

# Anomaly at Finite Density & Chemical Potential on Lattice

*Rajiv V. Gavai & Sayantan Sharma\**  
*T. I. F. R., Mumbai & Universität Bielefeld*

*\* arXiv : 0906.5188, Phys. Rev. D., in press.*

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Introduction

Anomaly for  $\mu \neq 0$  : Continuum

Two simple ideas for Lattice

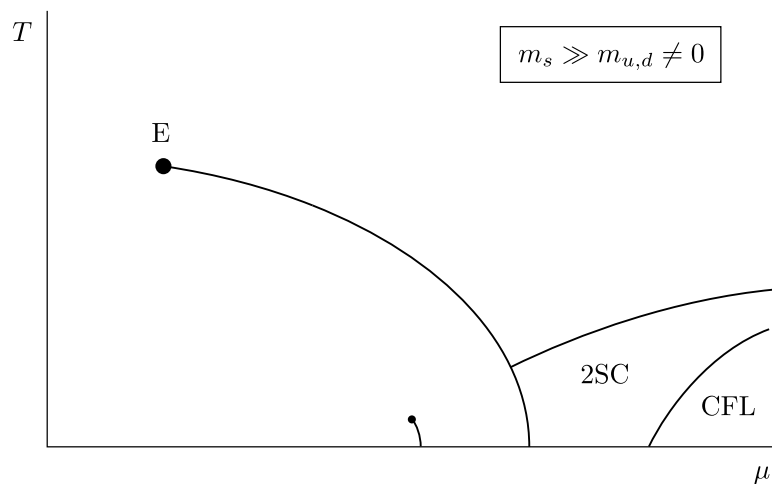
Summary

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♠ A fundamental aspect of the QCD Phase Diagram is the Critical Point in the  $T$ - $\mu_B$  plane expected on the basis of symmetries and models.

Expected QCD Phase Diagram



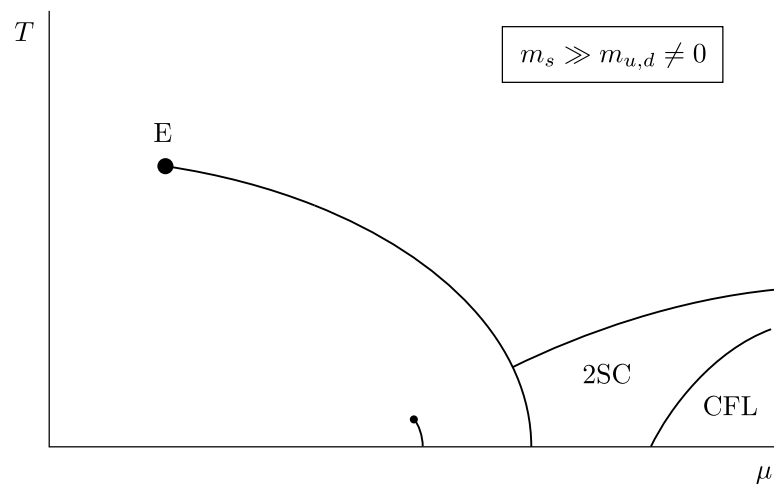
From Rajagopal-Wilczek Review

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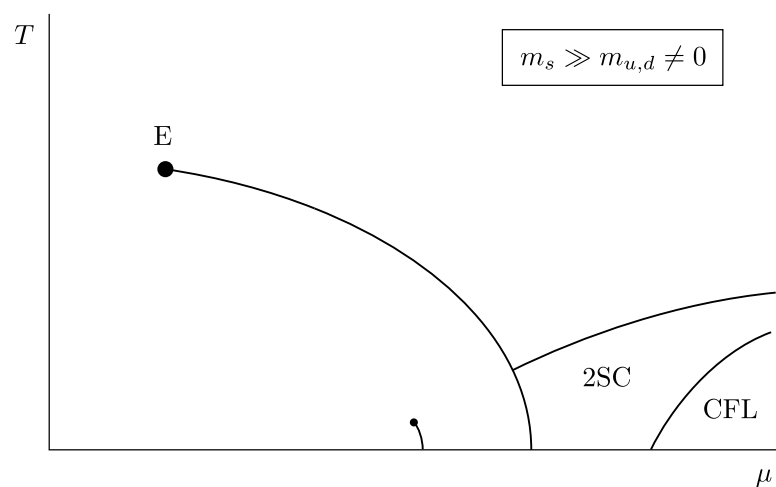
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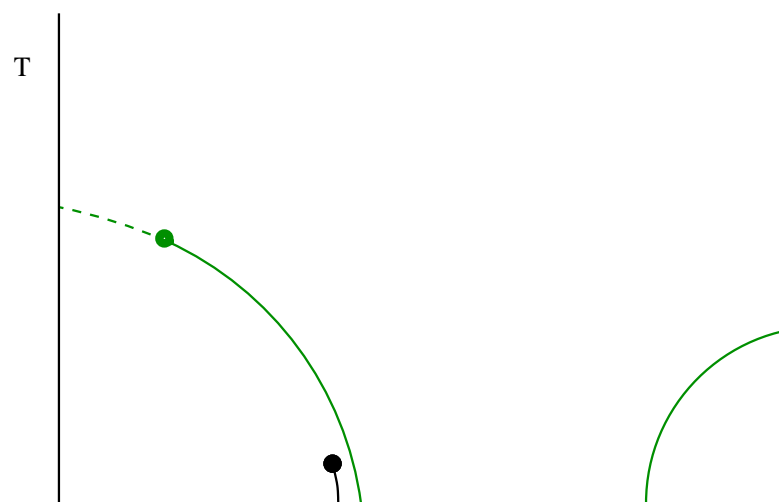
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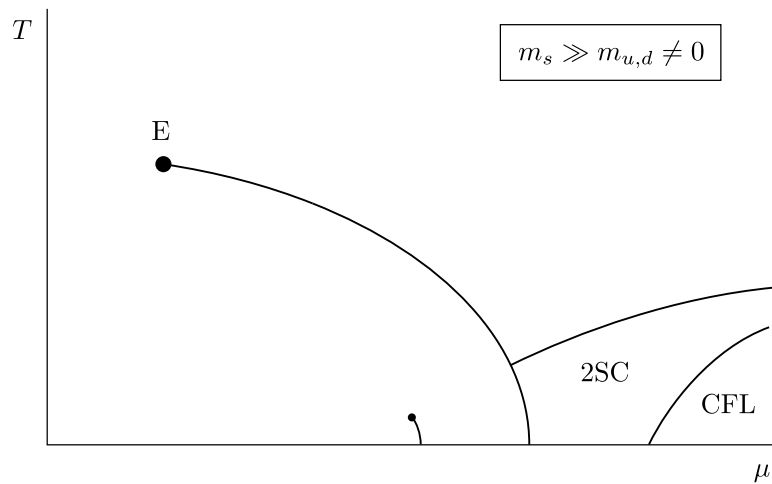


