

Lecture 13 . 8/10/09

Massless particles

Let us revisit the action for a relativistic particle moving in a spacetime metric $g_{\mu\nu}(x)$.

We have previously seen that the action

$$S = -m \int d\tau \sqrt{-\dot{x}^\mu \dot{x}^\nu g_{\mu\nu}(x)}$$

works for massive particles. But clearly the $m \rightarrow 0$ limit of this action is rather strange! $S=0$ is not a useful action.

The key here is that the above action is invariant under reparametrisations $\tau \rightarrow \tau'(\tau)$. In this sense we have a "miniature version" of general coordinate invariance, along the particle world line.

We can find a more general version of the above action by introducing a "miniature version" of a metric, only along the world-line. Thus, define the world-line distance:

$$ds_{wc}^2 = G(\tau) d\tau^2$$

$G(\tau)$ is a 1-component object, the world-line metric, and under $\tau \rightarrow \tau'(\tau)$ we have:

$$d\tau \rightarrow d\tau' = \frac{\partial \tau'}{\partial \tau} d\tau, \quad G'(\tau') = \left(\frac{\partial \tau}{\partial \tau'}\right)^2 G(\tau)$$

so ds^2 is invariant.

With this metric, a simple natural action for $x^\mu(\tau)$ is:

$$S_1 = \frac{1}{2} \int d\tau \sqrt{G} \cdot G^{-1} \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau} g_{\mu\nu}(x)$$

$$= \frac{1}{2} \int \frac{d\tau}{\sqrt{G}} g_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu$$

Under $d\tau \rightarrow d\tau' = \frac{d\tau'}{d\tau} d\tau$

$$\frac{dx^\mu}{d\tau} \rightarrow \frac{dx^\mu}{d\tau'} = \frac{dx^\mu}{d\tau} \frac{d\tau}{d\tau'}$$

$$G(\tau) \rightarrow G'(\tau') = \left(\frac{d\tau}{d\tau'}\right)^2 G(\tau)$$

The action above is invariant:

$$\frac{1}{2} \int \frac{d\tau'}{\sqrt{G'}} \frac{dx^\mu}{d\tau'} \frac{dx^\nu}{d\tau'} g_{\mu\nu}(x) = \frac{1}{2} \int d\tau \frac{d\tau'}{d\tau} \cdot \frac{1}{\sqrt{G}} \frac{d\tau'}{d\tau} \frac{dx^\mu}{d\tau} \frac{d\tau}{d\tau'} \frac{dx^\nu}{d\tau'} g_{\mu\nu}(x)$$

$$= \frac{1}{2} \int d\tau g_{\mu\nu}(x) \frac{dx^\mu}{d\tau} \frac{dx^\nu}{d\tau}$$

Note that $x^\mu(\tau)$ is itself "scalar" under the worldline reparametrizations:

$$x^\mu(\tau') = x^\mu(\tau)$$

Now to the above action we could think of adding a "miniature Einstein term" $\sqrt{G} R$, but in one dimension the Riemann tensor $R_{\tau\tau\tau\tau} = 0$ by antisymmetry.

However a "cosmological term" $\sim \int \sqrt{G} d\tau$ is allowed

So consider $S_2 = -\frac{m^2}{2} \int d\tau \sqrt{G}$

where $\frac{m^2}{2}$ plays the role of the worldline cosmological constant.

Then: $S = S_1 + S_2$

$$= \frac{1}{2} \int \frac{d\tau}{\sqrt{G}} g_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu - \frac{m^2}{2} \int d\tau \sqrt{G}$$

The equation of motion of $G(\tau)$ is:

$$\frac{\delta S}{\delta G(\tau)} = 0 \Rightarrow \frac{1}{G(\tau)} g_{\mu\nu}(x) \dot{x}^\mu \dot{x}^\nu + m^2 = 0$$

while the equation of motion of x^μ is:

$$\begin{aligned} \frac{d}{d\tau} \left(\frac{1}{\sqrt{G}} g_{\mu\nu} \dot{x}^\nu \right) &= \frac{1}{2\sqrt{G}} g_{\alpha\beta,\mu} \dot{x}^\alpha \dot{x}^\beta \\ \Rightarrow -\frac{1}{2} G^{-3/2} \dot{G} g_{\mu\nu} \dot{x}^\nu + \frac{1}{\sqrt{G}} g_{\mu\nu,\alpha} \dot{x}^\nu \dot{x}^\alpha &+ \frac{1}{\sqrt{G}} g_{\mu\nu} \ddot{x}^\nu = \frac{1}{2\sqrt{G}} g_{\alpha\beta,\mu} \dot{x}^\alpha \dot{x}^\beta \end{aligned}$$

