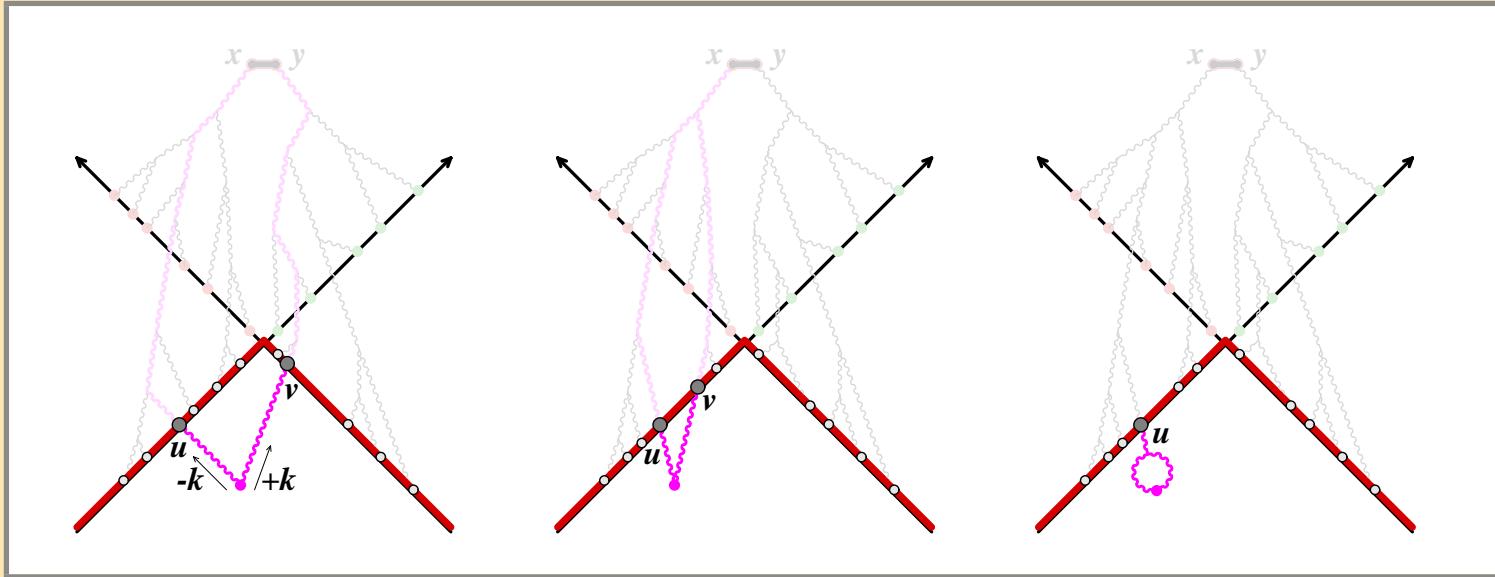


“virtual”,  
loop correction to  
field

$$\frac{dN}{d^3\vec{p}} \Big|_{\text{NLO}} = \int_{\vec{x}\vec{y}} e^{i\vec{p} \cdot (\vec{x} - \vec{y})} (\dots) \left[ \mathcal{G}^{\mu\nu}(x, y) + \beta^\mu(t, \vec{x}) \mathcal{A}^\nu(t, \vec{y}) + \mathcal{A}^\mu(t, \vec{x}) \beta^\nu(t, \vec{y}) \right] \Big|_{t \rightarrow \infty}$$

- $\mathcal{G}^{\mu\nu}$  is a 2-point function on top of the classical field
- $\beta^\mu$  is a small field fluctuation driven by a 1-loop source