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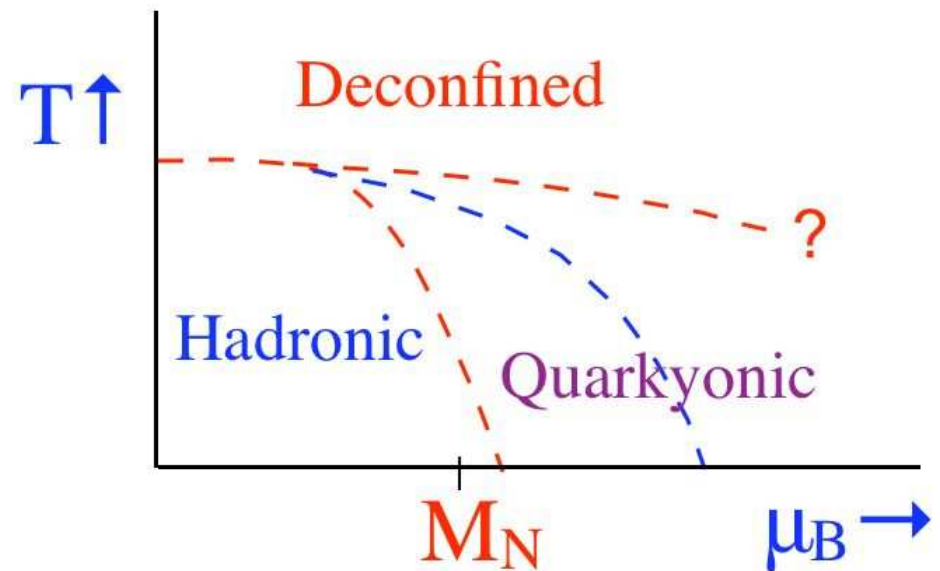
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Expected QCD Phase Diagram

... but could, however, be ... McLerran-Pisarski 2007



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♠ First Order Chiral Transition for three (or more) massless flavours, while Second Order for two massless ones.

♡ Temperature dependence of the Chiral Anomaly may be important as well; No CEP if instanton density is small enough below  $T_{ch}$  (Pisarski-Wilczek, 1984).



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◇ Type of quarks ? Fermions on lattice have a well-known “No-Go” theorem due to Nielsen-Ninomiya.

### Popular choices

- Wilson Fermions – Break *all* chiral symmetries. Well defined flavour and spin, however.

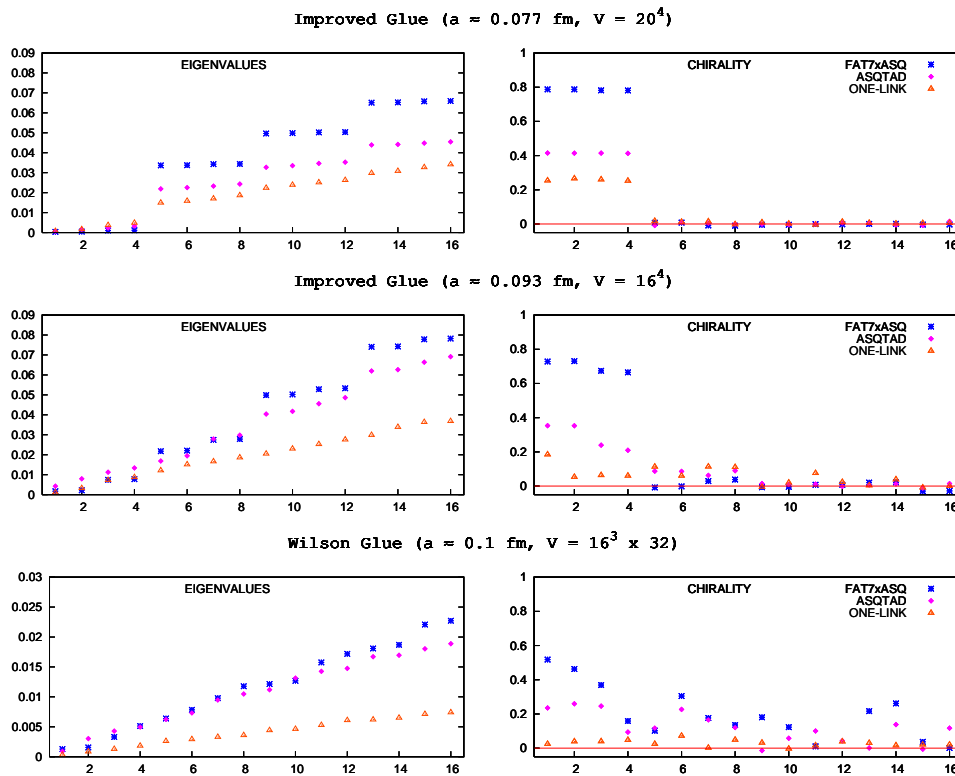
- Kogut-Susskind Fermions – Have some chiral symmetry *but* break flavour and spin symmetry. Only flavour nonsinglet axial symmetry.
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- Overlap Fermions – Almost like continuum; have *both* correct chiral and flavour symmetry on lattice. They even have an index theorem as well. (Hasenfratz, Laliena & Niedermeyer, PLB 1998; Lüscher PLB 1998.)
- Domain Wall Fermions – *small* violations of chiral symmetry [ $\sim \exp(-L_5)$ ] with exact flavour symmetry on lattice.