Multiplying by \sqrt{G} and $g^{\lambda\mu}$ we have:

$$\begin{aligned} \ddot{x}^\lambda + g^{\lambda\mu} \left(g_{\mu\alpha,\beta} - \frac{1}{2} g_{\alpha\beta,\mu} \right) \dot{x}^\alpha \dot{x}^\beta &= \frac{1}{2} \frac{\dot{G}}{G} g^{\lambda\mu} \dot{x}^\mu \end{aligned}$$

$$\text{i.e. } \ddot{x}^\lambda + \Gamma_{\alpha\beta}^\lambda \dot{x}^\alpha \dot{x}^\beta = \frac{1}{2} \frac{\dot{G}}{G} \dot{x}^\lambda$$

Notice that
$$\Gamma_{\tau\tau}^{\tau} = \frac{1}{2} G^{-1} (G_{,\tau} + G_{,\tau} - G_{,\tau})$$

$$= \frac{1}{2} \frac{\dot{G}}{G}$$

So

$$\ddot{x}^{\lambda} + \Gamma_{\alpha\beta}^{\lambda} \dot{x}^{\alpha} \dot{x}^{\beta} - \Gamma^{\lambda} \dot{x}^{\lambda} = 0$$

i.e. $D_{\tau}(\dot{x}^{\lambda}) = 0$ in the presence of a worldline metric.

Now we can physically interpret the system:

$$\frac{1}{G(\tau)} g_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu} + m^2 = 0$$

$$\ddot{x}^{\lambda} + \Gamma_{\alpha\beta}^{\lambda} \dot{x}^{\alpha} \dot{x}^{\beta} - \Gamma^{\lambda} \dot{x}^{\lambda} = 0$$

Note that the canonical momentum is

$$p_{\mu} = \frac{\partial L}{\partial \dot{x}^{\mu}} = \frac{1}{\sqrt{G}} g_{\mu\nu} \dot{x}^{\nu}$$

Thus
$$\frac{1}{G} g_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu} + m^2 = g^{\mu\nu} p_{\mu} p_{\nu} + m^2 = 0$$

So we have recovered $p^2 = -m^2$.

Alternatively we can solve the eqn for G and eliminate it:

$$G = - \frac{g_{\mu\nu} \dot{x}^{\mu} \dot{x}^{\nu}}{m^2}$$

Substituting back in the action, we get:

$$S = \frac{m}{2} \int \frac{dT g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}{\sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}} - \frac{m^2}{2} \int dT \frac{\sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}}{m}$$

$$= -m \int dT \sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}$$

which was our original action!

But we now see that this works only for $m \neq 0$

For $m = 0$ we have the equations:

$$\frac{1}{G} g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu = 0$$

$$\ddot{x}^\lambda + \Gamma_{\alpha\beta}^\lambda \dot{x}^\alpha \dot{x}^\beta - \Gamma(\tau) \dot{x}^\lambda = 0$$

Since $G(\tau) \neq 0$ (non-singular nature of metric) we have:

$$g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu = 0$$

$$\ddot{x}^\lambda + \Gamma_{\alpha\beta}^\lambda \dot{x}^\alpha \dot{x}^\beta - \Gamma(\tau) \dot{x}^\lambda = 0$$

We still have $p_\mu = \frac{1}{\sqrt{G}} g_{\mu\nu} \dot{x}^\nu$ so $g^{\mu\nu} p_\mu p_\nu = 0$.

Also, in terms of p_μ the geodesic equation becomes

$$\frac{dp^\mu}{dT} + \Gamma_{\nu\lambda}^\mu \dot{x}^\nu p^\lambda = 0$$

The presence of G in the relation between p^μ and \dot{x}^μ is inconvenient, but we can eliminate it by using the $T \rightarrow T'$ ~~sym~~ invariance to fix $G(\tau) = 1$. Alternatively it could be any constant, say $\frac{1}{\alpha^2}$, then $p^\mu = \alpha \dot{x}^\mu$ for any α .

To summarize, the motion of a massless particle is governed by the equations:

$$\begin{aligned}
 g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu &= 0 \\
 \ddot{x}^\mu + \Gamma^\mu_{\nu\lambda} \dot{x}^\nu \dot{x}^\lambda &= 0
 \end{aligned}$$

} τ is a parameter chosen such that $G(\tau) = 1$ (it is not the proper time!)