## NLO: propagators as functional derivatives



$$\frac{dN}{d^3\vec{p}} \Big|_{\text{NLO}} = \underbrace{\left[ \frac{1}{2} \int_{\vec{u}, \vec{v} \in \text{LC}} \mathcal{G}(\underline{\vec{u}}, \underline{\vec{v}}) \mathbb{T}_{\underline{\vec{u}}} \mathbb{T}_{\underline{\vec{v}}} + \int_{\vec{u} \in \text{LC}} \beta(\underline{\vec{u}}) \mathbb{T}_{\underline{\vec{u}}} \right]}_{\text{below LC}} \underbrace{\frac{dN}{d^3\vec{p}} \Big|_{\text{LO}}}_{\text{above LC}}$$

$$a^\mu(x) = \int_{\vec{u} \in \text{LC}} a(\vec{u}) \cdot \mathbb{T}_{\underline{\vec{u}}} \mathcal{A}^\mu(x) \quad \mathcal{G}(\vec{u}, \vec{v}) \equiv \int \frac{d^3\vec{k}}{(2\pi)^3 2E_{\vec{k}}} \eta_{-\vec{k}}(u) \eta_{+\vec{k}}(v)$$

Divergence from  $\int \frac{dk^+}{k^+}$

Functional derivative in  $\mathbb{T}$   $\rightarrow \frac{\delta}{\delta \tilde{\mathcal{A}}_c^+(\underline{\vec{y}})}$  in JIMWLK

## Dominant polarization

In general the functional derivative can be written as (on the right-movers' LC)

$$\begin{aligned}
 a \cdot \mathbb{T}_u &= \partial^-(U(u)a^i(u)) \frac{\delta}{\delta(\partial^-(U(u)\mathcal{A}^i(u)))} \\
 &\quad + U(u)a^-(u) \frac{\delta}{\delta(U(u)\mathcal{A}^-(u))} + \overbrace{\partial^\mu(U(u)a_\mu(u)) \frac{\delta}{\delta(\partial^\mu(U(u)\mathcal{A}_\mu(u)))}}^{\text{longit.}}
 \end{aligned}$$

In the LC gauge, these 3 components fully specify the field on the LC.

Only the longitudianal component matters. Why: only one that is there in the WW-field

## Some aspects of the factorization theorem

- High energy kinematics: fixed  $Q^2 \sim Q_s^2$ , large  $\sqrt{s} \sim e^y$  ► weak field limit is BFKL
- Not factorization of pdf's, but color charge distributions
- Power counting: sources  $\sim 1/g$ 
  - Nonperturbative, all orders in classical field
  - NLO in weak coupling/loop expansion (not all orders)
- Work with multiplicities, not cross sections
  - Most natural thing to look at in multiparticle production
  - Retarded propagation
  - Diffractive observables ?
- Express retarded propagators as functional derivatives wrt. initial condition ► relate to functional derivatives in JIMWLK Hamiltonian

## JIMWLK evolution in Langevin form

Analogy: diffusion equation

$$\partial_t P(x, t) = D \partial_x P(\vec{x}, t)$$

Equivalent to **Langevin equation**

$$\dot{x} = \sqrt{2D}\eta(t), \quad \langle \eta(t)\eta(t') \rangle = \delta(t - t')$$

Blaizot, Iancu, Weigert [7]: can take  $\sqrt{\cdot}$  of JIMWLK Hamiltonian:

$$\begin{aligned} \mathcal{H} \equiv \frac{1}{2} \int d^2\tilde{\mathbf{x}} d^2\tilde{\mathbf{y}} d^2\tilde{\mathbf{z}} \frac{\delta}{\delta \tilde{\mathcal{A}}_c^+(\tilde{\mathbf{y}})} \tilde{\mathbf{e}}^{ba}(\tilde{\mathbf{x}}, \tilde{\mathbf{z}}) \cdot \tilde{\mathbf{e}}^{ca}(\tilde{\mathbf{y}}, \tilde{\mathbf{z}}) \frac{\delta}{\delta \tilde{\mathcal{A}}_b^+(\tilde{\mathbf{x}})}, \\ \tilde{\mathbf{e}}^{ba}(\tilde{\mathbf{x}}, \tilde{\mathbf{z}}) = \frac{1}{\sqrt{4\pi^3}} \frac{\tilde{\mathbf{x}} - \tilde{\mathbf{z}}}{(\tilde{\mathbf{x}} - \tilde{\mathbf{z}})^2} \left(1 - U^\dagger(\tilde{\mathbf{x}})U(\tilde{\mathbf{z}})\right)^{ba} \end{aligned}$$