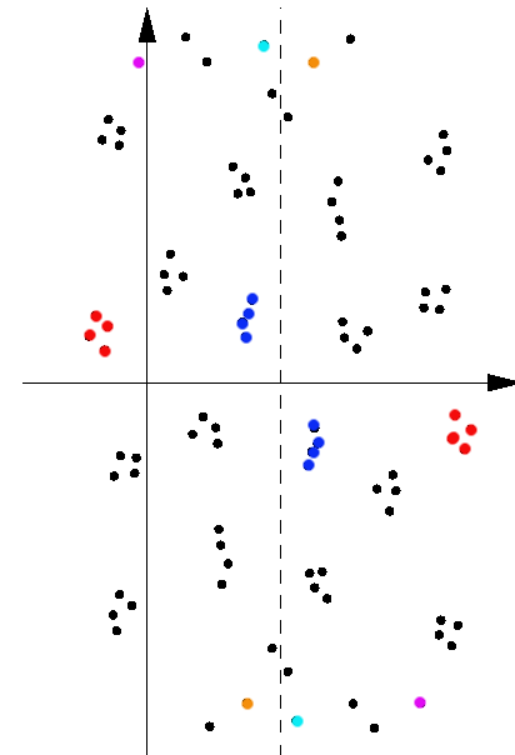
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♡ Note that chemical potential,  $\mu_B$ , has to be introduced without violating the symmetries in order to investigate the entire  $T$ - $\mu_B$  plane.

♠ The staggered fermions are oft-used, but need  $N_t \geq 13$  for restoration on full flavour symmetry.

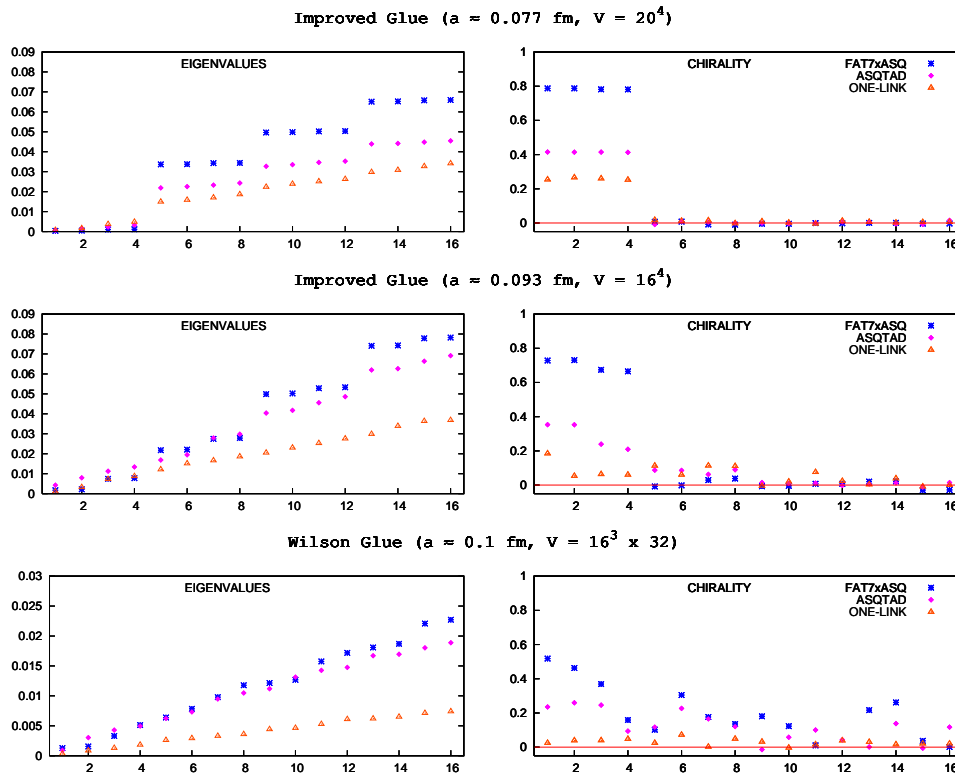


E. Follana et al., PRD 2005

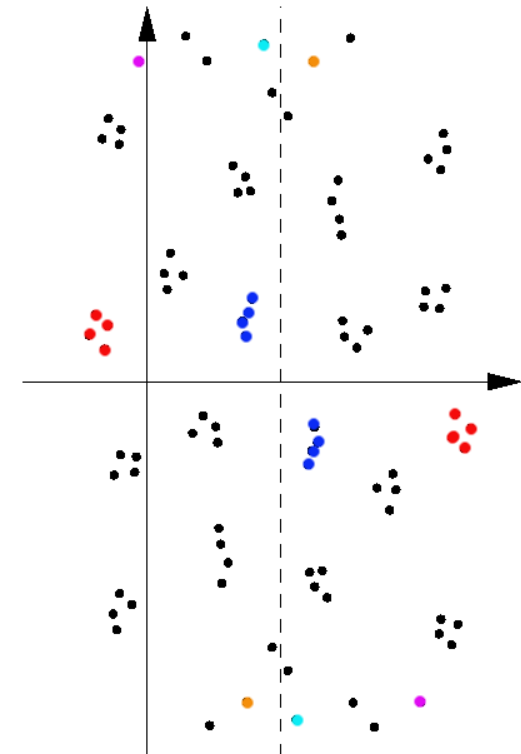


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♡ Rooting appears to have more problems for nonzero  $\mu$ . Use of Overlap fermions therefore seems desirable.

# Introducing Chemical Potential

- Ideally, one should construct the conserved charge,  $N$ , as a first step, and add  $\mu N$ . But this leads to  $a^{-2}$  divergences in the continuum limit.
- Multiply gauge links in positive/negative time direction by  $\exp(a\mu)$  and  $\exp(-a\mu)$  respectively. No change in chiral invariance for staggered fermions, as a result. (Hasenfratz-Karsch 1982; Kogut et al. 1982; Bilic-Gavai 1983).

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- Non-locality makes the construction of  $N$  difficult for the Overlap case, even non-unique (Mandula, 2007).
- Bloch-Wettig ( PRL 2006; PRD 2007) proposal : Use the same prescription as above, i.e.,  $D_W(0) \rightarrow D_W(a\mu)$  in the sign function:  $D_{ov} = 1 + \gamma_5 \operatorname{sgn}(\gamma_5 D_W)$ .



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- We (Banerjee, Gavai, Sharma, PRD 2008; PoS Lattice 2008; Gattringer-Liptak, PRD 2007.) showed that the resultant overlap fermion action has i) no  $a^{-2}$  divergences but ii) unfortunately no chiral invariance for nonzero  $\mu$ .