Then $p^\mu = \dot{x}^\mu$ and $p^\mu p_\mu = 0$.

For a massive particle we have two basic types of choices:

(i) Set $G(\tau) = 1$ again, then

$$\begin{aligned}
 g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu + m^2 &= 0 \\
 \ddot{x}^\mu + \Gamma^\mu_{\nu\lambda} \dot{x}^\nu \dot{x}^\lambda &= 0
 \end{aligned}$$

Then $p^\mu = \dot{x}^\mu$ again, and $p^\mu p_\mu = -m^2$.

or (ii) eliminate $G(\tau) = -\frac{g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}{m^2}$

Now \dot{x}^μ has no constraint on it, and $p^\mu = \frac{m \dot{x}^\mu}{\sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}}$ so $p^\mu p_\mu = -m^2$.

In this picture, $u^\mu \equiv \frac{p^\mu}{m} = \frac{\dot{x}^\mu}{\sqrt{-g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}}$

Note that (i) and (ii) agree for a special choice: $G(\tau) = \frac{1}{m^2}$. In this case (i) gives

$$g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu + 1 = 0$$

so τ is indeed the proper time. In (ii), just choose τ to be the proper time, then $p^\mu = m \dot{x}^\mu$.

Now we can return to the study of (massless) particle orbits in the Schwarzschild metric.

We have $p^\mu = \dot{x}^\mu$ and $\dot{x}^\mu \dot{x}^\nu g_{\mu\nu} = 0$.

Then E (conserved energy) = $-K_\mu p^\mu = -K_\mu \dot{x}^\mu$

$$= \left(1 - \frac{2GM}{r}\right) \dot{t}$$

And L (conserved angular momentum) = $R_\mu \frac{dx^\mu}{dt}$

$$= r^2 \dot{\phi}$$

The equation $\dot{x}^\mu \dot{x}^\nu g_{\mu\nu} = 0$ now gives:

$$-\left(1 - \frac{2GM}{r}\right) (\dot{t})^2 + \left(1 - \frac{2GM}{r}\right)^{-1} (\dot{r})^2 + r^2 (\dot{\phi})^2 = 0$$

Multiply by $1 - \frac{2GM}{r} \rightarrow$

$$-E^2 + \dot{r}^2 + \frac{L^2}{r^2} \left(1 - \frac{2GM}{r}\right) = 0$$

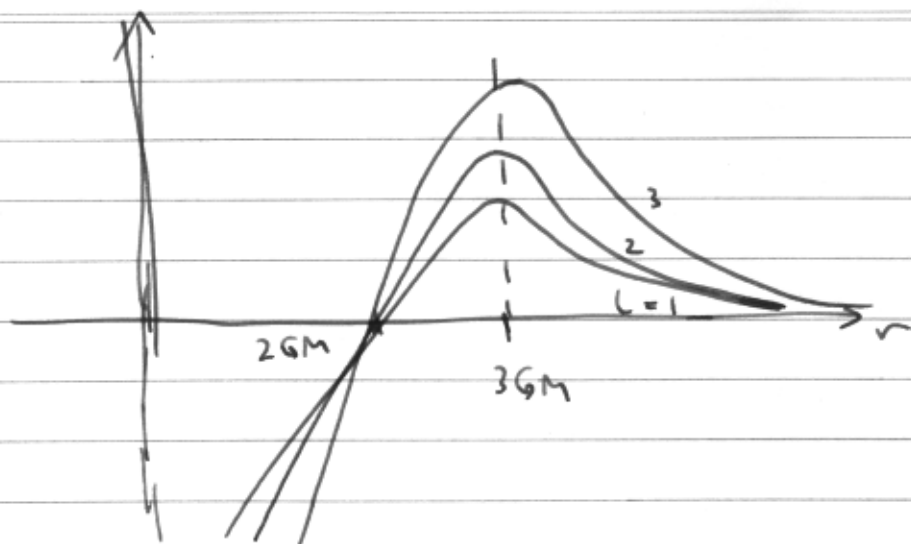
$$\dot{r}^2 + \frac{L^2}{2r^2} + V(r) = \frac{E^2}{2} = \mathcal{E}$$

where $V(r) = \frac{L^2}{2r^2} - \frac{GML^2}{r^3}$ so $V(r) = 0$ at $r = 2GM$

Circular orbits occur when $\frac{dV}{dr} = 0$

$$\text{so } -\frac{L^2}{r^3} + \frac{3GML^2}{r^4} = 0$$

$\rightarrow r_c = 3GM$ independent of L !