The JIMWLK equation can then be written in Langevin form.

$$U_{y+dy}(\tilde{\mathbf{x}}) = U_y(dy) e^{-i dy \alpha(\tilde{\mathbf{x}}, y)} \quad \alpha^a(\tilde{\mathbf{x}}, y) = \sigma^a(\tilde{\mathbf{x}}, y) + \int_{\tilde{\mathbf{z}}} \tilde{\mathbf{e}}^{at}(\tilde{\mathbf{x}}, \tilde{\mathbf{z}}) \tilde{\boldsymbol{\eta}}^b(\tilde{\mathbf{z}}, y)$$

(Time must be discrete for unique interpretation, Itô)

Basis for numerical solution of JIMWLK Rummukainen, Weigert<sup>[8]</sup>

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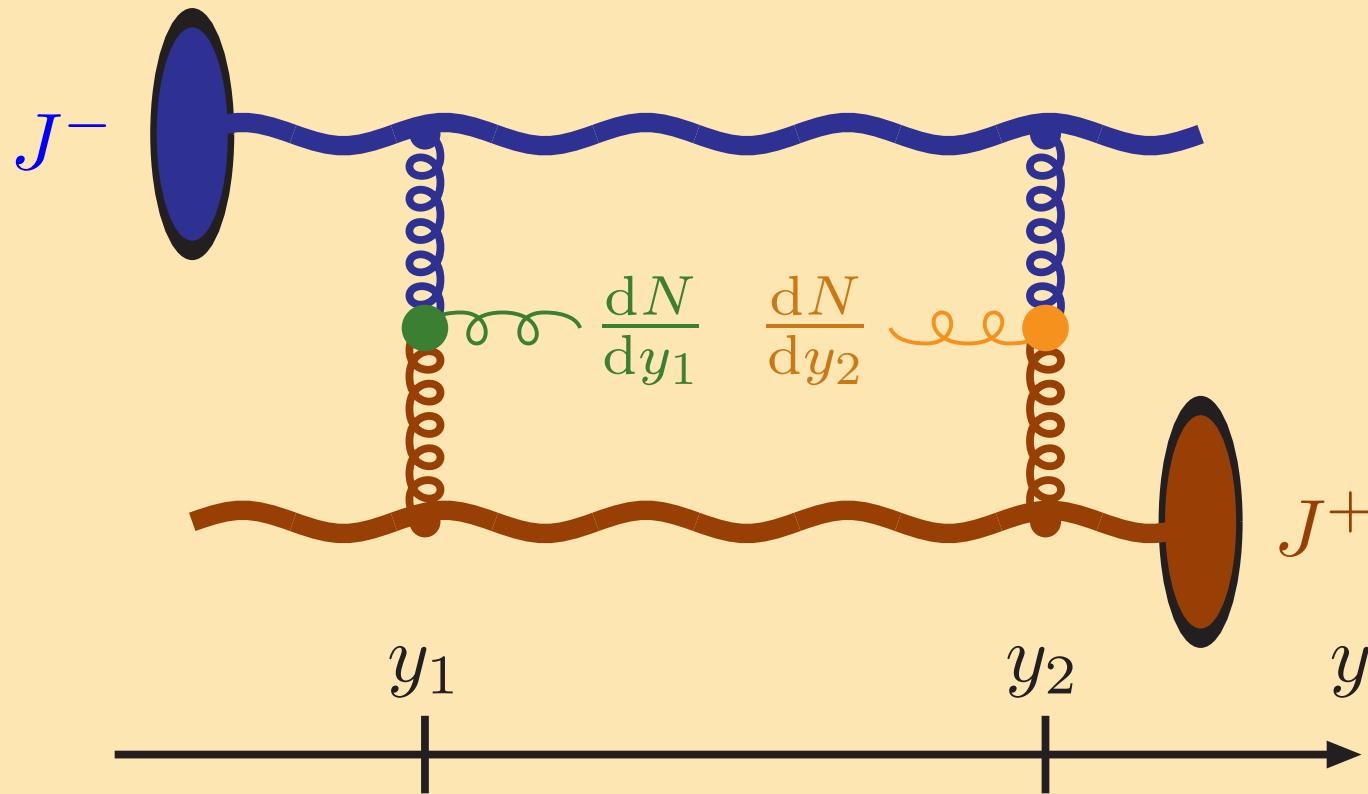
[7] J.-P. Blaizot, E. Iancu and H. Weigert, *Nucl. Phys.* **A713** (2003) 441 [[hep-ph/0206279](#)].