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♣ The chiral invariance is lost for nonzero  $\mu$ , since

$$\delta S = \alpha \sum_{x,y} \bar{\psi}_x \left[ \gamma_5 D(a\mu) + D(a\mu)\gamma_5 - \frac{a}{2}D(0)\gamma_5D(a\mu) - \frac{a}{2}D(a\mu)\gamma_5D(0) \right]_{xy} \psi_y , \quad (1)$$

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♣ However, the sign function definition of Bloch-Wettig merely ensures

$$\gamma_5 D(a\mu) + D(a\mu)\gamma_5 - a D(a\mu)\gamma_5 D(a\mu) = 0 , \quad (2)$$

which is not sufficient to make  $\delta S = 0$ .

## What if ...

♠ the chiral transformations were  $\delta\psi = \alpha\gamma_5(1 - \frac{a}{2}D(a\mu))\psi$  and  $\delta\bar{\psi} = \alpha\bar{\psi}(1 - \frac{a}{2}D(a\mu))\gamma_5$  ?

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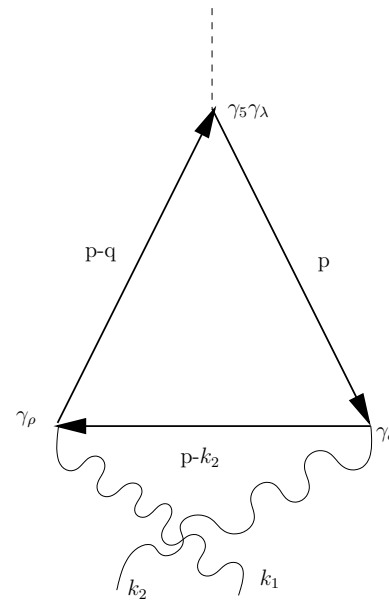
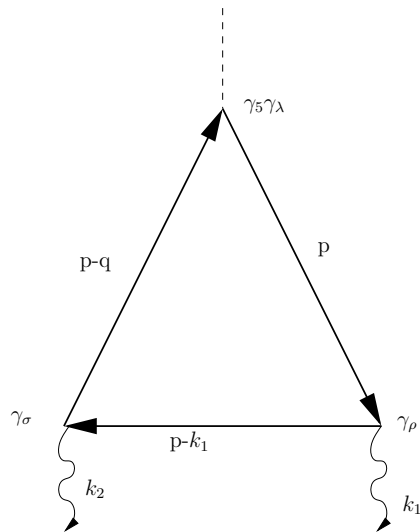
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- Moreover, symmetry groups *different* at each  $\mu$ . Recall we wish to investigate  $\langle\bar{\psi}\psi\rangle(a\mu)$  to explore if chiral symmetry is restored.
- The symmetry group remains *same* at each  $T$  with  $\mu = 0$   
 $\implies \langle\bar{\psi}\psi\rangle(am = 0, T)$  is an order parameter for the chiral transition.

- Accepting the  $\mu$ -dependent chiral transformations, on the other hand, leads to an index theorem for nonzero  $\mu$  as well (Bloch-Wettig PRD 2007).
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- The zero modes of  $D_{ov}(a\mu)$  appear in the chiral anomaly relation.
- What does nonzero  $\mu$  do to the usual chiral anomaly in the continuum ?
- Calculations in real time (Qian, Su & Yu ZPC 1994) and Minkowski space-time ( Hsu, Sannino & Schwetz MPLA 2001) formalisms show chiral anomaly is *unaffected* by nonzero  $\mu$ .
- Conventional wisdom is that chiral anomaly is an ultra-violet effect. So expect no change due to nonzero  $\mu$ .
- We re-visit the issue using a) Euclidean perturbation theory and b) the nonperturbative Fujikawa method.

# Anomaly for $\mu \neq 0$ : Continuum results



- Perturbatively we need to compute  $\langle \partial_\mu j_\mu^5 \rangle$ , i.e., the triangle diagrams for  $\mu \neq 0$ .

- Denoting by  $\Delta^{\lambda\rho\sigma}(k_1, k_2)$  the total amplitude and contracting it with  $q_\lambda$ ,

$$\begin{aligned}
 q_\lambda \Delta^{\lambda\rho\sigma} &= -i g^2 \text{tr}[T^a T^b] \int \frac{d^4 p}{(2\pi)^4} \text{Tr} \left[ \gamma^5 \frac{1}{\not{p} - \not{q} - i\mu\gamma^4} \gamma^\sigma \frac{1}{\not{p} - \not{k}_1 - i\mu\gamma^4} \gamma^\rho \right. \\
 &- \gamma^5 \frac{1}{\not{p} - i\mu\gamma^4} \gamma^\sigma \frac{1}{\not{p} - \not{k}_1 - i\mu\gamma^4} \gamma^\rho + \gamma^5 \frac{1}{\not{p} - \not{q} - i\mu\gamma^4} \gamma^\rho \frac{1}{\not{p} - \not{k}_2 - i\mu\gamma^4} \gamma^\sigma \\
 &\left. - \gamma^5 \frac{1}{\not{p} - i\mu\gamma^4} \gamma^\rho \frac{1}{\not{p} - \not{k}_2 - i\mu\gamma^4} \gamma^\sigma \right].
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\end{aligned}$$

- Quadratic Divergent integrals; need cut-off which should be gauge invariant since

$$k_{1\rho} \Delta^{\lambda\rho\sigma}(k_1, k_2) = k_{2\sigma} \Delta^{\lambda\rho\sigma}(k_1, k_2) = 0.$$

- Can be done as for  $\mu = 0$  by writing  $q_\lambda \Delta^{\lambda\rho\sigma} = (-i) \text{tr}[T^a T^b] g^2 \int \frac{d^4 p}{(2\pi)^4} [f(p - k_1, k_2) - f(p, k_2) + f(p - k_2, k_1) - f(p, k_1)]$ .

- The final result is  $\propto f$  due to the structure above.
- Due to nonzero  $\mu$ , the function  $f$  has  $(p_4^2 + \vec{p}^2) \rightarrow ((p_4 - i\mu)^2 + \vec{p}^2)$  in the denominator and terms proportional to  $\mu$  and  $\mu^2$  in the numerator.
- Since the  $\mu^2$  terms have  $\text{Tr} [\gamma^5 \gamma^4 \gamma^\sigma \gamma^4 \gamma^\rho] \sim \epsilon^{4\sigma 4\rho}$ , they vanish.