We see that the circular orbits are unstable.

The height of the barrier is

$$V(r = 3GM) = \frac{1}{54} \frac{L^2}{(GM)^2}$$

Then classically a photon will penetrate the barrier if

$$E^2 \gtrsim \frac{L^2}{(GM)^2}$$

ie $E \gtrsim \frac{L}{3GM}$

(precisely: $\frac{E^2}{2} > \frac{1}{54} \frac{L^2}{(GM)^2}$)
 $\Rightarrow b < 3\sqrt{3} GM$

Note that $b = \frac{L}{E}$ has dimensions of (length)⁻¹.

b , having dimension of length, is called the impact parameter. Thus small b ($b \leq GM$) is sufficient to cause the photon to fall in. In other words overcoming the potential barrier is done by aiming the particle correctly. If b is too large, merely increasing E just increases L and does not cause radial plunge.

Capture cross-section for light: $\sigma = \pi b^2 = 27\pi M^2$

Let us now work out the bending of light in the Schwarzschild metric.

Suppose we have solved for a radial trajectory $r(r)$ from

$$\frac{1}{2} \dot{r}^2 + V(r) = E, \quad V(r) = \frac{L^2}{2r^3} (r - 2GM)$$

in the case where $b > 3\sqrt{3} GM$.

Now $L = r^2 \dot{\phi}$

So $\dot{\phi} = \frac{L}{r^2}$

Now $\frac{\partial \phi}{\partial r} = \frac{\dot{\phi}}{\dot{r}} = \frac{L}{r^2} \cdot \frac{1}{\sqrt{2(E - V(r))}}$

$$= \frac{L}{r^2} \frac{1}{(E^2 - \frac{L^2}{r^3}(r - 2GM))^{1/2}} = \frac{1}{r^2 (\frac{1}{b^2} - \frac{1}{r^3}(r - 2GM))^{1/2}}$$
$$= \frac{1}{(r/b^2 - r(r - 2GM))^{1/2}}$$

The turning point of the radial function $r(r)$ occurs when $V(r) = E$, ie

$$\frac{L^2}{2r_0^3} (r_0 - 2GM) = \frac{E^2}{2}$$

ie $b^2(r_0 - 2GM) = r_0^3$

Now the deviation $\Delta\phi = \int_{-\infty}^{+\infty} dr \frac{\partial \phi}{\partial r}$

$$= 2 \int_{r_0}^{\infty} dr \frac{\partial \phi}{\partial r} = 2 \int_{r_0}^{\infty} \frac{dr}{(r/b^2 - r(r - 2GM))^{1/2}}$$

$$\text{So } \Delta\varphi = 2 \int_0^{\frac{1}{r_0}} \frac{du}{\left(\frac{1}{b^2} - u^2 + 2GMu^3\right)^{1/2}} \quad (u = \frac{1}{r})$$

Note that $u = \frac{1}{r_0}$ is precisely a root of the denominator.

As a check, if $M=0$ then $r_0 = b$ and

$$\Delta\varphi = 2 \int_0^{\frac{1}{b}} \frac{du}{\sqrt{\frac{1}{b^2} - u^2}} = 2 \int \frac{dv}{\sqrt{1-v^2}} = \pi \quad (\text{indep of } b)$$

Now let's evaluate $\Delta\varphi$ for small M .

Sending $u = \frac{1}{b} v$,

$$\Delta\varphi = 2 \int_0^{\frac{b}{r_0}} \frac{dv}{\left(1 - \frac{2GM}{b} v\right)^{1/2}} \frac{1}{\left(\frac{1}{1 - \frac{2GM}{b} v} - v^2\right)^{1/2}}$$

$$\approx 2 \int_0^{\frac{b}{r_0}} dv \left(1 + \frac{GM}{b} v\right) \frac{1}{\left(1 + \frac{2GM}{b} v - v^2\right)^{1/2}}$$

and r_0 is defined so that $v = \frac{b}{r_0}$ is still a root of the denominator.

The integral is $\sim \frac{\pi}{2} + \frac{2GM}{b}$ so $\Delta\varphi = \frac{4GM}{b} - \pi$

For $M = \text{mass of the sun}$ and $b = \text{diameter of the sun}$, $\Delta\varphi - \pi = 1.75$ seconds of arc.