[8] K. Rummukainen and H. Weigert, *Nucl. Phys.* **A739** (2004) 183 [[hep-ph/0309306](#)].

## Rapidity correlations from Langevin evolution

Take the trajectories seriously: large rapidity correlations

One event = one Langevin trajectory



## Conclusions

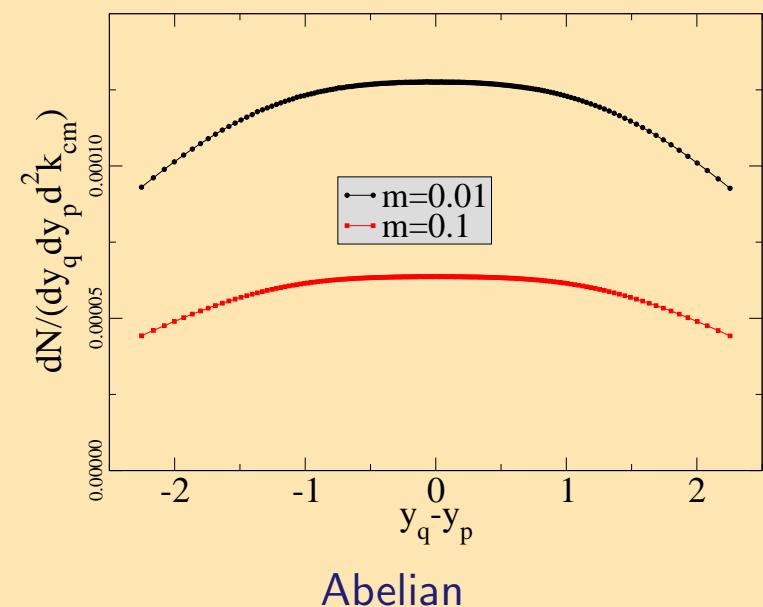
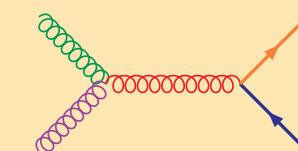
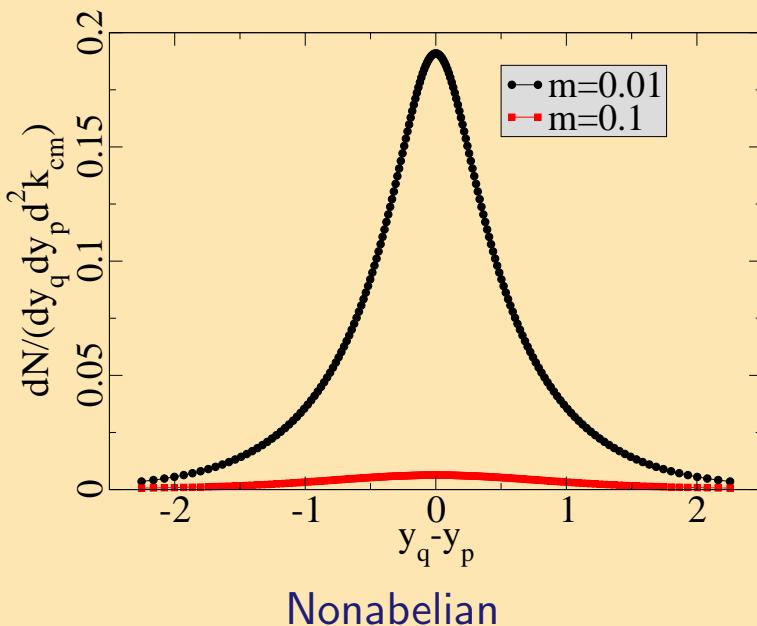
- Simpler part of NLO computation quark pair production
- Weak field limit and BFKL
- JIMWLK factorization

Full NLO gluon production . . . will be done some day.