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- Scaling the integration variable by the cut-off  $\Lambda$ , the  $\mu$ -dependent terms appear with  $\Lambda^{-1}$ , leading to  $\mu$  independence as  $\Lambda \rightarrow \infty$ : The same anomaly relation as for  $\mu = 0$ .
- In agreement with earlier calculations in real time (Qian, Su & Yu ZPC 1994) or Minkowski space-time (Hsu, Sannino & Schwetz MPLA 2001), which are more involved.



# Anomaly for $\mu \neq 0$ : Fujikawa method

- Under the chiral transformation of the fermion fields, given by,

$$\psi' = \exp(i\alpha\gamma_5)\psi \quad \text{and} \quad \bar{\psi}' = \bar{\psi} \exp(i\alpha\gamma_5) , \quad (3)$$

the measure changes as

$$\mathcal{D}\bar{\psi}' \mathcal{D}\psi' = \mathcal{D}\bar{\psi} \mathcal{D}\psi \text{Det} \left| \frac{\partial(\bar{\psi}', \psi')}{\partial(\bar{\psi}, \psi)} \right| \sim \exp(-2i\alpha \int d^4x \text{Tr}\gamma_5) . \quad (4)$$

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- Evaluate the trace using the eigenvectors of the operator  $\mathcal{D}$  for  $\mu = 0$ .
- Since  $\{\gamma_5, \mathcal{D}\} = 0$ , for each finite  $\lambda_n$ ,  $\phi_n^\pm = \phi_n \pm \gamma_5 \phi_n$ , eigenvectors of  $\gamma_5$  with  $\pm 1$  eigenvalues, can be used, leading to zero.

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- Define two new vectors,  $\zeta_m$  and  $v_m$

$$\zeta_m(\mathbf{x}, \tau) = e^{\mu\tau} \phi_m(\mathbf{x}, \tau) \quad , \quad v_m^\dagger(\mathbf{x}, \tau) = \phi_m^\dagger(\mathbf{x}, \tau) e^{-\mu\tau} \quad . \quad (5)$$

- Easy to show that  $\zeta_m$  ( $v_m^\dagger$ ) is the eigenvector of  $\mathcal{D}(\mu)$  ( $\mathcal{D}(\mu)^\dagger$ ) with the same (purely imaginary) eigenvalue  $\lambda_m$  ( $-\lambda_m$ ).

- Further, one can show  $\sum_m \int \zeta_m(\mathbf{x}, \tau) v_m^\dagger(\mathbf{x}, \tau) d^4x = \mathbf{I}$  and  $\int v_m^\dagger(\mathbf{x}, \tau) \zeta_m(\mathbf{x}, \tau) d^4x = 1$ .
- Using these eigenvector spaces of  $\mathcal{D}(\mu)$ , trace of  $\gamma_5$  can again be shown to be zero for all non-zero  $\lambda_m$ , leading to  $\text{Tr } \gamma_5 = n_+ - n_-$  for  $\mu \neq 0$  as well.
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- Both perturbatively, and nonperturbatively, we have shown that the anomaly does not change at finite density, as may have been expected naively.
- If chiral transformation on lattice is chosen to depend on  $\mu$ , so that Bloch-Wettig proposal has chiral invariance for  $\mu \neq 0$ , then the resulting index theorem has  $\mu$ -dependent zero modes which determine the anomaly, unlike in the continuum.
- It is undesirable for other reasons we pointed out earlier (Banerjee, Gavai, Sharma, PoS Lattice 2008).

# A “Gauge-like” Transformation

- A non-unitary transformation of the fermion fields of the QCD action in the presence of  $\mu$ , given by  $\psi'(\mathbf{x}, \tau) = e^{\mu\tau}\psi(\mathbf{x}, \tau)$  ,  $\bar{\psi}'(\mathbf{x}, \tau) = \bar{\psi}(\mathbf{x}, \tau)e^{-\mu\tau}$  , makes the action  $\mu$ -independent:  $S_F(\mu) \rightarrow S'_F(0)$ .
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- It commutes with the Chiral Transformations. Explains the rescaling of eigenvectors, leaving the spectrum unchanged. Preserves anomaly as well.
- Easy to see that it works for any local fermion action, including for the lattice action, with  $\mu\tau \rightarrow a_4\mu * n_4$  leading to the Hasenfratz-Karsch prescription or the more general  $f(\mu a_4) * n_4$  to the others.
- Generalization for non-local cases, Overlap fermions, does not appear particularly useful. For the free case  $e^{\mu\tau}D_{\text{ov}}(\mu = 0)e^{-\mu\tau}$  is free of  $a^{-2}$  divergence but does not appear to have chiral invariance. :(

## Two simple ideas for Lattice

- Only fermions confined to the domain wall are physical, so introduce a chemical potential only to count them:

$$D_{ov}(\hat{\mu})_{xy} = (D_{ov})_{xy} - \frac{a\hat{\mu}}{2a_4 M} \left[ (1 - \gamma_4)U_4(y)\delta_{x,y-\hat{4}} + (\gamma_4 + 1)U_4^\dagger(x)\delta_{x,y+\hat{4}} \right] . \quad (6)$$

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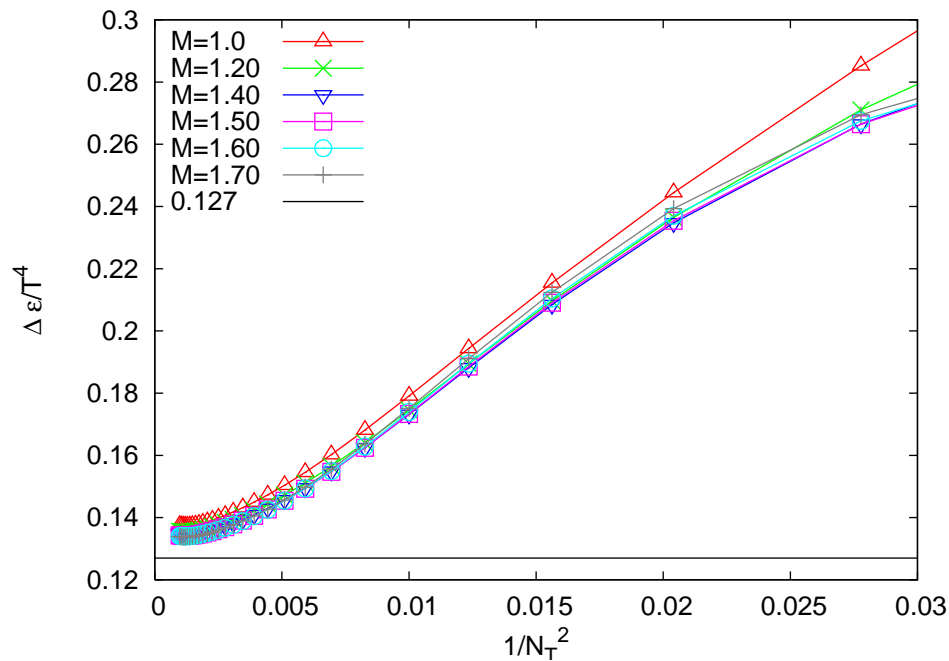
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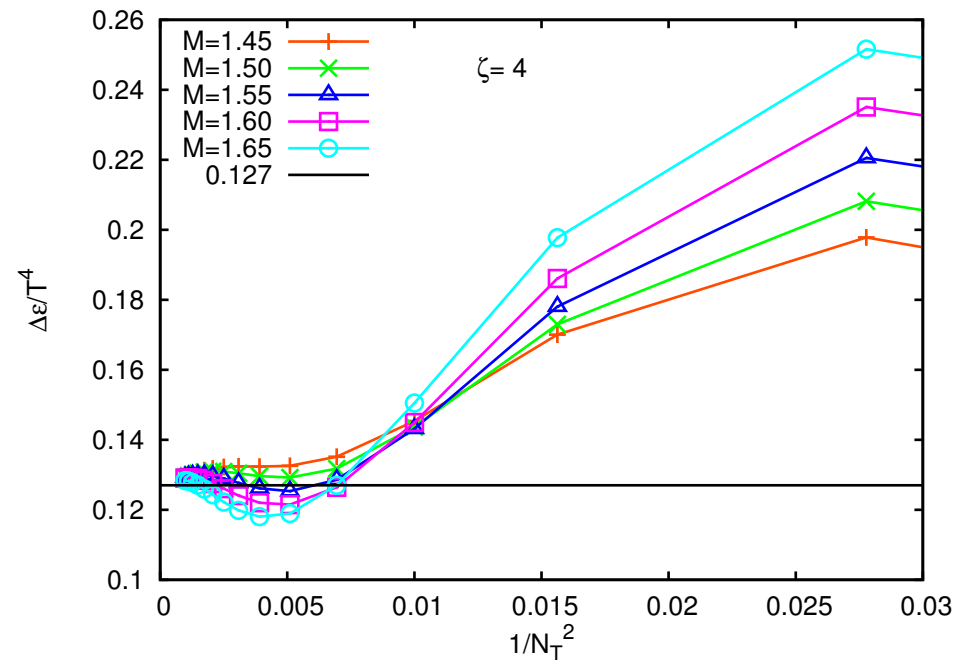
- As the Bloch-Wettig proposal, this too breaks chiral invariance but  $D_{ov}$  is defined by the usual sign-function. Bloch-Wettig proposal needs sign-function of a non-Hermitian matrix; not always well-defined.
- Expect  $a^{-2}$ -divergences as  $a \rightarrow 0$ . Follow the same prescription used for the Pressure computation (which diverges at zero temperature as  $\Lambda^4$ ). Use Large  $N_\tau$  and the same lattice spacing  $a$  for subtraction.

- Consider two Observables :  $\Delta\epsilon(\mu, T) = \epsilon(\mu, T) - \epsilon(0, T)$  and Susceptibility,  $\sim \partial^2 \ln \mathcal{Z} / \partial \mu^2$ .
- Former computed for  $r = \mu/T = 0.5$  while latter for  $\mu = 0$ .

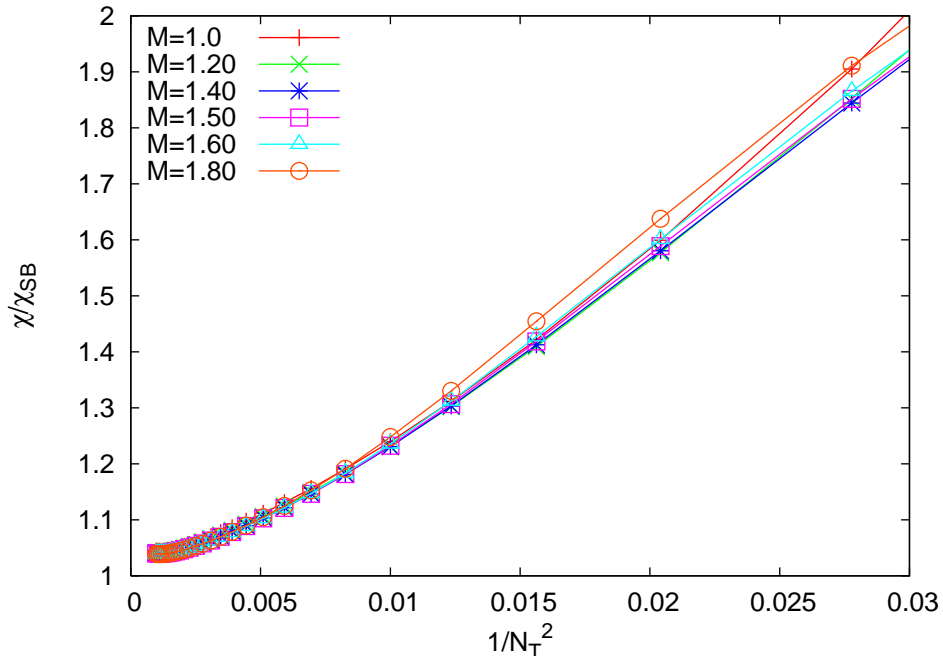
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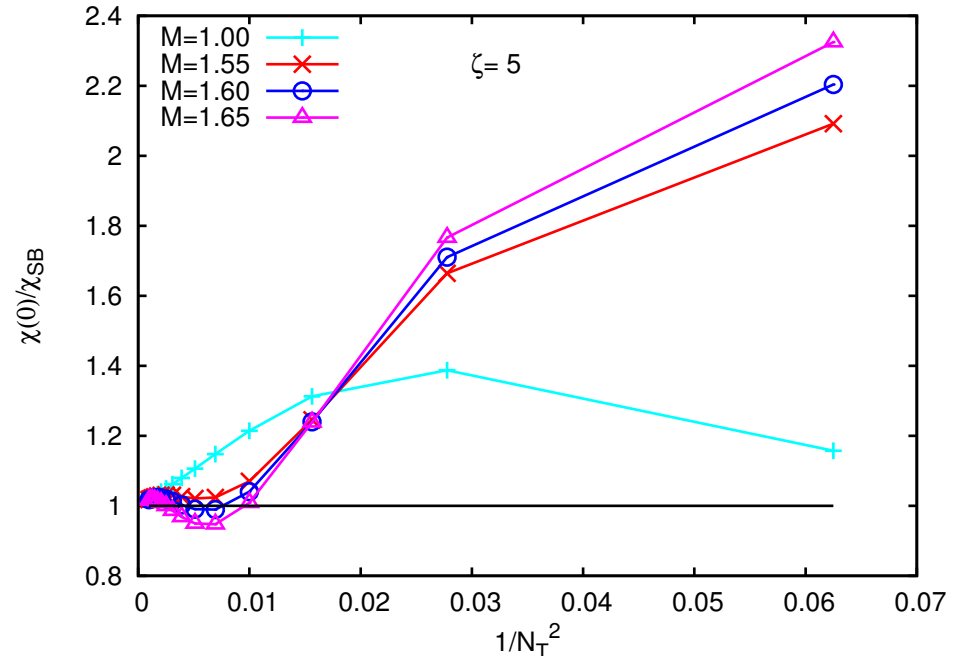
This Work (Gavai-Sharma)