# Backup

## Difficulties in solving Dirac equation

- Coordinate system: we want to use **proper** time like in gluon case.
- Correlation  $y_q - y_{\bar{q}} \leftrightarrow$  nontrivial  $\eta$ -dependence of the quark wave function even in  $\eta$ -independent background.
- Different divergences in the  $m_q \rightarrow 0$ -limit in the Abelian and non-Abelian theories due to *s*-channel interaction:



## Dirac equation: numerics

### Temporal:

- Hard sources present only in the initial condition
  - ▶ initial condition defined at  $\tau = 0$
  - ▶ Use **proper** time  $\tau = \sqrt{t^2 - z^2}$  like in gluon case.

**Longitudinal:** Alternatives:  $\eta, x^\pm, z$ .

- $\eta$  cannot parametrize  $\tau = 0$ -surface
- $x^\pm$  is not symmetric
  - ▶ Use  $z$

Dirac in  $\tau, z, \underline{x}$ -coordinates:

$$\partial_\tau \psi = \underbrace{\frac{\sqrt{\tau^2 + z^2} + \gamma^0 \gamma^3 z}{\tau}}_{\text{Coeff. depends on } \tau, z} \left[ -\gamma^0 \gamma^3 \partial_z \psi + i \gamma^0 \overbrace{(i \boldsymbol{\gamma}_T \cdot \mathbf{D}_T - m) \psi}^{\text{Bg field in } \mathbf{D}_T = \nabla_T + ig\mathbf{A}_T \text{ and } A_\eta} - i \gamma^0 \gamma^3 \frac{A_\eta}{\tau} \psi \right]$$

Longitudinal ( $z$ ) direction discretized *implicitly* to handle the curved coordinate system.

## 1 and 2 point-functions

- The 2-point function  $\mathcal{G}^{\mu\nu}$  can be written as

$$\mathcal{G}^{\mu\nu}(x, y) = \int \frac{d^3\vec{k}}{(2\pi)^3 2E_{\vec{k}}} \eta_{-\vec{k}}^\mu(x) \eta_{+\vec{k}}^\nu(y)$$

$$[\mathcal{D}_\mu, [\mathcal{D}^\mu, \eta_{\pm\vec{k}}^\nu]] - [\mathcal{D}_\mu, [\mathcal{D}^\nu, \eta_{\pm\vec{k}}^\mu]] + ig [\mathcal{F}_\mu^\nu, \eta_{\pm\vec{k}}^\mu] = 0$$

$$\lim_{t \rightarrow -\infty} \eta_{\pm\vec{k}}^\mu(t, \vec{x}) = \epsilon^\mu(\vec{k}) e^{\pm ik \cdot x}$$

(obtained by writing the YM equation for  $\mathcal{A} + \eta_{\pm\vec{k}}$ , linearized in  $\eta_{\pm\vec{k}}$ )

- The equation of motion for  $\beta^\mu$  reads

$$[\mathcal{D}_\mu, [\mathcal{D}^\mu, \beta^\nu]] - [\mathcal{D}_\mu, [\mathcal{D}^\nu, \beta^\mu]] + ig [\mathcal{F}_\mu^\nu, \beta^\mu] = \\ = \underbrace{\frac{\partial^3 \mathcal{L}_{YM}(\mathcal{A})}{\partial \mathcal{A}^\nu(x) \partial \mathcal{A}^\rho(x) \partial \mathcal{A}^\sigma(x)}}_{3g \text{ vertex in the background } \mathcal{A}} \underbrace{\frac{1}{2} \int \frac{d^3\vec{k}}{(2\pi)^3 2E_{\vec{k}}} \eta_{-\vec{k}}^\mu(x) \eta_{+\vec{k}}^\nu(x)}_{\text{value of the loop}}$$