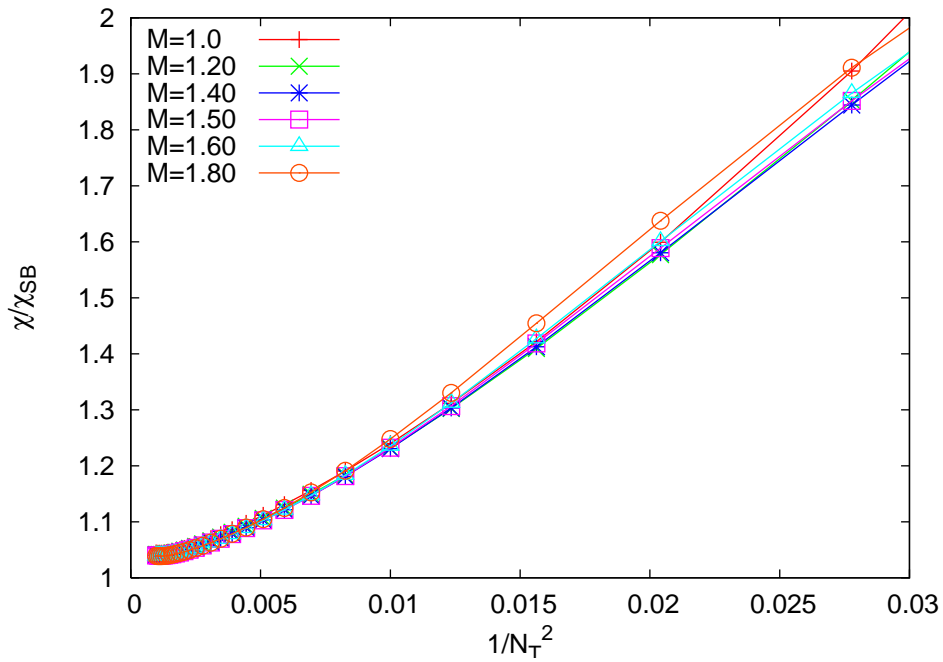
Banerjee, Gavai & Sharma, PRD 2008.



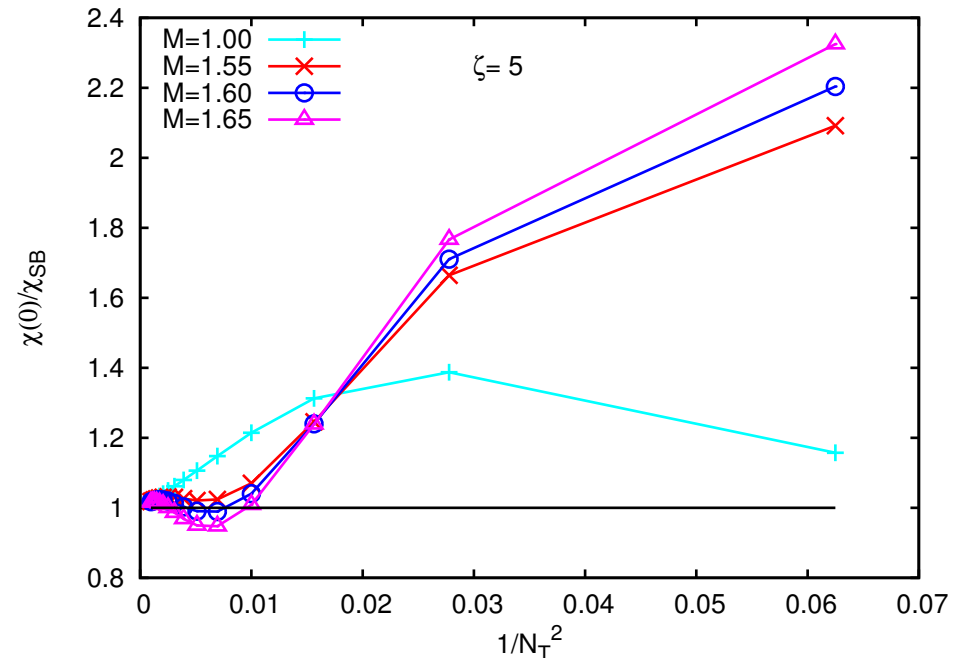
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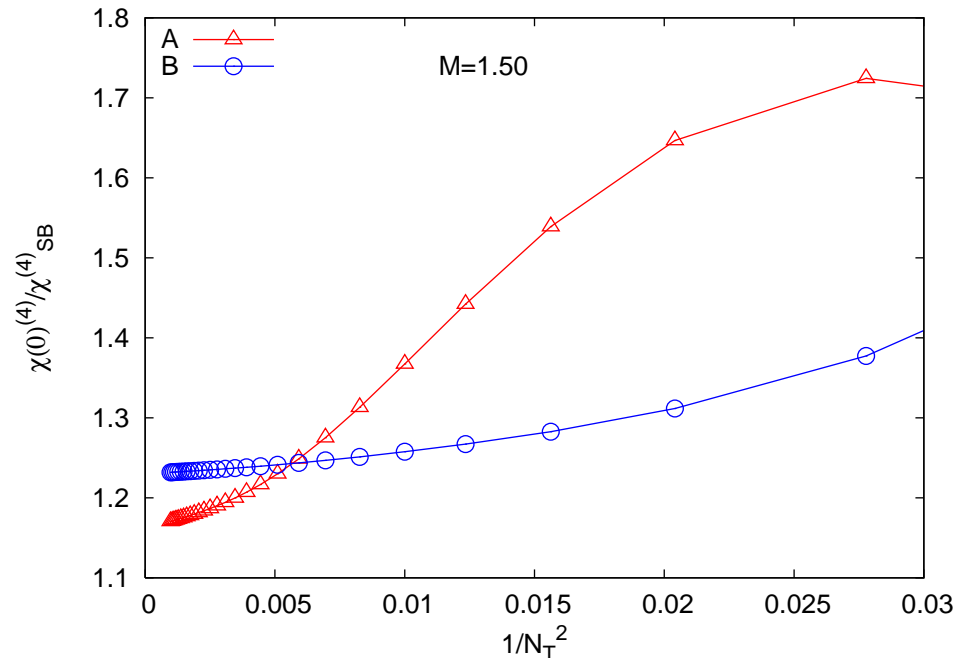


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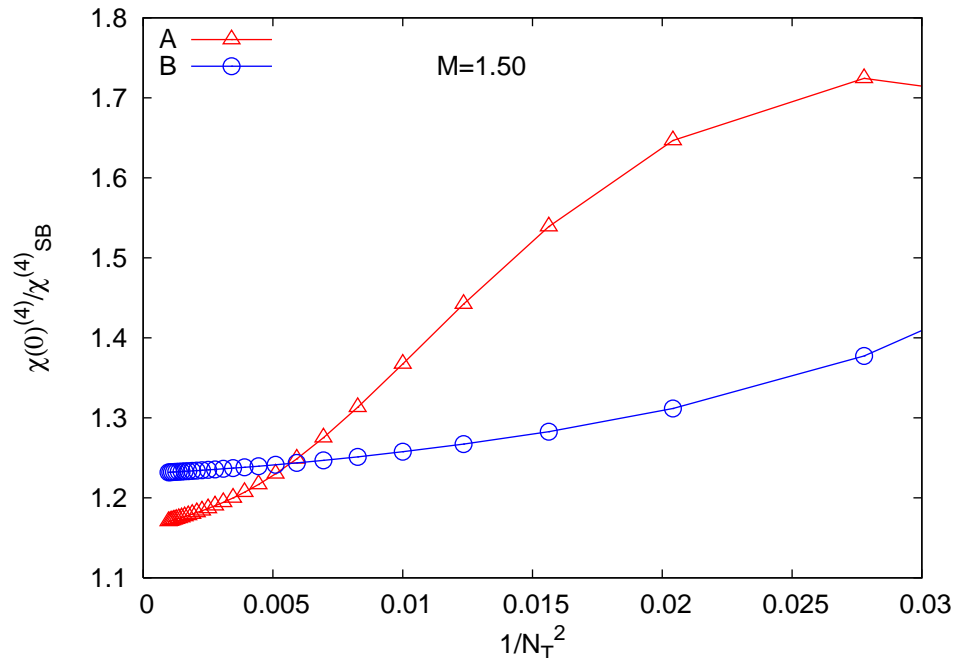
Banerjee, Gavai & Sharma, PRD 2008.

- Much less  $M$ -dependence for our proposal, and
- less oscillations compared to the Bloch-Wettig form.



- 4th Order Susceptibility : A)  $\hat{\mu}/s$  and B)  $\hat{\mu}/M$





- 4th Order Susceptibility : A)  $\hat{\mu}/s$  and B)  $\hat{\mu}/M$
- Divergences can be eliminated;  $M$ -dependence milder.
- Slow convergence to the expected continuum value; Can be improved by using higher-link derivatives, or even variations of the coefficients of the  $\hat{\mu}$ -term.

## 2nd Idea : Extend to Local Fermions

- We propose to introduce  $\mu$  in general by

$$\begin{aligned} S_F &= \sum_{x,y} \bar{\Psi}(x) M(\mu; x, y) \Psi(y) \\ &= \sum_{x,y} \bar{\Psi}(x) D(x, y) \Psi(y) + \mu a \sum_{x,y} N(x, y). \end{aligned}$$

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- This leads to

$$M' = \sum_{x,y} N(x, y), \text{ and } M'' = M''' = M'''' \dots = 0,$$

in contrast to the popular  $\exp(\pm a\mu)$ -prescription where *all* derivatives are nonzero:

$$M' = M''' \dots = \sum_{x,y} N(x, y) \text{ and } M'' = M'''' = M'''''' \dots \neq 0 .$$

- Lot fewer terms in the Taylor coefficients, especially as the order increases. E.g., in the 4th order susceptibility,  $\mathcal{O}_4 = -6 \text{Tr} (M^{-1}M')^4$  in our proposal, compared to  $\mathcal{O}_4 = -6 \text{Tr} (M^{-1}M')^4 + 12 \text{Tr} (M^{-1}M')^2 M^{-1}M'' - 3 \text{Tr} (M^{-1}M'')^2 - 3 \text{Tr} M^{-1}M'M^{-1}M''' + \text{Tr} M^{-1}M''''$ .
- $\mathcal{O}_8$  has one term in contrast to 18 in the usual case.  $\implies$  Number of  $M^{-1}$  computations needed are lesser.

# Summary

- We showed, both perturbatively and non-perturbatively, that the introduction of nonzero  $\mu$  leaves the anomaly unaffected. The zero modes of the Dirac operator for  $\mu = 0$  govern it; nonzero  $\mu$  simply scales the eigenvectors.
- A “gauge-like” symmetry in the continuum can be traced as the reason for anomaly preservation. Local lattice actions can easily adopt it. But how to ensure that for the overlap like non-local fermions remains unclear.

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- A “gauge-like” symmetry in the continuum can be traced as the reason for anomaly preservation. Local lattice actions can easily adopt it. But how to ensure that for the overlap like non-local fermions remains unclear.
- Overlap fermions at finite density could be studied by simply adding the  $\mu$ -term linearly. The chiral symmetry breaking is similar but the inverse propagator simpler.
- Extending to staggered fermions, it may be less costly to implement this idea and may permit extensions to higher orders.